

Tri-County Behavioral Healthcare Board of Trustees Meeting

October 22, 2015



Notice is hereby given that a regular meeting of the Board of Trustees of Tri-County Behavioral Healthcare will be held on Thursday, October 22, 2015. The Business Committee will convene at 8:30 a.m., the Program Committee will convene at 9:30 a.m. and the Board meeting will convene at 10:00 a.m. at 1506 FM 2854, Conroe, Texas. The public is invited to attend and offer comments to the Board of Trustees between 10:00 a.m. and 10:05 a.m.

AGENDA

- I. **Organizational Items**
 - A. Chairman Calls Meeting to Order
 - B. Public Comment
 - C. Quorum
 - D. Review & Act on Requests for Excused Absence
- II. **Approve Minutes - September 24, 2015**
- III. **Longevity Recognition Presentations**
- IV. **Executive Director's Report - Evan Roberson**
 - A. DSHS
 - 1. YES Waiver
 - B. DADS
 - 1. LIDDAs as Providers
 - 2. PASRR Specialized Services
 - C. Texas Council Children's Specialist
 - D. Liberty Building Updates
- V. **Chief Financial Officer's Report - Millie McDuffey**
 - A. FY 2015 Audit
 - B. Worker's Compensation Audit
 - C. Cost Accounting Methodology (CAM)
 - D. Days of Operation Ratio
- VI. **Program Committee**
 - Information Items
 - A. Community Resources Report..... *Pages 10-12*
 - B. Consumer Services Report for September 2015..... *Pages 13-14*
 - C. Program Updates..... *Pages 15-18*
 - D. Medicaid 1115 Transformation Waiver Project Status Report..... *Pages 19-21*
 - E. Program Presentation - Projects for Assistance in Transition from Homelessness (PATH)
- VII. **Executive Committee**
 - Action Items
 - A. Appoint Nominating Committee for FY 2016 Board Officers..... *Page 22*
 - B. Cast Election Ballot for Texas Council Risk Management Fund Board of Trustees..... *Pages 23-41*
 - Information Items
 - C. Board of Trustees Oath of Office..... *Pages 42-43*
 - D. Personnel Report for September 2015..... *Page 44*

VIII. Business Committee

Action Items

- A. Approve September 2015 Financial Statements..... *Pages 45-57*
B. Award Bid for 233 Sgt. Ed Holcomb S., Conroe, Texas..... *Pages 58-60*
C. Authorize Loan of Funds to Cleveland Supported Housing, Inc. for Operating Deficits..... *Page 61*

Information Items

- D. 401(a) Retirement Plan Account Review..... *Page 62*
E. Board of Trustees Unit Financial Statement for September 2015..... *Pages 63-64*

- IX. Executive Session in compliance with Texas Government Code Section 551.071, Consultation with Attorney regarding advice on legal matters regarding contemplated litigation.

Posted By:

Stephanie Eveland
Executive Assistant

Tri-County Behavioral Healthcare

P.O. Box 3067
Conroe, TX 77305

BOARD OF TRUSTEES MEETING

September 24, 2015

Board Members Present:

Sharon Walker
Tracy Sorensen
Patti Atkins
Richard Duren
Cecil McKnight
Jacob Paschal
Janet Qureshi

Board Members Absent:

Brad Browder
Morris Johnson

Tri-County Staff Present:

Evan Roberson, Executive Director
Kenneth Barfield, Director of Management Information Systems
Kathy Foster, Director of IDD Provider Services
Kelly Shropshire, Director of IDD Authority Services
Stephanie Eveland, Executive Assistant
Tabatha Abbott, Cost Accountant
Jamila Brinson, Legal Counsel

Guests:

Mike Duncum, WhiteStone Realty

Call to Order: Vice-Chair, Sharon Walker, called the meeting to order at 10:06 a.m. at 1506 FM 2854, Conroe, Texas.

Public Comment: There were no public comments.

Quorum: There being seven (7) members present, a quorum was established.

Resolution #09-15-01

Motion Made By: Patti Atkins

Seconded By: Cecil McKnight, with affirmative votes by Sharon Walker, Tracy Sorensen, Richard Duren, Jacob Paschal and Janet Qureshi that it be...

Resolved:

That the Board excuse the absences of Brad Browder and Morris Johnson.

Resolution #09-15-02

Motion Made By: Tracy Sorensen

Seconded By: Janet Qureshi, with affirmative votes by Sharon Walker, Patti Atkins, Richard Duren, Cecil McKnight and Jacob Paschal that it be...

Resolved:

That the Board approve the minutes of the August 27, 2015 meeting of the Board of Trustees.

Executive Director's Report:

The Executive Director's report is on file.

Chief Financial Officer's Report:

The Chief Financial Officer's report is on file.

PROGRAM COMMITTEE:

Resolution #09-15-03

Motion Made By: Tracy Sorensen

Seconded By: Janet Qureshi, with affirmative votes by Sharon Walker, Patti Atkins, Richard Duren, Cecil McKnight and Jacob Paschal that it be...

Resolved:

That the Board reappoint Richard Duren, Barbara Grogan and Judie Hunter to the Mental Health Planning Network Advisory Committee for an additional two-year term expiring on August 31, 2017.

Resolution #09-15-04

Motion Made By: Tracy Sorensen

Seconded By: Jacob Paschal, with affirmative votes by Sharon Walker, Patti Atkins, Richard Duren, Cecil McKnight and Janet Qureshi that it be...

Resolved:

That the Board reappoint Madeline Brogan, Mary Byrne, Barbara Grogan and Judie Hunter to the Intellectual and Developmental Disabilities Planning Network Advisory Committee for an additional two-year term expiring on August 31, 2017.

No action was taken on Program Committee Action Item V-C, Regional Planning Network Advisory Committee's FY 2015 Peer Support Recommendations.

The Community Resources Report was reviewed for information purposes only.

Cont.

The Consumer Services Report for August 2015 was reviewed for information purposes only.

The Program Updates were reviewed for information purposes only.

The Annual Planning Network Advisory Committee Reports were reviewed for information purposes only.

The Year-to-Date FY 2015 Goals and Objectives Progress Report was reviewed for information purposes only.

The 4th Quarter FY 2015 Corporate Compliance and Quality Management Report was reviewed for information purposes only.

The Annual Corporate Compliance Report and 1st Quarter FY 2016 Corporate Compliance Training were reviewed for information purposes only.

EXECUTIVE COMMITTEE:

Resolution #09-15-05

Motion Made By: Tracy Sorensen

Seconded By: Patti Atkins, with affirmative votes by Sharon Walker, Richard Duren, Cecil McKnight, Jacob Paschal and Janet Qureshi that it be...

Resolved:

That the Board reappoint the Texas Council representative and alternate for FY 2016 as follows:

- Sharon Walker – Representative
- Morris Johnson – Alternate

Oaths of Office were recited by Tracy Sorensen and Sharon Walker.

Sharon Walker, Vice-Chair, appointed the FY 2016 Committee members as follows:

Business Committee

Morris Johnson, Chair
Patti Atkins
Richard Duren
Cecil McKnight
Tracy Sorensen

Program Committee

Jacob Paschal, Chair
Janet Qureshi
Sharon Walker

Executive Committee

Vacant*, Chair
Sharon Walker, Vice-Chair
Tracy Sorensen, Secretary

**Reelections for FY 2016 Board Officers will take place at the Board Meeting on December 10, 2015.*

The Board of Trustees Attendance Analysis for FY 2015 was reviewed for information purposes only.

The Personnel Report for August 2015 was reviewed for information purposes only.

The Texas Council Risk Management Fund Claims Summary for August 2015 was reviewed for information purposes only.

BUSINESS COMMITTEE:

No action was taken on Business Committee Action Item VII-A, Appoint Building Contractor Interview Subcommittee.

Resolution #09-15-06

Motion Made By: Cecil McKnight

Seconded By: Patti Atkins, with affirmative votes by Sharon Walker, Tracy Sorensen, Richard Duren, Jacob Paschal and Janet Qureshi that it be...

Resolved:

That the Board approve the FY 2016 Dues Commitment and Payment Schedule on a quarterly basis for the Texas Council.

Resolution #09-15-07

Motion Made By: Cecil McKnight

Seconded By: Janet Qureshi, with affirmative votes by Sharon Walker, Tracy Sorensen, Patti Atkins, Richard Duren and Jacob Paschal that it be...

Resolved:

That the Board approve the FY 2016-2017 DADS Performance Contract.

Resolution #09-15-08

Motion Made By: Cecil McKnight

Seconded By: Tracy Sorensen, with affirmative votes by Sharon Walker, Patti Atkins, Richard Duren, Jacob Paschal and Janet Qureshi that it be...

Resolved:

That the Board approve the DSHS Co-Occurring Mental Health and Substance Abuse Services Contract #2016-048317-001.

Resolution #09-15-09

Motion Made By: Cecil McKnight

Seconded By: Richard Duren, with affirmative votes by Sharon Walker, Tracy Sorensen, Patti Atkins, Jacob Paschal and Janet Qureshi that it be...

Resolved:

That the Board approve the DSHS Youth Treatment Services Contract #2016-048317-002.

Resolution #09-15-10

Motion Made By: Cecil McKnight

Seconded By: Janet Qureshi, with affirmative votes by Sharon Walker, Tracy Sorensen, Patti Atkins, Richard Duren and Jacob Paschal that it be...

Resolved:

That the Board approve the DSHS Adult Treatment Services Contract #2016-048497-001.

The Preliminary Financial Statements for August 2015 were reviewed for information purposes only.

The 4th Quarter FY 2015 Investment Report was reviewed for information purposes only.

The Board of Trustees Unit Financial Statement for August 2015 was reviewed for information purposes only.

The Montgomery Supported Housing, Inc. Update was reviewed for information purposes only.

The Cleveland Supported Housing, Inc. Update was reviewed for information purposes only.

There was no need for Executive Session.

The regular meeting of the Board of Trustees adjourned at 11:31 a.m.

Adjournment:

[Redacted]

Sharon Walker
Vice-Chair

Date / /

Attest:

[Redacted]

Tracy Sorensen
Secretary

Date



Executive Director's Report

October 22, 2015

Announcements

- The next Board meeting is scheduled for Thursday, December 10th. This is always a fun meeting with our Huntsville Life Skills Christmas Carolers. Awards will also be presented to the winners of the Consumer Christmas Card Contest.
- The annual Christmas party for staff and trustees will be held on Saturday, December 5th at the Panorama Country Club in Panorama. We moved from the River Plantation Country Club because we could no longer fit in that space. This year, in addition to an ugly sweater contest, we will have a masquerade theme and prizes will be awarded for the ugliest sweater and best mask. The staff seem to enjoy a less formal event and we look forward to good attendance this year. You will be receiving an invitation in the mail, but you can RSVP to Stephanie at any time.
- On September 30th, I traveled to Liberty to receive a \$20,000 check from Mr. Bruce Burnham on behalf of the Burnham Classic Golf Tournament. These dollars benefit the consumers of South Liberty County. We are very grateful to the Burnham's and the McKnight's for their continued support of this fundraiser benefiting Tri-County.
- We have officially formed Tri-County's Consumer Foundation and will now begin the process to set the foundation up as a tax exempt entity. We continue to look for Board members if any of you know of anyone that would be interested.
- I emailed a link to an article regarding Youth Mental Health First Aid that Catherine Prestigiovanni was able to have published in the Courier. In response to this article, we have scheduled classes with Montgomery County Adult Probation, Conroe Independent School District alternative schools, CISD Police Department, Kangaroo Forest School (Early Childhood Intervention School in the Woodlands) and the Montgomery County Homeless Coalition. We've also been contacted by other organizations that are interested in possibly scheduling a training class.

Catherine has been very busy in the community and it appears that her efforts are already paying dividends. I look forward to the impact she will have on Tri-County's relationship with community stakeholders in our three counties.

- Ashley Taylor, Veteran Services Liaison, will be the Veteran guest of honor for the tree-lighting ceremony at Old Town Spring on Saturday, November 21st. They are having a Veteran light the tree to commemorate veterans who are serving overseas during the Christmas holidays. Ashley does a great job advocating for the veterans in our community and we are excited that she is receiving this honor.
- Kelly Shropshire was selected to represent medium-sized Centers on the Department of Aging and Disability Services (DADS) IDD Systems Improvement Workgroup. According to DADS, "The IDD Systems Improvement Workgroup's mission is to identify, deliberate on and make recommendations regarding operational and policy issues for the purpose of improving services for individuals with intellectual and developmental disabilities." This was a competitive process and we are very excited to have Kelly on this important workgroup.

Department of State Health Services (DSHS)

- The **Youth Empowerment Services (YES) Waiver** program for children began at Tri-County on September 1, 2015. The YES Waiver program is designed to provide community-based services to children with serious emotional disturbances and their families with a goal of reducing or preventing children's inpatient psychiatric treatment and the consequent removal from their families. Currently, five kids have been approved for the Waiver and two are from the Liberty, Texas area. In addition, Melissa Zemencsik, Administrator of Children's Services, has been asked to meet with Liberty ISD staff about the program because they anticipate making additional referrals to the YES Waiver program. We will be revisiting our plan to get child psychiatry to Liberty in the coming months.

Department of Aging and Disability Services (DADS)

- I have attached a report from DADS entitled, "**Role of the Local Intellectual and Developmental Disability Authorities as Service Providers.**" Senate Bill 7, 83rd Legislature, directed HHSC to submit a report to measure the percentage of services provided by the Centers as a response to private provider complaints that Centers were continuing to enroll IDD Waiver clients above their enrollment caps which were established following the passage of Provider of Last Resort legislation in the 78th Legislature. As you will see from the graphs on pages 4-6 of the report, Centers had an overall decline in the percentage of clients served as well as a decline in the total claims by dollar amount from 2006 to 2014.
- Staff continue to work with State leadership and the Texas Council regarding new requirements for **Pre-Admission, Screening and Resident Review (PASRR) specialized services** that DADS is requiring Centers to provide to persons who are currently residing in a nursing facility. These services include Day Habilitation, Independent Living Training and Supported Employment. Tri-County currently has a waiting list for these services in several of our service areas and at two of the Day Habilitation sites (Cleveland and

Liberty); there is a waiting list because of inadequate physical space at the location for additional consumers. Of course, Tri-County closed our Montgomery County Day Habilitation program in 2011 after budget cuts. We are not currently aware of a Day Habilitation solution for persons that are residing in a nursing facility in Montgomery County. In addition to Day Habilitation space, we have concerns about potentially complex medical care at the Habilitation sites, our wheelchair van capacity and other practical concerns. While the proposed rate for these services may be adequate, the rate will not support developing programs or adding on space to serve additional persons.

However, as the Board is aware, PASRR rules are being set as a part of a federal lawsuit settlement and there isn't much flexibility in the rules which have been implemented. If we are required to serve these nursing facility patients, our only choice may be to discharge or limit these programs for our current consumers. We will continue to seek additional feedback from the State in hopes of avoiding this scenario.

Texas Council Children's Specialist

- I have attached an announcement from the Texas Council regarding their decision to hire Kaitlyn Motley to serve as the Mental Health Director. Ms. Motley brings a great deal of children's mental health experience to the Council and has recently worked on the Federal Children's Mental Health Initiative.

Liberty Building Updates

- We believe it will be mid-November before the building will be ready to occupy in Liberty. The fiber connection from AT&T is in the building, but we are still waiting for the connection to be tested and the line to be turned over to our staff for programming. In addition, a series of smaller items are being finalized at the site (including furniture, kitchen set-up, IT wiring, etc.). I will send out a notice to the Board prior to our Grand Opening Ceremony. We definitely expect to open the building prior to the next Board meeting in December.



Role of Local Intellectual and Developmental Disability Authorities as Service Providers

**As Required by
Senate Bill 7, 83rd Legislature, Regular Session, 2013**

**Texas Department of Aging and Disability Services
July 2015**

INTRODUCTION AND CHARGE

Senate Bill 7, 83rd Legislature, Regular Session, 2013, directs the Health and Human Services Commission (HHSC) and Department of Aging and Disability Services (DADS) to submit to the Legislature a report that includes the following information:

1. the percentage of services provided by each local intellectual and developmental disability authority (LIDDA) to individuals residing in an intermediate care facility for individuals with an intellectual disability or related conditions (ICF/IID) or receiving Medicaid waiver program services, compared to the percentage of those services provided by private providers;
2. the types of evidence provided by LIDDAs to DADS to demonstrate the lack of available private providers in areas of the state where LIDDAs provide services to more than 40 percent of the Texas Home Living (TxHmL) waiver program clients or 20 percent of the Home and Community-based Services (HCS) waiver program clients;
3. the types and amounts of services received by clients from LIDDAs compared to the types and amounts of services received by clients from private providers;
4. the provider capacity of each LIDDA as determined under Health and Safety Code, Section 533.0355(d);
5. the number of individuals served above or below the applicable provider capacity by each LIDDA; and
6. if a LIDDA is serving clients over the LIDDA's provider capacity, the length of time the LIDDA has served clients above the authority's approved provider capacity.

BACKGROUND

In 1965, Texas law authorized “cities, counties, hospital districts, school districts, rehabilitation districts, state-supported institutions of higher education, and state-supported medical schools, or any combination of these...[to] establish and operate a community center” to provide mental health services and/or services for individuals with an intellectual or developmental disability (IDD), as an alternative to institutional care.¹ Under Texas law, HHSC may delegate to the LIDDAs the commission’s authority and responsibility related to planning, policy development, coordination, resource allocation, and resource development for and oversight of mental health and IDD services in the most appropriate and available setting to meet individual needs in that service area.²

In 2003, the 78th Legislature clarified that LIDDAs could only serve as a provider of last resort³ and directed DADS to implement a plan to privatize all ICF/IID and waiver services provided by a LIDDA.⁴ In 2005, Governor Rick Perry issued an executive order directing HHSC to develop

¹ House Bill 3, 59th Legislature, Regular Session, 1965.

² Texas Health and Safety Code §533.035(a).

³ The term “provider of last resort” means the LIDDA made every reasonable attempt to solicit the development of an available and appropriate provider base sufficient to meet the needs of individuals in its service area and there is not a willing provider or relevant services in the LIDDA’s service area or in the county where the provision of services is needed.

⁴ Texas Health and Safety Code §533.035(e)(g).

an implementation plan through a negotiated rulemaking process with all relevant stakeholders to:

- *Protect Consumer Choice:* Current laws protecting the consumer's choice of provider must be prioritized and upheld, regardless of any imposed limitations developed within the plan;⁵
- *Protect the Safety Net:* The plan must ensure LIDDAs maintain sufficient infrastructure that reflects the needs of local communities to maintain a safety net that ensures services continue to be available.
- *Recognize Local Differences:* The plan must accommodate the differences within local service delivery areas (e.g., difference between rural and urban resources) in the determination of a reasonable attempt to ensure the appropriate availability of a provider network.
- *Develop Responsible Timelines:* HHSC will develop a timeline to ensure no disruption to consumers' current service provision, the local communities' readiness, and the required need for a safety net.⁶

Upon HHSC's conclusion of the negotiated rulemaking process, in 2007, the 80th Legislature, clarified that LIDDAs may serve as a provider of IDD services only if:⁷

- enrollment levels do not exceed the LIDDA's August 2004 waiver program enrollment levels⁸ and any increase in capacity must be based on:
 - the LIDDA's state-mandated conversion from an ICF/IID to a waiver program, allowing for a permanent increase in capacity in accordance with the number of persons who choose the LIDDA as their provider;
 - the LIDDA's voluntary conversion from an ICF/IID to a waiver program, allowing for a temporary increase in capacity, to be reduced by attrition, in accordance with the number of persons who choose the LIDDA as their provider;
 - the LIDDA's refinancing from services funded solely by state general revenue to a Medicaid program, allowing for a temporary increase in the LIDDA's provider capacity, to be reduced by attrition, in accordance with the number of persons who choose the LIDDA as their provider; or
 - other extenuating circumstances, monitored and approved by DADS, that do not unnecessarily promote the LIDDA's provider role over its role as a LIDDA, and may include increases necessary to accommodate a family- or consumer-specific circumstance and choice; or

⁵ DADS HCS and TxHmL Waiver Applications, Sections 6, (E), In accordance with 42 CFR §431.151, a participant may select any willing and qualified provider to furnish waiver services included in the service plan unless the State has received approval to limit the number of providers under the provisions of §1915(b) or another provision of the Act. Available online at <http://www.dads.state.tx.us/providers/TxHmL/txhmlWaiverApp2012-2017.pdf>, <http://www.dads.state.tx.us/providers/hcs/HCSRenewalApp2013-2018.pdf> (last accessed July 6, 2015).

⁶ Executive Order No. RP-45, June 17, 2005. Available online at <http://www.lrl.state.tx.us/scanned/govdocs/Rick%20Perry/2005/procMHMR.pdf> (last accessed July 6, 2015).

⁷ Texas Health and Safety Code §533.0355 (d)(2)(D)(iii), authorizes increases necessary to accommodate a family-specific or consumer specific circumstances and choice. (House Bill 2439, 80th Legislature, Regular Session, 2007.)

⁸ If the LIDDA's enrollment levels exceed August 2004 levels, the LIDDA must reduce the levels by attrition. Attrition occurs naturally as individuals discharge from the program. Reduction in capacity varies by LIDDA and may be affected by client choice.

- the IDD programs are necessary to ensure availability of services, and the LIDDA demonstrates to HHSC that there is not a willing and qualified provider in the area where the service is needed.⁹

Methodology

Data in this report was extracted from the DADS Client Assignment and Registration (CARE) system and other internal data sources. Data is provided for both fiscal year (FY) 2006 and FY 2014 to illustrate changes since the capacity limits (CAPs) were instituted in 2004. This data is subject to the following limitations:

- Enrollment data is provided as a point-in-time snapshot, as the data is fluid and continuously changing.
- Cost data is based on billing information in accordance with HCS¹⁰ and TxHml¹¹ billing guidelines, which allow providers to submit claims up to 12 months after service provision.¹²

Between FY 2006 and FY 2014, several LIDDAs consolidated, and one LIDDA changed to another organization. These changes affect the data analysis and are explained throughout this document.

⁹ Texas Health and Safety Code §533.035(e-1), §533.0355(d).

¹⁰ HCS Billing Program Billing Guidelines, Rev 14-1, Effective March 21, 2014. Available online at <https://www.dads.state.tx.us/handbooks/hcsbg/3000/3000.htm#sec3210>, (last accessed July 7, 2015).

¹¹ TxHml Billing Program Billing Guidelines, Rev 14-1, Effective April 10, 2014. Available online at <http://www.dads.state.tx.us/handbooks/txhmlbg/3000/3000.htm#sec3210>, (last accessed July 7, 2015).

¹² Cost data is current as of September 2, 2014.

REPORT FINDINGS

1. Percentage of ICF/IID and Medicaid Waiver Services¹³ Provided by LIDDAs and Private Providers

As indicated in Table 1, LIDDAs provided approximately 10.4% of ICF/IID services in FY 2006, compared to approximately 89.6% of ICF/IID services delivered by private providers. In FY 2014, the percentage of ICF/IID services provided by LIDDAs decreased to 9.7%, compared to 90.3% for private providers. Billing data reported in Table 2 provides the monetary amounts of ICF/IID service claims submitted for FY 2006, and the approximate amounts for FY 2014. The information represented in Table 3 reflects the number of individuals served by LIDDAs and private providers for FY 2006 and FY 2014.

Table 1. Comparison of ICF/IID Services Provided by LIDDAs and Private Providers, FY 2006 and FY 2014

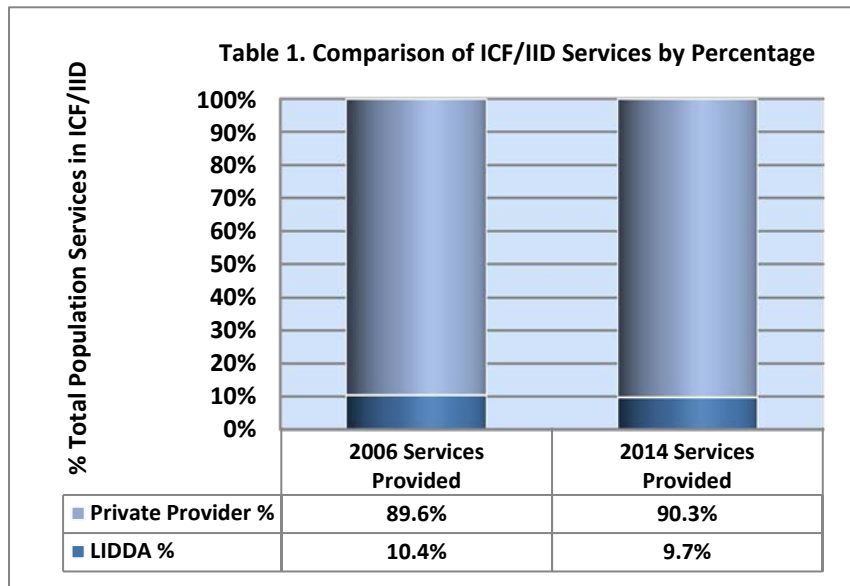


Table 2. Comparison of ICF/IID Services Claims Billed by LIDDAs and Private Providers, FY 2006 and FY 2014

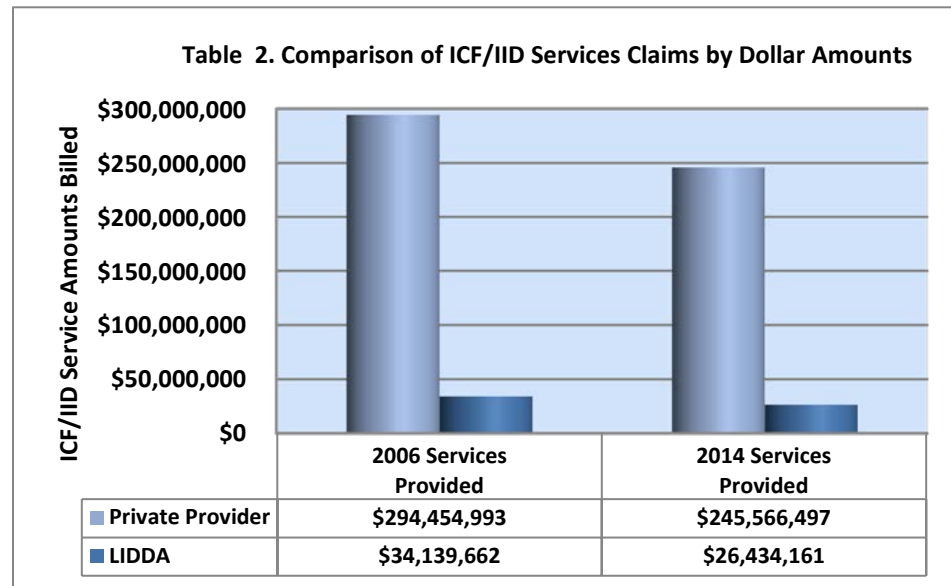


Table 3. Comparison of Individuals Served in ICF/IID

| | 2006 Number of Individuals Served | 2014 Number of Individuals Served |
|------------------|-----------------------------------|-----------------------------------|
| Private Provider | 7,236 | 5,904 |
| LIDDA | 885 | 636 |

¹³ For purposes of this report, Medicaid waiver services only include services provided through the Home and Community-based Services and Texas Home Living waiver programs, as these are the only waiver programs for which LIDDAs are also service providers.

As indicated in Table 4, LIDDAs provided approximately 26% of HCS waiver services in FY 2006, compared to approximately 74% of HCS services delivered by private providers. In FY 2014, the percentage of HCS services provided by LIDDAs decreased to 12.3%, compared to 87.7% for private providers. Billing data reported in Table 5 provides the monetary amounts of HCS service claims submitted for FY 2006, and the approximate amounts for FY 2014. The information represented in Table 6 reflects the number of individuals served by LIDDAs and private providers for FY 2006 and FY 2014.

Table 4. Comparison of HCS Services Provided by LIDDAs and Private Providers, FY 2006 and FY 2014

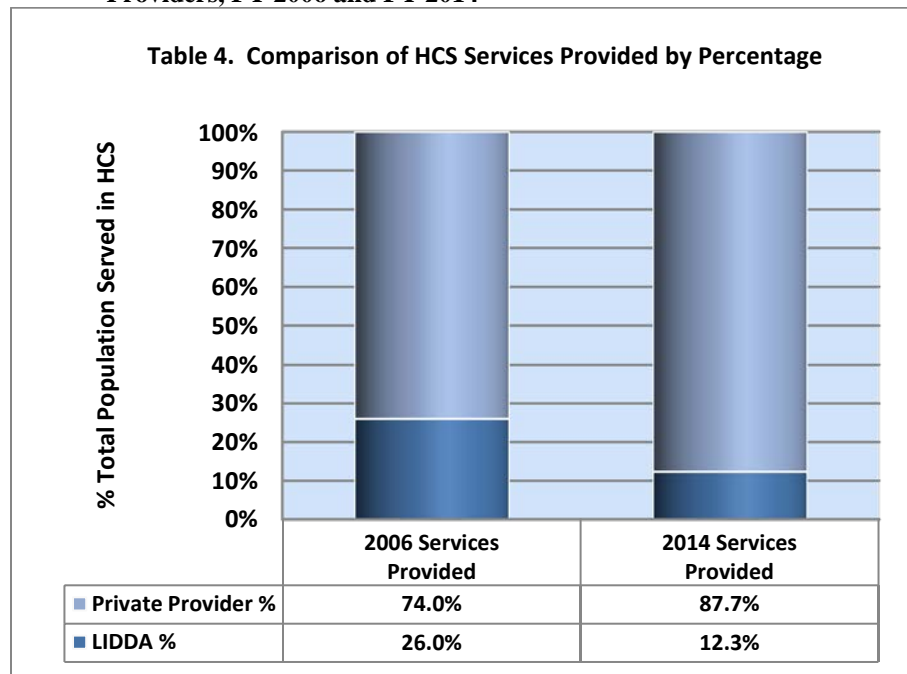


Table 5. Comparison of HCS Service Claims Billed by LIDDAs and Providers, FY 2006 and FY 2014

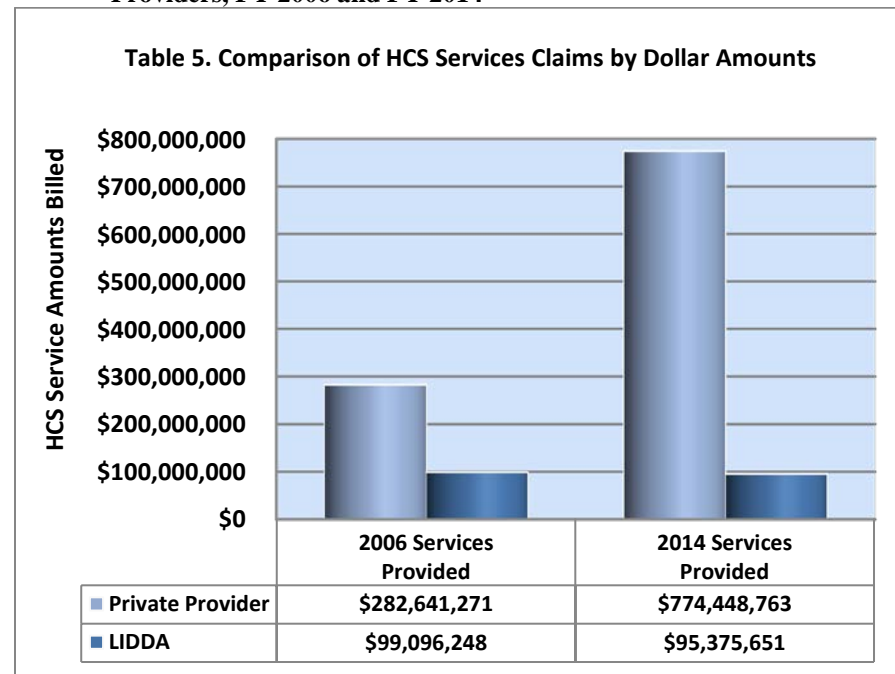


Table 6. Comparison of Individuals Served in HCS

| | 2006 Number of Individuals Served | 2014 Number of Individuals Served |
|------------------|-----------------------------------|-----------------------------------|
| Private Provider | 9,034 | 21,824 |
| LIDDA | 3,543 | 3,447 |

As indicated in Table 7, LIDDAs provided approximately 55.9% of TxHmL waiver services in FY 2006, compared to approximately 44.1% of TxHmL services delivered by private providers. In FY 2014, the percentage of TxHmL services provided by LIDDAs decreased to 35.4%, compared to 64.6% for private providers. Billing data reported in Table 8 provides the monetary amounts of TxHmL service claims submitted for FY 2006, and the approximate amounts for FY 2014. The information represented in Table 9 reflects the number of individuals served by LIDDAs and private providers for FY 2006 and FY 2014.

Table 7. Comparison of TxHmL Services Provided by LIDDAs and Private Providers, FY 2006 and FY 2014

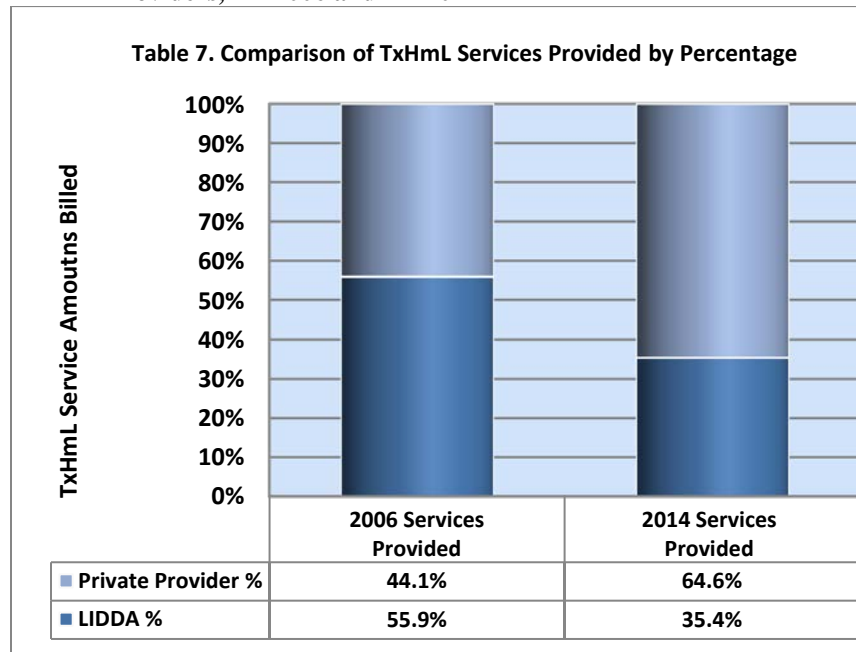


Table 8. Comparison of TxHmL Services Claims Billed by LIDDAs and Providers, FY 2006 and FY 2014

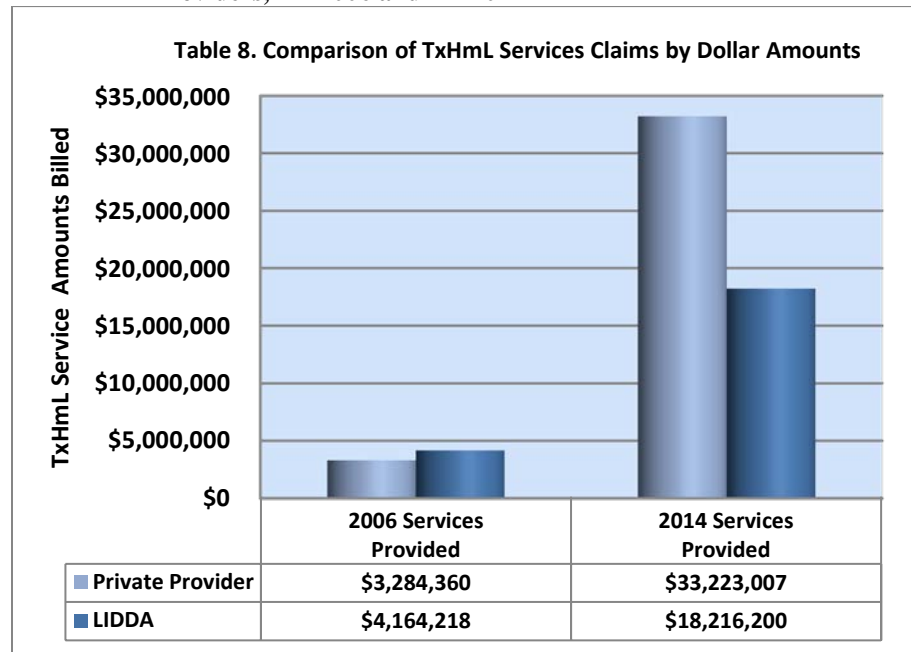


Table 9. Comparison of Individual Served in TxHmL

| | 2006 Number of Individuals Served | 2014 Number of Individuals Served |
|------------------|-----------------------------------|-----------------------------------|
| Private Provider | 1,119 | 4,227 |
| LIDDA | 1,145 | 3,024 |

2. Evidence Provided by LIDDAs to Demonstrate Lack of Available Private Providers

During the provider selection process, LIDDAs are required to provide a listing of all available providers in the service area to consumers and families in a fair and unbiased manner. As referenced earlier in this report, LIDDAs are required to maintain August 2004 waiver enrollment levels and encourage families and consumers to explore all available options.¹⁴

In circumstances where there are no available providers or a family or consumer request services from a LIDDA serving above their capacity limits, LIDDAs must request a temporary and/or permanent increase from DADS and provide supporting documentation for the request. Documentation includes a personal letter submitted by the consumer and family explaining the desire to have services provided by the LIDDA and why no other provider is acceptable to them, or noting a lack of providers is available in the service area. Between FY 2006 and FY 2014, there were no requests for CAP increases based on lack of provider availability.

Between FY 2006 and FY 2014, LIDDAs requested 1,038 temporary CAP increases in the HCS program and 597 temporary CAP increases for TxHmL, due to individuals choosing the LIDDA as their service provider.¹⁵

Table 10 provides the number of private providers and LIDDAs, by waiver contract areas, with service billings between FY 2006 and FY 2014, and the number of LIDDAs over their established program CAP thresholds.

Table 10. Number of DADS HCS and TxHmL Provider Contracts

| DADS Waiver Contract Area¹⁶ | # of LIDDAs | # of LIDDAs above 20% HCS CAP | # of LIDDAs above 40% TxHmL CAP | HCS Private Providers | TxHmL Private Providers |
|---|--------------------|--------------------------------------|--|------------------------------|--------------------------------|
| Area 1 | 3 | 0 | 3 | 15 | 11 |
| Area 2 | 5 | 0 | 2 | 94 | 52 |
| Area 3 | 4 | 2 | 4 | 134 | 71 |
| Area 4 | 7 | 2 | 6 | 109 | 41 |
| Area 5 | 3 | 0 | 2 | 220 | 90 |
| Area 6 | 5 | 0 | 4 | 83 | 33 |
| Area 7 | 4 | 1 | 3 | 76 | 33 |
| Area 8 | 4 | 2 | 3 | 26 | 19 |
| Area 9 | 4 | 1 | 4 | 52 | 27 |
| TOTALS | 39 | 8 | 31 | 809 | 377 |

Table 11 provides a map of the DADS waiver contract areas (WCA) and each of the LIDDAs service boundaries.

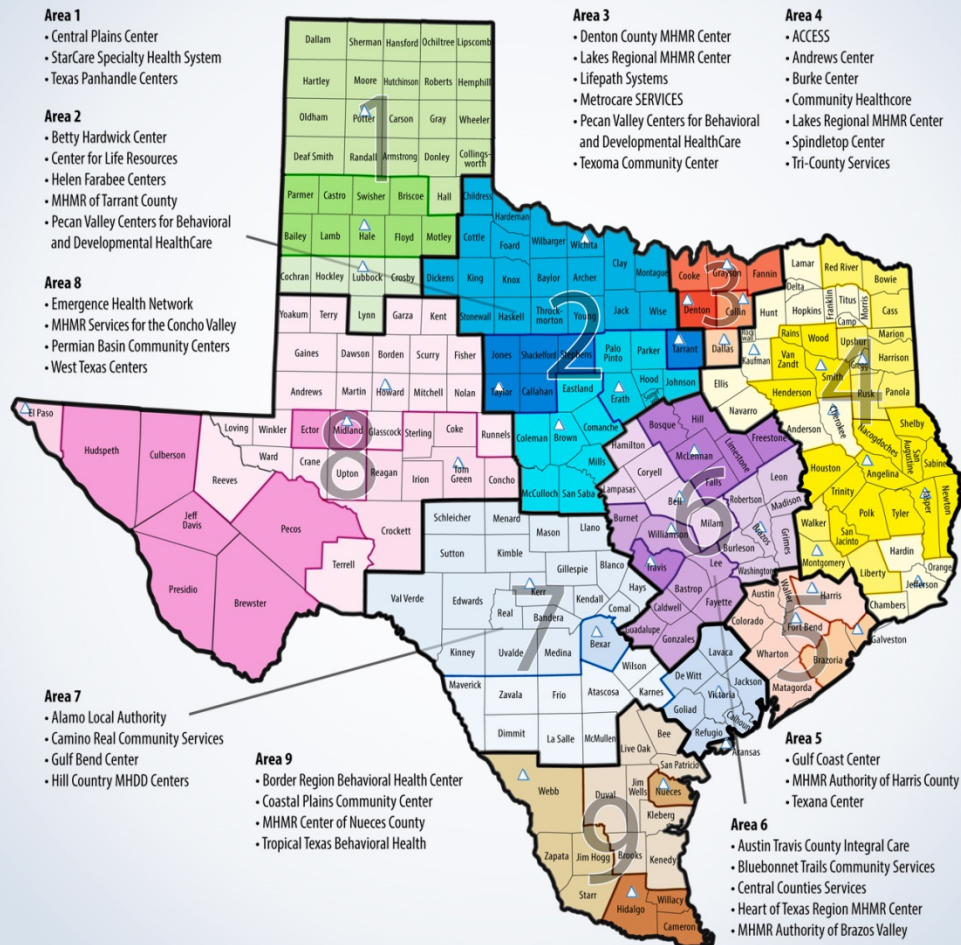
¹⁴ House Bill 2439, 80th Legislature, Regular Session, 2007, authorizes increases necessary to accommodate a family-specific or consumer specific circumstances and choice.

¹⁵ If the LIDDA's enrollment levels exceed August 2004 levels, the LIDDA must reduce the levels by attrition. Attrition occurs naturally as individuals discharge from the program. Reduction in capacity varies by LIDDA and may be affected by client choice.

¹⁶ DADS Waiver Contract Area Map with LIDDA Catchment Areas, pg. 8, Table 11.

Table 11. DADS Waiver Contract Area Map with LIDDA Catchment Areas.

DADS HCS/TxHmL Waiver Contract Areas by County



3. Types and Amounts of Services Received by LIDDA Clients, Compared to Services Received by Private Provider Clients

Table 12 compares the types and amounts of billed HCS and TxHmL services provided by LIDDAs in relation to private providers during FY 2014. Program services marked with an asterisk “*” include Consumer Directed Services (CDS) billing amounts.

Table 12. Comparison of HCS and TxHmL Services Provided by LIDDAs and Private Providers, FY 2014

| Billed Services ¹⁷ | LIDDAs-HCS | | Private Providers-HCS | | LIDDAs-TxHmL | | Private Providers-TxHmL | |
|---|---------------------|-------------------|-----------------------|-------------------|---------------------|-------------------|-------------------------|-------------------|
| | Amount Billed | % of all Services | Amount Billed | % of all Services | Amount Billed | % of all Services | Amount Billed | % of all Services |
| Adaptive Aids / DME* | \$365,766 | 0.4% | \$2,394,799 | 0.3% | \$36,208 | 0.2% | \$58,299 | 0.2% |
| Audiology | \$105 | 0.0% | \$606 | 0.0% | NA | NA | NA | NA |
| Behavioral Support Services* | \$272,173 | 0.3% | \$3,037,299 | 0.4% | \$120,390 | 0.7% | \$163,964 | 0.5% |
| Community Support Services* | NA | NA | NA | NA | \$4,630,470 | 25.4% | \$7,639,407 | 23.0% |
| Dental* | \$1,107,951 | 1.2% | \$5,832,887 | 0.8% | \$772,418 | 4.2% | \$666,459 | 2.0% |
| Dental Requisition Fee | \$100,509 | 0.1% | \$518,622 | 0.1% | \$70,301 | 0.4% | \$57,221 | 0.2% |
| Dietary | \$11,429 | 0.0% | \$146,271 | 0.0% | \$5,127 | 0.0% | \$6,495 | 0.0% |
| Employment Assistance* | \$3,555 | 0.0% | \$6,460 | 0.0% | \$50,362 | 0.3% | \$21,690 | 0.1% |
| Foster/Companion Care | \$36,565,377 | 38.3% | \$272,133,041 | 35.1% | NA | NA | NA | NA |
| Habilitation - Day* | \$11,427,997 | 12.0% | \$79,562,926 | 10.3% | \$5,956,006 | 32.7% | \$4,898,042 | 14.7% |
| Minor Home Modifications* | \$101,327 | 0.1% | \$814,386 | 0.1% | \$78,035 | 0.4% | \$94,904 | 0.3% |
| Monthly Administration Fee - CDS | \$0 | 0.0% | \$613,239 | 0.1% | \$0 | 0.0% | \$1,109,922 | 3.3% |
| Nursing Services - LVN | \$680,631 | 0.7% | \$3,203,256 | 0.4% | \$50,515 | 0.3% | \$22,424 | 0.1% |
| Nursing Services RN* | \$1,103,650 | 1.2% | \$7,061,654 | 0.9% | \$158,776 | 0.9% | \$213,463 | 0.6% |
| Occupational Therapy | \$13,295 | 0.0% | \$250,258 | 0.0% | \$2,024 | 0.0% | \$6,657 | 0.0% |
| Physical Therapy* | \$26,385 | 0.0% | \$512,878 | 0.1% | \$11,111 | 0.1% | \$23,789 | 0.1% |
| Requisition Fees - Minor Home Modifications | \$28,834 | 0.0% | \$196,003 | 0.0% | \$7,550 | 0.0% | \$8,628 | 0.0% |
| Residential Support Services | \$20,162,027 | 21.1% | \$225,692,513 | 29.1% | NA | NA | NA | NA |
| Respite - Hourly* | \$754,014 | 0.8% | \$3,523,179 | 0.5% | \$6,075,505 | 33.4% | \$17,896,952 | 53.9% |
| Social Work | \$0 | 0.0% | \$14,184 | 0.0% | NA | NA | NA | NA |
| Specialized Nursing LVN | \$23,873 | 0.0% | \$688,016 | 0.1% | NA | NA | NA | NA |
| Specialized Nursing RN | \$524 | 0.0% | \$48,454 | 0.0% | NA | NA | NA | NA |
| Speech* | \$157,122 | 0.2% | \$1,632,857 | 0.2% | \$93,018 | 0.5% | \$244,679 | 0.7% |
| Supervised Living | \$15,738,298 | 16.5% | \$122,514,989 | 15.8% | NA | NA | NA | NA |
| Support Consultation - CDS | \$0 | 0.0% | \$154 | 0.0% | \$0 | 0.0% | \$1,061 | 0.0% |
| Supported Employment | \$259,249 | 0.3% | \$291,372 | 0.0% | NA | NA | NA | NA |
| Supported Home Living* | \$6,471,560 | 6.8% | \$43,758,460 | 5.7% | NA | NA | NA | NA |
| Supported Employment* | NA | NA | NA | NA | \$98,382 | 0.5% | \$88,951 | 0.3% |
| TOTAL | \$95,375,651 | 100.0% | \$774,448,763 | 100.0% | \$18,216,198 | 100.0% | \$33,223,007 | 100.0% |

¹⁷ HCS and TxHmL Waiver Program Services Description Available online at http://www.dads.state.tx.us/providers/community_options.pdf (last accessed July 7, 2015).

4. LIDDA Provider Capacity

Table 13 provides the current HCS and TxHmL CAPs by LIDDA, as required by the 80th Legislature. Changes between FY 2006 and FY 2014 are explained by footnotes.

Table 13. HCS and TxHmL LIDDA Capacity, by LIDDA, FY 2006 and FY 2014

| LIDDA | FY 2006 HCS CAP | FY 2014 HCS CAP | FY 2006 TxHmL CAP | FY 2014 TxHmL CAP |
|---|----------------------------|----------------------------|----------------------------------|----------------------------------|
| Alamo Local Authority for IDD ¹ | NA | NA | NA | NA |
| Anderson Cherokee Community Enrichment Services (ACCESS) | 4 | 4 | 4 | 4 |
| Andrews Center | 30 | 30 | 15 | 15 |
| Austin-Travis County Integral Care | 63 | 63 | 22 | 22 |
| Behavioral Health Center of Nueces County | 62 | 62 | 9 | 9 |
| Betty Hardwick Center | 97 | 97 | 35 | 35 |
| Bluebonnet Trails Community Services | 161 | 161 | 47 | 47 |
| Border Region Behavioral Health Center | 25 | 25 | 6 | 6 |
| Burke Center | 66 | 66 | 30 | 30 |
| Camino Real Community Services | 80 | 80 | 11 | 11 |
| Center for Healthcare Services, The ¹ | 170 | NA | 33 | NA |
| Center for Life Resources | 39 | 39 | 7 | 7 |
| Central Counties Services | 84 | 84 | 15 | 15 |
| Central Plains Center | 25 | 25 | 2 | 2 |
| Coastal Plains Community Center | 77 | 77 | 41 | 41 |
| Community Healthcare ² | 54 | 95 | 22 | 31 |
| Denton County MHMR Center | 140 | 140 | 1 | 1 |
| Emergence Health Network | 45 | 45 | 29 | 29 |
| Gulf Bend Center | 48 | 48 | 12 | 12 |
| Heart of Texas Region MHMR Center | 118 | 118 | 26 | 26 |
| Helen Farabee Centers | 50 | 50 | 19 | 19 |
| Hill Country Mental Health and Developmental Disabilities Centers | 207 | 207 | 23 | 23 |
| Johnson, Ellis, Navarro MHMR ³ | 25 | NA | 13 | NA |
| Lakes Regional MHMR Center ³ | 18 | 30 | 62 | 69 |
| Lifepath Systems | 60 | 60 | 14 | 14 |
| Metrocare Services | 72 | 72 | 96 | 96 |
| MHMR Authority of Brazos Valley | 40 | 40 | 8 | 8 |
| MHMR Authority of Harris County | 131 | 131 | 85 | 85 |
| MHMR of Tarrant County | 150 | 150 | 73 | 73 |
| MHMR Services for the Concho Valley | 20 | 20 | 18 | 18 |
| Northeast Texas MHMR Center ² | 41 | NA | 9 | NA |
| Pecan Valley Centers ³ | 55 | 68 | 5 | 7 |
| Permian Basin Community Centers | 89 | 89 | 21 | 21 |
| Spindletop Center | 202 | 202 | 37 | 37 |
| Starcare Specialty Health System | 129 | 129 | 19 | 19 |
| Texana Center | 281 | 281 | 13 | 13 |
| Texas Panhandle Centers | 152 | 152 | 25 | 25 |
| Texoma Community Center | 26 | 26 | 4 | 4 |
| The Gulf Coast Center | 0 | 0 | 29 | 29 |
| Tri-County Services | 67 | 67 | 12 | 12 |
| Tropical Texas Behavioral Health | 46 | 46 | 34 | 34 |

| | | | | |
|--------------------|--------------|--------------|------------|------------|
| West Texas Centers | 129 | 129 | 13 | 13 |
| Total | 3,378 | 3,208 | 999 | 962 |

¹The Center for Healthcare Services relinquished its role as a LIDDA on August 31, 2006. Alamo Area Council of Governments (AACOG) assumed the role of LIDDA beginning September 1, 2006. The Center for Health Care Services CAPs were removed immediately following the transfer to AACOG.

²Northeast Texas MHMR Center was consolidated with Community Healthcore.

³Johnson, Ellis, Navarro MHMR was dissolved with Johnson County consolidating with Pecan Valley and Ellis and Navarro Counties with Lakes Regional.

5. Number of Individuals Served Above or Below the Applicable Provider Capacity by Each LIDDA

As Table 14 indicates, in the HCS program, 21 of the 39 LIDDAs operated at or below their capacity during FY 2014. Eighteen LIDDAs exceeded their capacity and of these, 8 exceeded their HCS capacity by more than 20%. During FY 2014, 4 of the 39 LIDDAs operated at or below their TxHmL capacity, while 35 operated above their capacity. Of these, 32 LIDDAs exceeded their capacity by more than 40%. LIDDAs marked with an “*” do not operate TxHmL waiver programs.

Table 14. Number of HCS and TxHmL Clients Served Above or Below LIDDA Capacity, FY 2014

| LIDDA | 2006 HCS CAP | 2014 Enrolled HCS | 2006 TxHmL CAP | 2014 Enrolled TxHmL |
|--|-------------------------|----------------------------------|-------------------------------|--------------------------------|
| Alamo Local Authority for IDD ¹⁸ | 0 | 0 | 0 | 0 |
| Anderson Cherokee Community Enrichment Services (ACCESS) | 4 | 3 | 4 | 40 |
| Andrews Center | 30 | 35 | 15 | 64 |
| Austin-Travis County Integral Care | 63 | 43 | 22 | 57 |
| Behavioral Health Center of Nueces County | 62 | 66 | 9 | 57 |
| Betty Hardwick Center | 97 | 79 | 35 | 52 |
| Bluebonnet Trails Community Services | 161 | 162 | 47 | 139 |
| Border Region Behavioral Health Center | 25 | 41 | 6 | 63 |
| Burke Center | 66 | 110 | 30 | 78 |
| Camino Real Community Services | 80 | 72 | 11 | 79 |
| Center for Life Resources | 39 | 41 | 7 | 39 |
| Central Counties Services* | 84 | 74 | 15 | 0 |
| Central Plains Center | 25 | 29 | 2 | 45 |
| Coastal Plains Community Center | 77 | 74 | 41 | 78 |
| Community Healthcore | 95 | 82 | 31 | 40 |
| Denton County MHMR Center | 140 | 129 | 1 | 44 |
| Emergency Health Network | 45 | 76 | 29 | 39 |
| Gulf Bend Center | 48 | 61 | 12 | 37 |
| Heart of Texas Region MHMR Center | 118 | 97 | 26 | 93 |

¹⁸ Alamo Local Authority for IDD does not operate as a public provider for HCS or TxHmL waiver program services.

| | | | | |
|---|--------------|--------------|------------|--------------|
| Helen Farabee Centers* | 50 | 50 | 19 | 0 |
| Hill Country Mental Health and Developmental Disabilities Centers | 207 | 191 | 23 | 61 |
| Lakes Regional MHMR Center | 30 | 111 | 69 | 143 |
| Lifepath Systems | 60 | 59 | 14 | 49 |
| Metrocare Services | 72 | 103 | 96 | 138 |
| MHMR Authority of Brazos Valley | 40 | 45 | 8 | 45 |
| MHMR Authority of Harris County | 131 | 107 | 85 | 231 |
| MHMR of Tarrant County | 150 | 126 | 73 | 97 |
| MHMR Services for the Concho Valley | 20 | 46 | 18 | 43 |
| Pecan Valley Centers | 68 | 49 | 7 | 28 |
| Permian Basin Community Centers | 89 | 98 | 21 | 66 |
| Spindletop Center | 202 | 200 | 37 | 162 |
| Starcare Specialty Health System | 129 | 90 | 19 | 62 |
| Texana Center | 281 | 302 | 13 | 174 |
| Texas Panhandle Centers | 152 | 152 | 25 | 105 |
| Texoma Community Center | 26 | 32 | 4 | 32 |
| The Gulf Coast Center* | 0 | 2 | 29 | 0 |
| Tri-County Services | 67 | 63 | 12 | 38 |
| Tropical Texas Behavioral Health | 46 | 38 | 34 | 104 |
| West Texas Centers | 129 | 133 | 13 | 90 |
| Total | 3,208 | 3,271 | 903 | 2,480 |

6. Length of Time Each LIDDA has Served Clients Above LIDDA's Approved Provider Capacity

For LIDDAs currently exceeding their CAPs, Table 15 provides the year in which the LIDDA began operating in excess of the established cap. Many of these CAP increases coincided with the legislatively-mandated refinancing initiative, as discussed on page 2.

Table 15. Length of Time LIDDA Operated in Excess of Established Caps, HCS Program and TxHmL

| LIDDA | HCS Year Exceeded CAP | TxHmL Year Exceeded CAP |
|--|------------------------------|--------------------------------|
| Anderson Cherokee Community Enrichment Services (ACCESS) | NA | 2011 |
| Andrews Center | 2014 | 2012 |
| Austin-Travis County Integral Care | NA | 2012 |
| Behavioral Health Center of Nueces County | 2014 | 2006 |
| Betty Hardwick Center | NA | 2011 |
| Bluebonnet Trails Community Services | 2014 | 2006 |
| Border Region Behavioral Health Center | 2008 | 2012 |
| Burke Center | 2009 | 2011 |
| Camino Real Community Services | NA | 2011 |
| Center for Life Resources | 2011 | 2011 |
| Central Plains Center | 2011 | 2008 |
| Coastal Plains Community Center | NA | 2011 |

| | | |
|---|------|------|
| Community Healthcore | NA | 2012 |
| Denton County MHMR Center | NA | 2011 |
| Emergence Health Network | 2007 | 2012 |
| Gulf Bend Center | 2010 | 2011 |
| Gulf Coast Center | 2006 | NA |
| Heart of Texas Region MHMR Center | NA | 2011 |
| Hill Country Mental Health and Developmental Disabilities Centers | NA | 2006 |
| Lakes Regional MHMR Center | 2006 | 2011 |
| Lifepath Systems | NA | 2012 |
| Metrocare Services | 2008 | 2012 |
| MHMR Authority of Brazos Valley | 2009 | 2011 |
| MHMR Authority of Harris County | NA | 2012 |
| MHMR of Tarrant County | NA | 2011 |
| MHMR Services for the Concho Valley | 2009 | 2011 |
| Pecan Valley Centers | NA | 2007 |
| Permian Basin Community Centers | 2011 | 2011 |
| Spindletop Center | NA | 2011 |
| Starcare Specialty Health System | NA | 2011 |
| Texana Center | 2008 | 2011 |
| Texas Panhandle Centers | NA | 2011 |
| Texoma Community Center | 2010 | 2011 |
| Tri-County Services | NA | 2011 |
| Tropical Texas Behavioral Health | NA | 2012 |
| West Texas Centers | 2007 | 2006 |

CONCLUSION

This report was prepared to satisfy the requirements of Senate Bill 7, 83rd Legislature, Regular Session, 2013, on the role of the LIDDAs as service providers. The report data provided for both FY 2006 and FY 2014 illustrate the following:

- Since FY 2006, the number of individuals serviced by a private provider increased.
- Generally, the types of services provided by LIDDAs and private providers are similar.
- All CAP increases approved by DADS between FY 2006 and FY 2014 were due to individual provider choice.



September 24, 2015



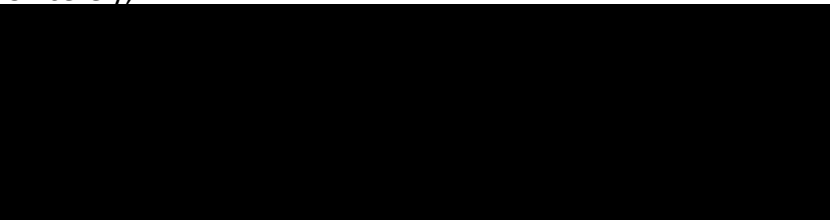
I am pleased to announce Ms. Kaitlyn Motley, MPA, MA will join the Texas Council as Mental Health Director, effective October 8, 2015.

Kaitlyn was most recently a Public Health Advisor at the Substance Abuse and Mental Health Services Administration (SAMHSA) in the Center for Mental Health Services. In this capacity she served as a Federal Project Officer on the Children's Mental Health Initiative (CMHI) System of Care (SOC) grants (including Texas sites), overseeing all SOC National Evaluation efforts. She also served as liaison between all child serving federal agencies, implementing cross-cutting initiatives on various children's issues, including juvenile justice, psychotropic medication use, residential treatment, and bridging services from childhood to adulthood.

Ms. Motley holds a Master's in Public Administration in Health and Social Policy Management from American University and a Master's in Clinical Psychology from Fairleigh Dickinson University.

Please join us in welcoming Kaitlyn Motley as the newest member of Team Council!

Sincerely,



Danette Castle, CEO
Texas Council of Community Centers

This message has been transmitted Texas Council Board Members and Chief Executive Officers/Executive Directors and members of BH, CFO, ECI, HR, IDD, MD, QM/UM and IM Consortia.

CHIEF FINANCIAL OFFICER'S REPORT

October 22, 2015

FY 2015 Audit – We continue to prepare for the next auditor's visit on November 2nd through November 6th. The first visit focused on contract compliance and review of our processes and procedures. The next visit will be more focused on the financial statements and our fixed assets and depreciation. They left us a list of 50 items to have ready for them when they return.

Worker's Compensation Audit – We have had our initial Worker's Compensation auditor visit on October 7th. It went really smooth for a change. At this meeting, we gave her all the following information: Payroll Journals for FY 2015, Individual Earnings Records, Quarterly Payroll Tax Returns (941 & State Unemployment Reports), Amounts paid to Subcontractors and Certificates of Insurance for Subcontractors. Since this lady was so efficient, we expect to hear back sooner this year on the final audit results. I will keep you posted.

Cost Accounting Methodology (CAM) – We are getting ready to prepare our CAM report for the FY 2015 fiscal period. As was the requirements last year, we are only required to do the CAM for DSHS. The due date for the preliminary report is January 28, 2016 and the final report is due on February 28, 2016. Over the next couple of months, we will be spending time with program managers to review their service areas to ensure that accurate data is reflected in the cost centers. Like last year, we will be having individual meetings with managers since we have many new staff and programs that are not familiar with this process. And prior to submission, we will analyze the cost data and research any costs that have significant variances as compared with prior year data.

Days of Operation Ratio – As of the preliminary August 2015 financials, our Days of Operation Ratio is at 72 days. This compares to the same time last year when our Days of Operation Ratio was at 105 Days. A little explanation on the change can be attributed to the amount of dollars spent on the building projects in FY 2015 as well as FY 2015 receivable from DSHS and the 1115 Waiver projects. The DSHS receivable is a change that they implemented in FY 2014 where they withhold 10% of our GR until we prove that all of our targets have been met for the fiscal year. If these funds had been received prior to year end, we would be at 87 days. The 1115 Waiver funds will be received in January.

| | |
|---|---|
| Agenda Item: Community Resources Report Committee: Program | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: Community Resources Report | |
| Recommended Action: For Information Only | |

Community Resources Report

September 25, 2015 – October 22, 2015

Volunteer Hours:

| Location | September |
|--------------|--------------|
| Conroe | 363 |
| Cleveland | 0 |
| Liberty | 9 |
| Huntsville | 34.5 |
| Total | 406.5 |

COMMUNITY ACTIVITIES:

| | | |
|----------|---|---------------|
| 9/26/15 | Veterans Fair & Family Day | Galveston |
| 9/29/15 | Women Veterans Field Day Planning Meeting | Liberty |
| 9/29/15 | Chamber of Commerce Awards Luncheon | The Woodlands |
| 9/30/15 | Veteran's Day Ceremony Meeting | Conroe |
| 9/30/15 | Huntsville ISD Education Summit | Huntsville |
| 10/1/15 | Cleveland Chamber of Commerce Luncheon | Cleveland |
| 10/1/15 | Walker County Community Resource Coordination Group | Huntsville |
| 10/5/15 | Montgomery County Homeless Coalition Board Meeting | Conroe |
| 10/6/15 | United Way Pacesetters Breakfast | The Woodlands |
| 10/6/15 | United Way 5210 Healthy Eating Committee | The Woodlands |
| 10/6/15 | Chevron-Phillips Networking Lunch for Recruiting Veteran Volunteers | Conroe |
| 10/6/15 | Montgomery County Child Fatality Review Team Meeting | The Woodlands |
| 10/6/15 | Montgomery County United Way Health & Wellness Impact Council Meeting | The Woodlands |
| 10/6/15 | Willis ISD Meeting | Willis |
| 10/6/15 | Montgomery County United Way Pacesetter Celebration | The Woodlands |
| 10/7/15 | Conroe Veterans of Foreign Wars Meeting | Conroe |
| 10/7/15 | The Lions Club | Willis |
| 10/7/15 | Conroe Courier Meeting for Youth Mental Health First Aid Article | Conroe |
| 10/7/15 | American Legion Executive Board Meeting | Conroe |
| 10/7/15 | Leadership Montgomery County Alumni Session | The Woodlands |
| 10/8/15 | Lay-in with Veterans at Plane State Jail | Dayton |
| 10/8/15 | Huntsville Chamber of Commerce Breakfast | Huntsville |
| 10/9/15 | Train-The-Trainer Basic Training Veterans Course | Houston |
| 10/13/15 | Conroe American Legion Monthly Meeting | Conroe |
| 10/13/15 | Anadarko From The Heart Meeting | The Woodlands |
| 10/14/15 | Walker County Child Fatality Review Team Meeting | Huntsville |

COMMUNITY ACTIVITIES (cont'd):

| | | |
|----------|--|---------------|
| 10/14/15 | Montgomery County's Veterans Treatment Court | Conroe |
| 10/14/15 | The Lions Club | Conroe |
| 10/14/15 | Empowerment Center Network Discussion | The Woodlands |
| 10/15/15 | Huntsville Veterans Affairs Advisory Board Meeting | Huntsville |
| 10/16/15 | Regional Managed Assigned Counsel Meeting | Galveston |
| 10/16/15 | Lone Star Pottery Group Presentation | Conroe |
| 10/17/15 | Women Veterans Field Day | Liberty |
| 10/20/15 | Montgomery County Community Resource Coordination Group | Conroe |
| 10/21/15 | Liberty/Dayton Chamber of Commerce Luncheon | Liberty |
| 10/21/15 | Homeless Assistance SSI/SSDI Outreach, Access, & Recovery (SOAR) Program Meeting | Conroe |
| 10/21/15 | Houston Chronicle Marketing Strategies Meeting | The Woodlands |
| 10/21/15 | The Lions Club | Conroe |
| 10/22/15 | Montgomery County Criminal Justice Planning Meeting | Conroe |
| 10/22/15 | Montgomery County Homeless Coalition Annual Meeting | Conroe |

UPCOMING ACTIVITIES:

| | | |
|----------|---|---------------|
| 10/28/15 | The Lions Club | Conroe |
| 10/28/15 | Criminal Justice Community Planning Meeting | Conroe |
| 10/30/15 | Exxon Day of Caring Project at River Pointe Facility | Conroe |
| 11/2/15 | Montgomery County Homeless Coalition Board Meeting | Conroe |
| 11/3/15 | Anadarko Family Choice (From The Heart) Event Presentation | The Woodlands |
| 11/3/15 | Montgomery County United Way Health & Wellness Impact Council Meeting | The Woodlands |
| 11/4/15 | The Lions Club | Conroe |
| 11/5/15 | Walker County Community Resource Coordination Group | Huntsville |
| 11/5/15 | Cleveland Chamber of Commerce Luncheon | Cleveland |
| 11/7/15 | Out of the Darkness Walk for Suicide Prevention | The Woodlands |
| 11/11/15 | The Lions Club | Conroe |
| 11/12/15 | Huntsville Chamber of Commerce Breakfast | Huntsville |
| 11/12/15 | Poverty Simulation Program | Conroe |
| 11/18/15 | Liberty/Dayton Chamber of Commerce Luncheon | Liberty |
| 11/18/15 | Empty Bowls Luncheon | Conroe |

| | |
|--|---|
| Agenda Item: Consumer Services Report for September 2015 Committee: Program | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: Consumer Services Report for September 2015 | |
| Recommended Action: For Information Only | |

Consumer Services Report

September 2015

| Consumer Services | Montgomery County | Cleveland | Liberty | Walker County | Total |
|--|-------------------|------------|------------|---------------|-------------|
| Crisis Services, MH Adults/Children | | | | | |
| Persons Screened, Intakes, Other Crisis Services | 474 | 37 | 31 | 39 | 581 |
| Crisis and Transitional Services (LOC 0, LOC 5) | 39 | 1 | 1 | 1 | 42 |
| Psychiatric Emergency Treatment Center (PETC) Served | 63 | 3 | 0 | 8 | 74 |
| Psychiatric Emergency Treatment Center (PETC) Bed Days | 263 | 15 | 0 | 29 | 307 |
| Contract Hospital Admissions | 4 | 0 | 0 | 0 | 4 |
| Diversion Admits | 13 | 1 | 1 | 3 | 18 |
| Total State Hospital Admissions | 2 | 0 | 0 | 0 | 2 |
| | | | | | |
| Routine Services, MH Adults/Children | | | | | |
| Adult Service Packages (LOC 1m,1s,2,3,4) | 1021 | 127 | 95 | 110 | 1353 |
| Adult Medication Services | 790 | 66 | 75 | 88 | 1019 |
| Child Service Packages (LOC 1-4 and YC) | 399 | 35 | 16 | 42 | 492 |
| Child Medication Services | 239 | 22 | 8 | 29 | 298 |
| TCOOMMI (Adult Only) | 109 | 12 | 10 | 6 | 137 |
| Adult Jail Diversions | 5 | 0 | 0 | 0 | 5 |
| | | | | | |
| Persons Served by Program, IDD | | | | | |
| Number of New Enrollments for IDD Services | 16 | 1 | 1 | 1 | 19 |
| Service Coordination | 573 | 44 | 53 | 66 | 736 |
| | | | | | |
| Persons Enrolled in Programs, IDD | | | | | |
| Center Waiver Services (HCS, Supervised Living, TXHmL) | 44 | 6 | 22 | 27 | 99 |
| Contractor Provided ICF-MR | 17 | 11 | 11 | 6 | 45 |
| | | | | | |
| Substance Abuse Services | | | | | |
| Children and Youth Prevention Services | 0 | 0 | 34 | 23 | 57 |
| Youth Substance Abuse Treatment Services/COPSD | 20 | 0 | 0 | 1 | 21 |
| Adult Substance Abuse Treatment Services/COPSD | 23 | 0 | 0 | 1 | 24 |
| | | | | | |
| Waiting/Interest Lists as of Month End | | | | | |
| Home and Community Based Services Interest List | 1584 | 130 | 136 | 136 | 1986 |
| | | | | | |
| September Served by County | | | | | |
| Adult Mental Health Services | 1393 | 137 | 119 | 181 | 1830 |
| Child Mental Health Services | 462 | 39 | 20 | 47 | 568 |
| Intellectual and Developmental Disabilities Services | 652 | 56 | 56 | 70 | 834 |
| Total Served by County | 2507 | 232 | 195 | 298 | 3232 |
| | | | | | |
| August Served by County | | | | | |
| Adult Mental Health Services | 1256 | 132 | 113 | 170 | 1671 |
| Child Mental Health Services | 432 | 49 | 17 | 52 | 550 |
| Intellectual and Developmental Disabilities Services | 646 | 51 | 57 | 72 | 826 |
| Total Served by County | 2334 | 232 | 187 | 294 | 3047 |
| | | | | | |
| July Served by County | | | | | |
| Adult Mental Health Services | 1282 | 141 | 112 | 193 | 1728 |
| Child Mental Health Services | 427 | 49 | 17 | 55 | 548 |
| Intellectual and Developmental Disabilities Services | 641 | 55 | 58 | 71 | 825 |
| Total Served by County | 2350 | 245 | 187 | 319 | 3101 |

| | |
|--|---|
| Agenda Item: Program Updates Committee: Program | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: Program Updates | |
| Recommended Action: For Information Only | |

Program Updates

September 25, 2015 – October 22, 2015

MH Crisis Services

1. Attendance to day treatment programming at the Crisis Stabilization Unit (CSU) has increased since bedroom doors are closed during designated group times. Individuals have the option to stay in the day room or attend groups. This increases each person's therapeutic benefit during their stay.
2. Psychiatric Nursing Assistant (PNA) Mentors have been identified for each shift at the Psychiatric Emergency Treatment Center. Mentors assist new or tenured PNA's with ongoing training/educational needs. They can also provide insight about staff strengths and needs that are specific to their shift.
3. Two (2) Crisis Intervention Clinician positions were created and posted. These positions will work closely with Conroe Police Department (CPD) and respond to any calls that are identified as mental health related. CPD will assist with the interview and selection process of all eligible candidates.

MH Adult Services

1. The primary LOC2 Therapist passed competency for Cognitive Behavioral Therapy. Another counseling clinician is preparing to submit her videotape for competency in the coming months.
2. Two (2) clinicians will attend Cognitive Processing Therapy training in November. Subsequent to training, we will begin offering counseling for Post-Traumatic Stress Disorder, which has been identified as a need in our community.
3. Our new Locum Tenens staff completed training and has started seeing clients at William E. Hall (WEH).
4. WEH staff have focused on training and modifying various processes in order to improve continuity of services for the clients and a more efficient flow for them during their appointments.

MH Child Services

1. We are enrolling youth in the Youth Empowerment Services (YES) Waiver program and developing our Provider Network.

Criminal Justice Services

1. The Outpatient Competency Restoration (OCR) program admitted one (1) individual and successfully restored competency for (three) individuals in September.
2. The Jail Services Clinician triaged 47 individuals at the jail.
3. The new TCOOMMI program in Liberty County admitted four (4) individuals in September.

Substance Abuse Services

1. The Prevention Program Manager received her Associate Prevention Specialist Certification (APS). She plans to test for her Certified Prevention Specialist Certification (CPS) in the next several months.
2. The Youth Substance Abuse Prevention program has started delivering services to local schools and community providers. The team is actively working to continue to engage educators and other community members to ensure that targets are met for the quarter.

IDD Services

1. DADS is conducting desk reviews of individuals served for both IDD Authority and Provider Services.
2. Three (3) individuals within our internal Texas Home Living (TxHmL) program received Home and Community-based Services (HCS) slots. Our internal HCS program is at the state set CAP of 67; therefore, they will have to select private providers within the community. We anticipate providing these individuals with contracted Day Habilitation Services.
3. IDD Authority Services is determining the best direction for the Enhanced Community Coordination process identified in the FY 2016-2017 DADS Performance Contract. This position will work closely with individuals who have transitioned to the community from State Supported Living Centers and nursing facilities.

Support Services

1. **Quality Management:**
 - a. Staff began conducting a series of Quality Management trainings for direct care staff on Wednesday, October 21st. Topics include but are not limited to: Time Management, HIPAA and Corporate Compliance, Customer Service and Sensitivity, and Healthy Boundaries.
 - b. Staff are currently reviewing the internal program review process and exploring alternatives that could improve both the monitoring system and outcomes.
 - c. Staff received one (1) record request from a life insurance company for medical services from January 2010 to the present date.
 - d. Staff received notification regarding a possible on-site substance abuse audit in FY 2016.
2. **Utilization Management:**
 - a. The Texas Medicaid & Healthcare Partnership (TMHP) program conducted a site visit on Tuesday, October 6th. We are still awaiting the results but expect the feedback to be positive.
3. **Veteran Affairs:**
 - a. Interviews for the part-time Veteran Assistant position are currently underway. This role will be responsible for planning and coordinating community engagement activities for Veterans and their families.
 - b. Additional training is being planned for FY 2016 and may include service providers, law enforcement, and other professionals who are interested in learning about the challenges within the Veteran community.

4. **Mental Health Planning Network Advisory Committee (MHPNAC):**
 - a. The MHPNAC met on Wednesday, September 16th to discuss plans for the next fiscal year, committee membership renewals and Center updates. The committee was especially interested in changes occurring at the Center and State levels over the next year.

Community Activities

1. Exxon will be at our River Pointe location on Friday, October 30th for a Day of Caring service project in which they will be building bookcases, constructing an outside, fenced children's play area, assisting with landscaping projects, etc.





| | |
|--|--|
| <p>Agenda Item: Medicaid 1115 Transformation Waiver Project Status Report</p> <p>Committee: Program</p> | <p>Board Meeting Date</p> <p>October 22, 2015</p> |
| <p>Background Information:</p> <p>Tri-County is preparing to submit the second of two (2) Demonstration Year 4 (DY 4) reports to the Health and Human Services Commission (HHSC) due October 31, 2015.</p> <p>Data submitted will show completion of the required Milestones and Metrics associated with each project as well as the Category 3 performance measurement data which is used to assess the overall effectiveness of the project interventions. Achievement of each metric results in incentive payments.</p> <p>Tri-County will be reporting on five (5) projects, four (4) of which are in Region 17 covering Montgomery and Walker Counties. They are: Intensive Evaluation and Diversion, IDD ACT, Expanded Psychiatry Delivery, and Integrated Primary and Behavioral Health Care. In Region 2, covering Liberty County, we have another Expanded Psychiatry Delivery program.</p> <p>The Intensive Evaluation and Diversion program was carried forward from DY 3 and started January 19, 2015 of DY 4. Metrics from DY 3 and DY 4 will be reported on for full achievement. Category 3 performance outcomes will meet specifications for payment.</p> <p>The IDD ACT program served 64 unique individuals in DY 4. They have also completed their performance outcome measures. Metrics showing stakeholder meetings and diversion cost savings will be reported for achievement.</p> <p>Both Expanded Psychiatry Delivery programs will be reporting on twelve (12) months of data. One remaining metric showing areas of geographic inaccessibility, lack of transportation and no show rates will be reported on for achievement. Category 3 "Patient Health Questionnaire (PHQ-SADS)" survey results will also be calculated and submitted for achievement.</p> <p>The Integrated Primary and Behavioral Health Care program exceeded its DY 4 goal. Metrics involving volume of persons served and continuous quality improvement activities using a rapid cycle methodology strategy – "Plan, Do, Study, Act (PDSA)" – will be reviewed for achievement. Category 3 continues to be "Controlling High Blood Pressure." Our goal of 5% Improvement Over Self (IOS) will be exceeded.</p> | |
| <p>Supporting Documentation:</p> <p>Medicaid 1115 Transformation Waiver Project Status Report</p> | |
| <p>Recommended Action:</p> <p>For Information Only</p> | |









Tri-County Behavioral Healthcare
Medicaid 1115 Transformation Waiver Projects

DY 4 - 10/1/2014 - 09/30/2015

Status Update: 10/1/2014 - 9/30/2015 - 4th Qtr/Round 2

Source: Internal Reporting/HHSC Reports

-  On Target to Meet DY 4 Outcomes
-  Starting DY 4
-  Pending HHSC Approval
-  Outcome Already Achieved





| Project | County | DY 4 Targets | As of 9/30/15 | Progress Towards Goals | Status | Barriers/Comments |
|--|-------------------|--|----------------------------|--|---|--|
| 1.1.1 Intensive Evaluation & Diversion | Montgomery Walker | DY 3 - 25 Persons Presenting in Crisis DY 4 - 300 | 496 | Of the 460 persons seen in crisis, 246 were diverted to the EOU. As of 9/30/15, Metrics for DY 3 & DY 4 achieved. |  | Reporting for achievement in Oct. 2015. |
| Required Milestones/Metrics | | 1. 4 Stakeholder Meetings 2. 50% Costs Avoided | 1. 100% 2. Achieved | 1. 5 Stakeholder meetings w/ an avg. of 7 participants. 2. 50% Diversion from higher LOC at 50% cost avoidance. |  | DY 3 Estimated Incentive Bundle Amount: \$154,876 DY 4 Estimated Incentive Bundle Amount: \$2,137,234 |
| Category 3 Performance Outcomes | | PHQ-9-Depression Survey Establish Baseline | 50% | PHQ-9 50% payment for reporting in April 2015. Other 50% for achievement to be reported in Oct. 2015. |  | Will set baseline in Oct. 2015 and show 10% improvement in Oct. 2016. |
| 1.1.2 IDD ACT | Montgomery Walker | 50 Unique Individuals | 64% | Will report for payment in Oct. 2015. |  | |
| Required Milestones/Metrics | | 1. 4 Stakeholder Meetings 2. 50% Costs Avoided | 100% | 1. Will report for full payment in Oct. 2015. 2. Will report for full payment in Oct. 2015 |  | DY 4 Estimated Incentive Bundle Amount: \$337,880 |
| Category 3 Performance Outcomes | | Aberrant Behavior Checklist (ABC) 33 Pre/33 Posttests | 5% IOS | Will report for payment. |  | DY 4 Incentive Payment: \$129,117 |
| 1.1.3 Expanded Psychiatry Delivery | Montgomery Walker | 1. 100 Unique Clients 2. 250 Visits 3. 500 Appts | 1. 279 2.1258 3.1477 | Reported 100% achievement in April 2015 for \$333,142. |  | Services in Walker County are increasing. |
| Required Milestones/Metrics | | 1. Gaps in Accessibility 2. Evidence of Improved Access | | Will report in Round 2 (Oct. 2015 reporting period) for \$333,142 |  | DY 4 Estimated Incentive Bundle Amount: \$666,283 |









Tri-County Behavioral Healthcare
Medicaid Transformation Waiver Projects

DY 4 - 10/1/2014 - 9/30/2015

Status Update: 10/1/2014 - 9/30/2015 - 4th Qtr/Round 2

Source: Internal Reporting / HHSC Reports

-  On Target to Meet DY 4 Outcomes
-  Carry Forward to DY 4
-  Pending HHSC Approval
-  Outcome Already Achieved

| Project | County | DY 4 Target | As of 6/30/15 | Update | Status | Barriers / Comments |
|--|-------------------|--|--|---|---|---|
| Category 3 Performance Outcomes | | PHQ-SADS - Depression/Anxiety Establish Baseline | Baseline = 36.8 | Will report a ≥ 5% decrease in behavioral health symptoms due to treatment. |  | DY 4 Incentive Payment: \$129,117 |
| 1.2.1 Integrated Primary & Behavioral Healthcare | Montgomery Walker | 1. 500 Available Appts 2. 175 Persons w/ Both Physical & BH Care | 1. 1618 2. 496 | Reported achievement in April reporting period. |  | HTN & data entry in EHR need consistency. |
| Required Milestones/Metrics | | 1. Expand Appts. 2. CQI Activities 3. % Receiving Both Primary & BH Care | 1. 100% 2. Data Measures & EHR 3. 100% | Reported 66% achievement in April reporting period. Ongoing data is collected showing strengths & weaknesses for continuous quality improvement (CQI) |  | DY 4 Estimated Incentive Bundle Amount: \$1,254,782 |
| Category 3 Performance Outcomes | | Dx of HTN: Report BP ≤ 140/90 in Last 6 Months of DY 4 | TBD | Data being gathered through EHRs and charts. |  | DY 4 Incentive Payment: \$129,117 |
| Mobile Clinic Component | | RFP > Order of Bus | Chassis & Engine Ordered | Purchase Agreement signed 6/30/15. Final purchase price \$267,085. 40% deposit of \$106,834 made 6/30/15. |  | Completion of mobile clinic is expected by end of year. |
| 1.1.1 Expanded Psychiatry Delivery | Liberty | 1. 25 Unique Clients 2. 70 Visits 3. 125 Available Appts | 1. 133 2. 715 3. 879 | Achievement reported in April. |  | One Doc day a week program is causing appts to be scheduled up to 3 months out. |
| Required Milestones/Metrics | | 1. Gaps in Accessibility 2. Evidence of Improved Access | TBD | To be reported in Oct. for 50% payment. |  | DY 4 Estimated Incentive Bundle Amount: \$286,750 |
| Category 3 Performance Outcomes | | PHQ-SADS - Depression/Anxiety Establish Baseline | Baseline = 47.2 | Will report in Round 2 (Oct. 2015 reporting period). |  | DY 4 Incentive Payment: \$31,860 |

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|--|--|
| <p>Agenda Item: Appoint Nominating Committee for FY 2016 Board Officers</p> <p>Committee: Executive</p> | <p>Board Meeting Date</p> <p>October 22, 2015</p> |
| <p>Background Information:</p> <p>In August, the Board of Trustees elected the following Board Officers for FY 2016:</p> <ul style="list-style-type: none"> • Brad Browder, Chair (Liberty County) • Sharon Walker, Vice-Chair (Montgomery County) • Tracy Sorensen, Secretary (Walker County) <p>In September, Brad Browder announced his intent to resign from the Board of Trustees. As a result, Sharon Walker, Vice-Chair, will select representatives to serve on the Nominating Committee to propose a new slate of officers for FY 2016.</p> <p>Typically, the committee is made up of three members and includes a representative from each county. One of the members will also be designated to serve as the chair of the committee.</p> <p>Reelections will take place at the Board meeting on December 10th.</p> | |
| <p>Supporting Documentation:</p> <p>None</p> | |
| <p>Recommended Action:</p> <p>Appoint Nominating Committee for FY 2016 Board Officers</p> | |

| | |
|---|--|
| <p>Agenda Item: Cast Election Ballot for Texas Council Risk Management Fund Board of Trustees</p> <p>Committee: Executive</p> | <p>Board Meeting Date</p> <p>October 22, 2015</p> |
| <p>Background Information:</p> <p>The election process to fill the positions of the Board of Trustees in Places 7, 8 and 9 will be completed during the Texas Council Risk Management Fund Board Meeting on Friday, November 13th. Election ballots are due by Friday, October 30th.</p> <p>Only one (1) candidate can be selected for each of the three (3) places:</p> <p>Place 7</p> <ul style="list-style-type: none"> • Mr. Hartley Sappington (Incumbent) <p>Place 8</p> <ul style="list-style-type: none"> • Mr. LaDoyce Lambert (Incumbent) <p>Place 9</p> <ul style="list-style-type: none"> • Mr. Daniel T. Barrett • Mr. Shane Britton • Ms. Pamela L. Bower • Ms. Rita Johnston • Mr. Van R. White | |
| <p>Supporting Documentation:</p> <p>Memorandum from the Texas Council Risk Management Fund Nominating Committee</p> <p>Election Ballot</p> <p>Candidate Biographies</p> | |
| <p>Recommended Action:</p> <p>Cast Election Ballot for the Texas Council Risk Management Fund Board of Trustees to Fill Places 7, 8 and 9</p> | |

September 22, 2015

MEMORANDUM

To: Executive Directors
Member Centers, Texas Council Risk Management Fund

From: TCRMF Nominating Committee

Subject: **Board of Trustees Election Ballot**
Places 7, 8, and 9

The election process for Places 7, 8, and 9, will be completed at the November 13, 2015 Annual Member Meeting of the Texas Council Risk Management Fund. Attached is the election ballot indicating the eligible candidates for this year's election.

The Nominating Committee has prepared the ballot for the upcoming election. Incumbents Hartley Sappington (Place 7) and LaDoyce Lambert (Place 8) are listed on the ballot. The nominating committee has included five nominees made by members for Place 9 which is currently vacant. Bios are attached for all nominees. The nominees for Place 9 (listed alphabetically) are as follows: Daniel Barrett, The Center for Health Care Services; Shane Britton, Center for Life Resources; Pamela Brower, Behavioral Health Center of Nueces County; Rita Johnston, Betty Hardwick Center; Van White, Helen Farabee Centers.

Please return the election ballot by email, mail or fax so that it is received in the Fund's office **no later than Friday, October 30, 2015**. You may also vote in person at the Annual Member Meeting on November 13th.

If you have any questions, please call Renee Harris at the Fund, either 1-800-580-6467 X12432 or direct 512-427-2432; or email: renee.harris@yorkrsg.com.

cc: TCRMF Board of Trustees
Advisory Committee
Pam Beach

**TEXAS COUNCIL RISK MANAGEMENT FUND
BOARD OF TRUSTEES ELECTION BALLOT**

At the November 13th Annual Member Meeting of the Texas Council Risk Management Fund, elections will be held to fill the positions of Trustees in Places 7, 8, and 9. Each center may cast its votes by email, mail or FAX.

The terms for three places are expiring. **Please vote for one candidate for each of the three places.**

| | |
|------------------------|-------|
| (Place 7) | |
| Mr. Hartley Sappington | [] |
| <hr/> | |
| (Place 8) | |
| Mr. LaDoyce Lambert | [] |
| <hr/> | |
| (Place 9) | |
| Mr. Daniel T. Barrett | [] |
| Mr. Shane Britton | [] |
| Ms. Pamela L. Bower | [] |
| Ms. Rita Johnston | [] |
| Mr. Van R. White | [] |

I certify that the above represents the Board of Trustees Election Ballot of the below named Texas Council Risk Management Fund member and that I am duly authorized to execute and deliver this ballot on behalf of the Center.

Name of Community Center

Signature of Authorized Representative

Date

**PLEASE COMPLETE AND MAIL OR FAX THIS BALLOT
NO LATER THAN October 30, 2015 TO:**

TEXAS COUNCIL RISK MANAGEMENT FUND
P.O. Box 26655, Austin, Texas 78755-0655
FAX Number 512-346-9321 **Attention: Renee Harris**
Email: renee.harris@yorkrsg.com

Place 7: Mr. Hartley Sappington

Mr. Sappington has worked in the community centers system for 15 years. He worked in the central office of the Texas Department of MHMR as an Assistant Deputy Commissioner and worked in local centers as an Executive Director and a Program Manager. He currently serves on the Board of Bluebonnet Trails Community Services and serves as its representative to the Board of the Texas Council of Community Centers. While serving on the Management Team of a City he was responsible for implementing and managing the Risk Management Program.

Place 8: Mr. LaDoyce Lambert

Mr. Lambert has worked in trust and commercial banking for many years in West Texas. He also served as Managing Editor of the Midland Reporter Telegram. He received the Heritage of Odessa award for community service that has included roles as Advisory Governor of the Permian Basin Area Foundation, involvement in Big Brothers Big Sisters and as 2014/15 President of the Board of Directors of the Midland Odessa Symphony Orchestra. He currently serves on the Board of Trustees of the Permian Basin Community Center. Mr. Lambert is also a Trustee of the Texas

NOMINATION FORM

The undersigned Center would like to make the following nomination(s) for election to the Board of Trustees of the Texas Council Risk Management Fund:

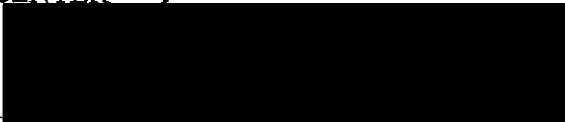
NOMINATION

Daniel T. Barrett for Place 9

I understand that any mailed or faxed nominations received after Monday, September 14, 2015 cannot be considered. In addition, I recognize that nominees for Trustee must meet the required qualification of being a Trustee of a community center that is also a member of the Fund, as stated in Article IV, Section 2 of the Fund Bylaws.

Respectfully submitted, this 25th day of August, 2015.

The Center for Health Care Services
CENTER



Signature

Leon Evans

Name

President/CEO

Title

Please return by September 14, 2015, to:

Texas Council Risk Management Fund
Attention: Nominating Committee
P.O. Box 26655
Austin, Texas 78755-0655
FAX #: (512) 346-9321 - Attn: Renee Harris
Or Renee.Harris@Yorkrsg.com



THE CENTER
FOR HEALTH CARE SERVICES
Mental Health & Substance Abuse Solutions

Where hope and healing begin.

September 2, 2015

BOARD OF TRUSTEES

Daniel T. Barrett
Chairman

Charles "Sam" Bowker
Vice Chairman

Graciela A. Cigarroa
Secretary

Vacant
Treasurer

Mary Rose Brown
Margaret Kelley, M.D.
Judge Polly Jackson Spencer, Ret.
Richard P. Usatine, M.D.
Margaret M. Vera
Ruben D. Zamora

Sam Williams, Jr.
Member Emeritus

Leon Evans
President
Chief Executive Officer

A. Camis Milam, M.D.
Executive Vice President
Medical Services

Texas Council Risk Management Fund
10535 Boyer Blvd.
Suite 100
Austin, Texas 78758

To Whom It May Concern:

I am interested in serving on the Texas Council Risk Management Fund (TCRMF) Board because I would like to contribute to the continuing success of the entity and ensure that community centers across Texas continue to have access to effective insurance programs. My previous service on the TCRMF Board makes me the ideal candidate, as I have institutional knowledge that I can utilize immediately upon my appointment. My extensive background in the insurance industry will also be an asset to the TCRMF Board.

Sincerely,

Chairman, Board of Trustees
Daniel T. Barrett

Dan Barrett is the President and CEO of Barrett Insurance Services. Founded by Robert Barrett in 1955, Barrett Insurance Services was the first Hispanic commercial insurance agency in San Antonio, Texas. Barrett Insurance Services specializes in commercial and employee benefits, group medical insurance and comprehensive individual policies. Barrett Insurance Services has served the City of San Antonio, Bexar County, City Public Service, and Port San Antonio. Barrett currently insures four of the largest independent school districts in the San Antonio/Bexar County area, with an estimated 20,000 employees served.

Barrett first became involved with the Texas Council of Community Centers through the Texas Council Risk Management Fund. Citing a need for community centers to be able to access insurance inexpensively, Barrett worked with the Texas Council and other centers to develop the Texas Council Risk Management Fund, which began operation in September 1988. Barrett served on the Risk Management Fund's first founding board. Since 1988, the fund has enabled community centers across the state to access special insurance programs that have saved them millions of dollars.

Dan believes in giving back to the community that has given so much to his family, and has remained an active partner in the growth and development of San Antonio and Bexar County. Currently, he serves as Chairman of the Board of Trustees for the Center for Health Care Services, the local mental health authority of Bexar County. Barrett also serves as Chairman of Bexar County's SMWBE Committee and the San Antonio Muscular Dystrophy Society, and has served on numerous other civic and community boards.

Dan Barrett is proud of his small business roots, and is also proud to continue the tradition of excellence at Barrett Insurance Services that was started by his father in 1955.

NOMINATION FORM

The undersigned Center would like to make the following nomination(s) for election to the Board of Trustees of the Texas Council Risk Management Fund:

NOMINATION

Shane Britton

I understand that any mailed or faxed nominations received after Monday, September 14, 2015 cannot be considered. In addition, I recognize that nominees for Trustee must meet the required qualification of being a Trustee of a community center that is also a member of the Fund, as stated in Article IV, Section 2 of the Fund Bylaws.

Respectfully submitted, this 24th day of August 2015,

Center for Life Resources

CENTER

Signature

Shane Britton

Name

Board Chair

Title

Please return by September 14, 2015, to:

Texas Council Risk Management Fund
Attention: Nominating Committee
P.O. Box 26655
Austin, Texas 78755-0655
FAX #: (512) 346-9321 - Attn: Renee Harris
Or Renee.Harris@Yorkrsg.com

SHANE BRITTON

608 Oak Park
Brownwood, Texas 76801

325.647.4526
shanebritton@hotmail.com

EDUCATION

Doctor of Jurisprudence

Texas A&M University School of Law, Fort Worth, Texas
Top 15% of class
Barrister Award for Oral Advocacy
Mock Trial Team
Am Jur Award - Legal Research and Writing
Am Jur Award - Texas Criminal Procedure

May 1997

Bachelor of Business Administration

Management, Abilene Christian University, Abilene Texas

August 1989

EMPLOYMENT OVERVIEW

Legal Experience

Brown County Attorney

Brown County, Texas

January 2001 - present

Responsible for prosecuting adults charged with misdemeanor criminal offenses such as family violence assault, driving while intoxicated, drug possession and theft; prosecuting juveniles charged with misdemeanor and felony criminal offenses; representing the Texas Department of Family and Protective Services (also known as Child Protective Services) in child welfare cases protecting the rights of abused and neglected children; representing victims of family violence who seek a protective order; advising the Commissioners Court and other elected officials and department heads on all legal matters; advising local law enforcement agencies on all relevant matters relating to their duties; drafting search and arrest warrants; representing the State of Texas before Justice of the Peace Courts, County Court, County Court at Law, District Court, and 11th Court of Appeals in Eastland.

Private Law Practice

Brownwood, Texas

January 2001 - present

Adoptions, child custody disputes, child support disputes, and general civil litigations.

Assistant District Attorney

35th District Attorney's Office, Brownwood, Texas

June 1997 – December 2000

Entry level attorney primarily responsible for misdemeanors prosecutions, Protective Order hearings, Grand Jury presentations, representing the Texas Department of Family and Protective Services (also known as Child Protective Services) in child welfare cases, and providing advice to local law enforcements agencies, including drafting search and arrest

warrants, criminal complaints, informations and indictments.

Other Professional Experience

Employee Relations Officer/Assistant Director of Human Resources

Vernon State Hospital, Vernon, Texas

April 1990 – August 1994

Began as Employee Relations Officer for 1100 employees at State Forensic Psychiatric Hospital responsible for all employee discipline and for advising supervisors on effective supervision. Eventually responsible for supervising the Employee Relations section, as well as all payroll, benefits and employee retention sections.

Administrative Hearing Officer

Texas Department of Mental Health and Mental Retardation

August 1992 – August 1994

Administrative Hearings Officer appointed by the Commissioner of TDMHMR to act as a Grievance Hearings Officer at various facilities state-wide as part of the internal grievance process. Responsible for conducting hearings following adverse personnel actions taken against employees. Required to submit written reports following hearings to the Commissioner justifying outcome of hearing.

EXTRA-CURRICULAR ACTIVITIES

Member, State Board of Trustees, Texas Council of Community Mental Health Centers
President, Board of Trustees, Central Texas MHMR/Center for Life Resources
Founder/President, Board of Directors, Heart of Texas Children's Advocacy Center
Former Member, Board of Directors, Hill Country Children's Advocacy Center
Former Member, Board of Trustees, Cherokee Children's Home
Former Deacon, Austin Avenue Church of Christ
Member, Janie Clements Foundation
Volunteer Actor, Lyric Performing Arts Company
Supporter, Lyric Theater of Brownwood
Board Member, Brownwood Girls Softball Association
Volunteer Coach, Girls Softball and Girls Basketball

LICENSES/CERTIFICATIONS

Law License, State of Texas 1997
Board Certified-Juvenile Law, Texas Board of Legal Specialization, 2004
Eligible for Certification, Child Welfare Law, National Association of Counsel for Children, 2015
Certified Mediator, 1997

JUDICIAL/PROFESSIONAL/PERSONAL REFERENCES AVAILABLE UPON REQUEST

Bio/Introduction

Shane has been the County Attorney since January 2001. Prior to being County Attorney, Shane was an Assistant District Attorney for 3 years. As County Attorney, Shane and his office is responsible for representing the State in all misdemeanor prosecutions, all juvenile prosecutions (both felonies and misdemeanors), representing Child Protective Services in all cases from investigation/removal through appeals to the 11th Court of Appeals and the Texas Supreme Court and representing the State and County in all civil matters, including, but not limited to protective orders, mental commitments, contracting, employment law matters and advising all elected county officials. During his legal career, Shane has tried over 100 jury trials.

Outside of work, Shane is very involved in the Brownwood Community. Serving on the Board of Directors of the Center for Life Resources, Texas Council of Community Centers, Lyric Theater, Lyric Performing Arts Council, Janie Clements Foundation and the Heart of Texas Children's Advocacy Center, which he co-founded in 2012. Shane is also very involved in his children's activities; coaching softball and basketball, and raising show goats and pigs.

Shane graduated from Abilene Christian University with a BBA in Management and Texas A&M School of Law with a JD. He has been married to Paige for 25 years and has three daughters, Brooklyn, 17, Chandler, 14 and Berkeley Rose 12.

In addition to being licensed by the State Bar of Texas, Shane is Board Certified in Juvenile Law by the Texas Board of Legal Specialization and is Board Eligible for certification as a Child Welfare Law Specialist.

NOMINATION FORM

The undersigned Center would like to make the following nomination(s) for election to the Board of Trustees of the Texas Council Risk Management Fund:

NOMINATION

Ms. Pamela L. Brower

Ms. Brower has worked in healthcare financial management for over 25 years. She is the Regional Chief Financial Officer for a faith based healthcare system that serves as the safety net provider for a 15 county area in the coastal bend. She is a fellow in Healthcare Financial Management Association and a Certified Public Accountant. Pam serves as Treasurer on the Board of Trustees - Behavioral Health Center of Nueces County. She is the parent of an adult daughter with Intellectual Developmental Disabilities who is a long-time client of BHCNC. Pam is active in the community and received the YWCA 2013 Women in Careers Award.

My interest in serving on the Board of Trustees is to assist member centers to provide the leadership, decision making and support to assist members to pool their risks and combine resources to obtain greater stability and economies of scale for risk management.

I understand that any mailed or faxed nominations received after Monday, September 14, 2015 cannot be considered. In addition, I recognize that nominees for Trustee must meet the required qualification of being a Trustee of a community center that is also a member of the Fund, as stated in Article IV, Section 2 of the Fund Bylaws.

Respectfully submitted, this 11th day of September, 2015.

Behavioral Health Center of Nueces County
CENTER

Signature

Diane Lowrance

Name

Chief Executive Officer

Title

Please return by September 14, 2015, to:

Texas Council Risk Management Fund
Attention: Nominating Committee
P.O. Box 26655
Austin, Texas 78755-0655
FAX #: (512) 346-9321 - Attn: Renee Harris
Or Renee.Harris@Yorkrsg.com

PAMELA LEMING BROWER, FHFMA, CPA

14901 Santa Gertrudis Dr.
Corpus Christi, TX 78410
Phone (Home) 361-387-2882 (Cell) 361-816-9497
E-mail pbrower@stx.rr.com; pamela.brower@christushealth.org

SUMMARY OF QUALIFICATIONS

- Healthcare finance professional with over 25 years experience in financial management and operational effectiveness.
- Demonstrated leader in healthcare management, revenue cycle optimization, regulatory compliance, and performance improvement.
- Accomplished professional able to build highly motivated teams focused on achieving strategic goals for both facility specific and system-wide management.

Professional Experience and Accomplishments

CHRISTUS SPOHN Health System – February 2007 to present

Region Chief Financial Officer

Responsible for financial activities including financial management, accounting, budgeting, reporting, planning, Federal and State tax compliance; as well as revenue cycle and supply chain as well as oversight for Information Management, for both hospital and non-hospital entities. Operational activities include case management, regional advocacy, and property management.

Sisters of Mercy Health System – September 1996 to June 2006

Sisters of Mercy Health System – System Office

St. Louis, MO

Corporate Director, Revenue

September 2003 to June 2006

Responsible for assisting seven strategic service units (SSUs) with 18 acute care hospitals in four states with development and implementation of best practices of revenue cycle management.

Sisters of Mercy Health System - Mercy Health Center

Laredo, TX

Controller

February 2002 – September 2003

Responsible for directing financial accounting and revenue cycle activities including financial management, financial accounting, budgeting, financial reporting, financial planning, accounts payable, payroll, central scheduling, patient access, financial services, customer service, cashiering, and billing and collecting. Managed 100+ FTEs.

Director, Patient Financial Services

September 1996 to February 2002

Responsible for directing revenue cycle activities including central scheduling, patient access, financial services, customer service, cashiering, billing, and collecting. Managed 90+ FTEs.

Sister of Mercy Health Systems Committee/Team participation:

Ethics Committee, Corporate Compliance Committee, Mercy Service Team Leader, APC Committee, Administrative Call Rotation, Bed Time Turnaround Team, leader for new facility design teams for registration and central scheduling. System level participation in Revenue Round Table, POS/Self Pay task force Mercy Revenue Management, and GE Workout/GE Change Acceleration processes.

Columbia/HCA Coastal Bend 1995-1996 **Corpus Christi, TX**
Controller

River West Medical Center 1993-1995 **Baton Rouge/Plaquemine, LA**
Controller

South Texas Retina Consultants 1989-1993 **Corpus Christi, TX**
Controller

Controller Position Responsibilities -

Responsible for planning the capital and operating budget, daily financial management, internal and external financial reporting, analysis and reports, reimbursement analysis, and financial planning. Includes financial statement preparation/review, financial projections, management of general ledger, accounts payable, payroll, management of internal and external audits, and tax return preparation.

KPMG Peat Marwick 1986-1989 **Corpus Christi, TX**
Senior Tax Specialist

Responsible for analyzing financial information, preparing personal, corporate and fiduciary income tax returns, preparing personal financial statements, and auditing. Areas of experience include healthcare, banking, oil and gas, and manufacturing.

1976 - 1986 Various
Ten years general accounting experience.

Professional and Community Affiliations

- Certified Public Accountant – Licensed in Texas
- Fellow in Healthcare Financial Management Association
- Board Member/Treasurer – Rise School of Corpus Christi
- Board Member – South Texas Botanical Gardens and Nature Center
- Board Member/Treasurer – CHRISTUS Health Plan
- Board Member/Treasurer – Spohn Health Network
- 2013 YWCA Y Women in Careers Award Recipient

Education

McNeese State University **Lake Charles, LA**
Bachelor of Science in Accounting

- Graduated Magna Cum Laude. Major GPA 4.0, Overall GPA 3.88
- Highest GPA in the College of Business
- Wall Street Journal Achievement Award

NOMINATION FORM

The undersigned Center would like to make the following nomination(s) for election to the Board of Trustees of the Texas Council Risk Management Fund:

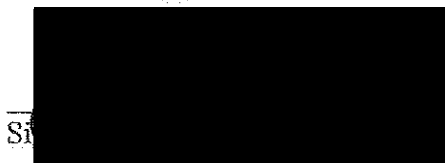
NOMINATION

Rita Johnston

I understand that any mailed or faxed nominations received after Monday, September 14, 2015 cannot be considered. In addition, I recognize that nominees for Trustee must meet the required qualification of being a Trustee of a community center that is also a member of the Fund, as stated in Article IV, Section 2 of the Fund Bylaws.

Respectfully submitted, this 2 day of September 2015.

Betty Hardwick Center
CENTER



Jenny Grode
Name

CEO
Title

Please return by September 14, 2015, to:

Texas Council Risk Management Fund
Attention: Nominating Committee
P.O. Box 26655
Austin, Texas 78755-0655
FAX #: (512) 346-9321 - Attn: Renee Harris
Or Renee.Harris@Yorkrsg.com

RITA JOHNSTON, MSN, RN, CRRN, CCM, FACHE
Director of Case Management Hendrick Medical Center Abilene, Texas

Mrs. Johnston has worked in administrative roles in health care and in commercial business for more than 37 years. She has extensive experience in developing risk and quality management programs as well as business development, marketing, admissions, medical staff credentialing, case management, accreditation agency surveys for various venues, and she is a nursing educator. She has served on the Board of Betty Hardwick Center for over 10 years in roles including the Board Treasurer, Vice-Chair, and is presently the Chair in which she is the representative to the Board of the Texas Council of Community Centers. She received the Destination Dignity Award from BHC for Community Service and is on numerous community committees. She served as the Texas Nurses Association representative to the American Nurses Association House of Delegates in Washington, D.C. Mrs. Johnston is completing a PhD in Nursing Science.

I am interested in serving on the TCRMF because I would bring a unique perspective to the Board based on my broad healthcare and business experience. I believe my expertise would be beneficial to enhance the services provided to the Community Centers.

NOMINATION FORM

The undersigned Center would like to make the following nomination(s) for election to the Board of Trustees of the Texas Council Risk Management Fund:

NOMINATION

Van R. "Bill" White, Jr.

P. O. Box 420

Quanah, TX 79252

I understand that any mailed or faxed nominations received after Monday, September 14, 2015 cannot be considered. In addition, I recognize that nominees for Trustee must meet the required qualification of being a Trustee of a community center that is also a member of the Fund, as stated in Article IV, Section 2 of the Fund Bylaws.

Respectfully submitted, this 12th day of September 2015.

Helen Farabee Centers

CENTER



Signature

Raymond Atkins

Name

Executive Director

Title

Please return by September 14, 2015, to:

Texas Council Risk Management Fund
Attention: Nominating Committee
P.O. Box 26655
Austin, Texas 78755-0655
FAX #: (512) 346-9321 - Attn: Renee Harris
Or Renee.Harris@Yorkrsg.com

Van R "Bill" White Jr.

Biographical Sketch

I am currently retired from business. In past years I have served as a trustee of the Quanah Independent School District, Board member of the Security National Bank, and board member of the Thompson Sawyer Public Library. Presently I serve on the Helen Farabee Board of Trustees, Place 6, and remain active in church and civic activities. I am a graduate of Quanah High School and Midwestern State University.

1977–2012 Van R White & Associates, Inc. Quanah, TX
Management and Operations: Seismic & Industrial Bits & Supplies & Service

1996–2009 Ulterra Drilling Tech. - RBI-Gearhart Fort Worth, TX
Account Representative - Domestic/International Sales supporting sales agents and initiatives on-site in U.S., Mexico, South America, Norway, Russia, Africa, Italy, Ukraine, Germany

1991–1995 Hardeman County Government Quanah, TX
Hardeman County Treasurer, Elected Texas County Government Official

Education

1977 Midwestern State University Wichita Falls, TX

Bachelor of Science Degree

Major: Economics

Minor: Accounting

My interest in serving is an extension of my service on the Helen Farabee Centers Board of Trustees. As trustee I am required as a part of my responsibility to be attentive to ensuring the protection of assets and the financial oversight of the Center. As a member of the Texas Council Risk Management Board of Trustees I will be able to expand that fiduciary responsibility to see that all member centers interests of cost effective comprehensive insurance coverages and risk management services are served.

| | |
|---|---|
| Agenda Item: Board of Trustees Oath of Office Committee: Executive | Board Meeting Date October 22, 2015 |
| Background Information: The Walker County Commissioner's Court reappointed Morris Johnson to an additional two-year term expiring August 31, 2017. Mr. Johnson will recite his oath of office at the Board meeting. | |
| Supporting Documentation: Oath of Office Recitation | |
| Recommended Action: Recite Oath of Office | |

OATH OF OFFICE

I, _____,
do solemnly swear,
that I will faithfully execute
the duties of the office of Trustee
of Tri-County Behavioral Healthcare,
and will, to the best of my ability
preserve, protect, and defend the Constitution
and laws of the United States and of this State;
and I furthermore solemnly swear
that I have not directly nor indirectly,
paid, offered, or promised to pay,
contributed, nor promised to contribute
any money, or valuable thing,
or promised any public office or employment,
as a reward for the giving or withholding a vote
to secure my appointment,
and further affirm that I,
nor any company, association, or corporation
of which I am an officer or principal
will act as supplier of services or goods,
nor bid or negotiate to supply
such goods or services, for this Center,
so help me God.

| | |
|--|---|
| Agenda Item: Personnel Report for September 2015 Committee: Executive | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: Personnel Report to be Distributed for Review at the Board Meeting | |
| Recommended Action: For Information Only | |

| | |
|---|---|
| Agenda Item: Approve September 2015 Financial Statements Committee: Business | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: September 2015 Financial Statements | |
| Recommended Action: Approve September 2015 Financial Statements | |

September 2015 Financial Summary

Revenues for September 2015 were \$2,361,459 and operating expenses were \$2,289,070 resulting in a gain in operation of \$72,389. Capital Expenditures and Extraordinary Expenses for September were \$52,957 resulting in a gain of \$19,431. Total revenues were 97.99% of the monthly budgeted revenues and total expenses were 96.98% of the monthly budgeted expenses.

Year to date revenues as of the end of September are the same as for the month.

REVENUES

YTD Revenue items that are below the budget by more than \$10,000:

| Revenue Source | YTD Revenue | YTD Budget | % of Budget | \$ Variance |
|-----------------------|------------------------|-----------------------|------------------------|------------------------|
| No items to report | | | | |

EXPENSES

YTD Individual line expense items that exceed the YTD budget by more than \$10,000:

| Expense Source | YTD Expenses | YTD Budget | % of Budget | \$ Variance |
|--------------------------------|-------------------------|-----------------------|------------------------|------------------------|
| Building Repairs & Maintenance | 44,094 | 16,666 | 2.64% | 27,428 |

Building Repairs & Maintenance – We kicked off the new fiscal year with multiple locations needing air conditioning repairs. We expect these types of repairs to lesson as we head into the winter months.

**TRI-COUNTY BEHAVIORAL HEALTHCARE
CONSOLIDATED BALANCE SHEET
For the Month Ended September 30, 2015**

| | | Preliminary | |
|---|--|--|------------------------|
| | TOTALS COMBINED FUNDS September 2015 | TOTALS COMBINED FUNDS August 2015 | Increase (Decrease) |
| ASSETS | | | |
| CURRENT ASSETS | | | |
| Imprest Cash Funds | 5,117 | 3,165 | 1,952 |
| Cash on Deposit-General Fund | 4,894,681 | 5,696,280 | (801,599) |
| Cash on Deposit-Debt Fund | | | - |
| Accounts Receivable | 1,177,175 | 1,689,938 | (512,763) |
| Inventory | 9,587 | 9,877 | (290) |
| TOTAL CURRENT ASSETS | 6,086,560 | 7,399,260 | (1,312,700) |
| FIXED ASSETS | 5,487,590 | 5,487,590 | - |
| OTHER ASSETS | 36,461 | 38,891 | (2,430) |
| TOTAL ASSETS | \$ 11,610,612 | \$ 12,925,741 | \$ (1,315,130) |
| LIABILITIES, DEFERRED REVENUE, FUND BALANCE: | | | |
| CURRENT LIABILITIES | 786,012 | 803,430 | (17,418) |
| NOTES PAYABLE | 536,765 | 536,765 | - |
| DEFERRED REVENUE | (2,901,672) | (1,617,237) | (1,284,435) |
| LONG-TERM LIABILITIES FOR | | | |
| Line of Credit - Tradition Bank | 650,702 | 670,521 | (19,819) |
| EXCESS(DEFICIENCY) OF REVENUES OVER EXPENSES FOR | | | |
| General Fund | 512,218 | 510,720 | 1,498 |
| Debt Service Fund | - | - | - |
| FUND EQUITY | | | |
| RESTRICTED | | | |
| Net Assets Reserved for Debt Service | (650,702) | (670,521) | 19,819 |
| Reserved for Debt Retirement | 963,631 | 963,631 | - |
| COMMITTED | | | |
| Net Assets-Property and Equipment | 5,487,590 | 5,487,590 | - |
| Reserved for Vehicles & Equipment Replacement | 292,803 | 292,803 | |
| Reserved for Facility Improvement & Acquisitions | 1,758,154 | 1,779,094 | |
| Reserved for Board Initiatives | 1,500,000 | 1,500,000 | |
| Reserved for 1115 Waiver Programs | 516,833 | 516,833 | |
| ASSIGNED | | | |
| Reserved for Workers' Compensation | 183,620 | 183,620 | - |
| Reserved for Current Year Budgeted Reserve | 80,166 | 74,000 | 6,166 |
| Reserved for Insurance Deductibles | 100,000 | 100,000 | - |
| Reserved for Accrued Paid Time Off | (536,765) | (536,765) | |
| UNASSIGNED | | | |
| Unrestricted and Undesignated | 2,331,257 | 2,331,257 | - |
| TOTAL LIABILITIES/FUND BALANCE | \$ 11,610,612 | \$ 12,925,741 | \$ (1,294,190) |

**TRI-COUNTY BEHAVIORAL HEALTHCARE
CONSOLIDATED BALANCE SHEET
For the Month Ended September 30, 2015**

| | | TOTALS | |
|---|-------------------------------|----------------------|----------------------------|
| | | Memorandum Only | |
| | General Operating Funds | September 2015 | Preliminary August 2015 |
| ASSETS | | | |
| CURRENT ASSETS | | | |
| Imprest Cash Funds | 5,117 | 5,117 | 3,165 |
| Cash on Deposit-General Fund | 4,894,681 | 4,894,681 | 5,696,280 |
| Cash on Deposit-Debt Fund | - | - | - |
| Accounts Receivable | 1,177,175 | 1,177,175 | 1,689,938 |
| Inventory | 9,587 | 9,587 | 9,877 |
| TOTAL CURRENT ASSETS | 6,086,560 | 6,086,560 | 7,399,260 |
| FIXED ASSETS | 5,487,590 | 5,487,590 | 5,487,590 |
| OTHER ASSETS | 36,461 | 36,461 | 38,891 |
| | | - | - |
| | \$ 11,610,612 | \$ 11,610,612 | \$ 12,925,741 |
| LIABILITIES, DEFERRED REVENUE, FUND BALANCES | | | |
| CURRENT LIABILITIES | 786,012 | 786,012 | 803,430 |
| NOTES PAYABLE | 536,765 | 536,765 | 536,765 |
| DEFERRED REVENUE | (2,901,672) | (2,901,672) | (1,617,237) |
| LONG-TERM LIABILITIES FOR | | | |
| Line of Credit - Tradition Bank | 650,702 | 650,702 | 670,521 |
| EXCESS(DEFICIENCY) OF REVENUES OVER EXPENSES FOR | | | |
| General Fund | 512,218 | 512,218 | 510,720 |
| Debt Service Fund | - | - | - |
| FUND EQUITY | | | |
| RESTRICTED | | | |
| Net Assets Reserved for Debt service-Restricted | (650,702) | (650,702) | (670,521) |
| Reserved for Debt Retirement | 963,631 | 963,631 | 963,631 |
| COMMITTED | | | |
| Net Assets-Property and Equipment-Committed | 5,487,590 | 5,487,590 | 5,487,590 |
| Reserved for Vehicles & Equipment Replacement | 292,803 | 292,803 | 292,803 |
| Reserved for Facility Improvement & Acquisitions | 1,758,154 | 1,758,154 | 1,779,094 |
| Reserved for Board Initiatives | 1,500,000 | 1,500,000 | 1,500,000 |
| Reserved for 1115 Waiver Programs | 516,833 | 516,833 | 516,833 |
| ASSIGNED | | | |
| Reserved for Workers' Compensation-Assigned | 183,620 | 183,620 | 183,620 |
| Reserved for Current Year Budgeted Reserve -Assigned | 80,166 | 80,166 | 74,000 |
| Reserved for Insurance Deductibles-Assigned | 100,000 | 100,000 | 100,000 |
| Reserved for Accrued Paid Time Off | (536,765) | (536,765) | (536,765) |
| UNASSIGNED | | | |
| Unrestricted and Undesignated | 2,331,257 | 2,331,257 | 2,331,257 |
| TOTAL LIABILITIES/FUND BALANCE | \$ 11,610,612 | \$ 11,610,611 | \$ 12,925,741 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
For the Month Ended September 2015
and Year To Date as of September 2015

| INCOME: | MONTH OF September 2015 | YTD September 2015 |
|---|------------------------------------|-------------------------------|
| Local Revenue Sources | 113,053 | 113,053 |
| Earned Income | 1,100,592 | 1,100,592 |
| General Revenue-Contract | 1,147,814 | 1,147,814 |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,361,459 |
| EXPENSES: | | |
| Salaries | 1,308,192 | 1,308,192 |
| Employee Benefits | 238,658 | 238,658 |
| Medication Expense | 48,144 | 48,144 |
| Travel-Board/Staff | 40,409 | 40,409 |
| Building Rent/Maintenance | 44,885 | 44,885 |
| Consultants/Contracts | 400,878 | 400,878 |
| Other Operating Expenses | 207,906 | 207,906 |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,289,070 |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 72,389 |
| CAPITAL EXPENDITURES | | |
| Capital Outlay-FF&E, Automobiles, Building | 17,635 | 17,635 |
| Capital Outlay-Debt Service Bonds | 35,322 | 35,322 |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 52,957 |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,342,028 |
| Excess (Deficiency) of Revenues and Expenses | \$ 19,431 | \$ 19,431 |

| | | |
|---|---------------|---------------|
| Debt Service and Fixed Asset Fund: | | |
| Bond Payments Receipts | 35,322 | 35,322 |
| Bond Payments Disbursements | | |
| Interest Income | | |
| Excess(Deficiency) of revenues over Expenses | 35,322 | 35,322 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
Compared to Budget
Year to Date as of September 2015

| | YTD September 2015 | APPROVED BUDGET | Increase (Decrease) |
|---|-----------------------|---------------------|------------------------|
| INCOME: | | | |
| Local Revenue Sources | 113,053 | 124,127 | (11,074) |
| Earned Income | 1,100,592 | 1,112,592 | (12,000) |
| General Revenue-Contract | 1,147,814 | 1,173,237 | (25,423) |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,409,956 | \$ (48,497) |
| EXPENSES: | | | |
| Salaries | 1,308,192 | 1,349,108 | (40,916) |
| Employee Benefits | 238,658 | 247,863 | (9,205) |
| Medication Expense | 48,144 | 51,284 | (3,140) |
| Travel-Board/Staff | 40,409 | 38,061 | 2,348 |
| Building Rent/Maintenance | 44,885 | 18,457 | 26,428 |
| Consultants/Contracts | 400,878 | 436,817 | (35,939) |
| Other Operating Expenses | 207,906 | 214,972 | (7,066) |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,356,562 | \$ (67,492) |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 53,394 | \$ 18,995 |
| CAPITAL EXPENDITURES | | | |
| Capital Outlay-FF&E, Automobiles | 17,635 | 23,232 | (5,597) |
| Capital Outlay-Debt Service Bonds | 35,322 | 35,059 | 263 |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 58,291 | \$ (5,334) |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,414,853 | \$ (72,825) |
| Excess (Deficiency) of Revenues and Expense: | \$ 19,431 | \$ (4,897) | \$ 24,328 |

| | | | |
|---|---------------|---------------|------------|
| Debt Service and Fixed Asset Fund: | | | |
| Bond Payments Receipts | 35,322 | 35,059 | 263 |
| Bond Payments Disbursements | | - | - |
| Interest Income | | | |
| Excess(Deficiency) of revenues over Expense: | 35,322 | 35,059 | 263 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
Compared to Budget
For the Month Ended September 2015

| INCOME: | MONTH OF September 2015 | APPROVED BUDGET | Increase (Decrease) |
|---|------------------------------------|----------------------------|--------------------------------|
| Local Revenue Sources | 113,053 | 124,127 | (11,074) |
| Earned Income | 1,100,592 | 1,112,592 | (12,000) |
| General Revenue-Contract | 1,147,814 | 1,173,237 | (25,423) |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,409,956 | \$ (48,497) |
| EXPENSES: | | | |
| Salaries | 1,308,192 | 1,349,108 | (40,916) |
| Employee Benefits | 238,658 | 247,863 | (9,205) |
| Medication Expense | 48,144 | 51,284 | (3,140) |
| Travel-Board/Staff | 40,409 | 38,061 | 2,348 |
| Building Rent/Maintenance | 44,885 | 18,457 | 26,428 |
| Consultants/Contracts | 400,878 | 436,817 | (35,939) |
| Other Operating Expenses | 207,906 | 214,972 | (7,066) |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,356,562 | \$ (67,492) |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 53,394 | \$ 18,995 |
| CAPITAL EXPENDITURES | | | |
| Capital Outlay-FF&E, Automobiles | 17,635 | 23,232 | (5,597) |
| Capital Outlay-Debt Service Bonds | 35,322 | 35,059 | 263 |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 58,291 | \$ (5,334) |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,414,853 | \$ (72,825) |
| Excess (Deficiency) of Revenues and Expenses: | \$ 19,431 | \$ (4,897) | \$ 24,328 |

Debt Service and Fixed Asset Fund:

| | | | |
|---|---------------|---------------|------------|
| Bond Payments Receipts | 35,322 | 35,059 | 263 |
| Bond Payments Disbursements | | - | - |
| Interest Income | | | |
| Excess(Deficiency) of revenues over Expense: | 35,322 | 35,059 | 263 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With September 2014 Comparative Data
Year to Date as of September 2015

| INCOME: | YTD September 2015 | YTD September 2014 | Increase (Decrease) |
|---|-------------------------------|-------------------------------|--------------------------------|
| Local Revenue Sources | 113,053 | 96,646 | 16,407 |
| Earned Income | 1,100,592 | 1,002,474 | 98,118 |
| General Revenue-Contract | 1,147,814 | 1,153,119 | (5,305) |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,252,239 | \$ 109,220 |
| EXPENSES: | | | |
| Salaries | 1,308,192 | 1,155,975 | 152,217 |
| Employee Benefits | 238,658 | 225,239 | 13,419 |
| Medication Expense | 48,144 | 24,960 | 23,184 |
| Travel-Board/Staff | 40,409 | 36,743 | 3,666 |
| Building Rent/Maintenance | 44,885 | 18,048 | 26,837 |
| Consultants/Contracts | 400,878 | 477,605 | (76,727) |
| Other Operating Expenses | 207,906 | 217,544 | (9,638) |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,156,114 | \$ 132,956 |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 96,125 | \$ (23,736) |
| CAPITAL EXPENDITURES | | | |
| Capital Outlay-FF&E, Automobiles | 17,635 | 26,592 | (8,957) |
| Capital Outlay-Debt Service Bonds | 35,322 | - | 35,322 |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 26,592 | \$ 26,365 |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,182,706 | \$ 159,322 |
| Excess (Deficiency) of Revenues and Expense: | \$ 19,431 | \$ 69,533 | \$ (50,102) |

Debt Service and Fixed Asset Fund:

| | | | |
|---|---------------|----------|---------------|
| Bond Payments Receipts | 35,322 | - | 35,322 |
| Bond Payments Disbursements | | | - |
| Interest Income | | | - |
| Excess(Deficiency) of revenues over Expense: | 35,322 | - | 35,322 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With September 2014 Comparative Data
For the Month Ended September 2015

| INCOME: | MONTH OF September 2015 | MONTH OF September 2014 | Increase (Decrease) |
|---|------------------------------------|------------------------------------|--------------------------------|
| Local Revenue Sources | 113,053 | 96,646 | 16,407 |
| Earned Income | 1,100,592 | 1,002,474 | 98,118 |
| General Revenue-Contract | 1,147,814 | 1,153,119 | (5,305) |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,252,239 | \$ 109,220 |
| Salaries | 1,308,192 | 1,155,975 | 152,217 |
| Employee Benefits | 238,658 | 225,239 | 13,419 |
| Medication Expense | 48,144 | 24,960 | 23,184 |
| Travel-Board/Staff | 40,409 | 36,743 | 3,666 |
| Building Rent/Maintenance | 44,885 | 18,048 | 26,837 |
| Consultants/Contracts | 400,878 | 477,605 | (76,727) |
| Other Operating Expenses | 207,906 | 217,544 | (9,638) |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,156,114 | \$ 132,956 |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 96,125 | \$ (23,736) |
| CAPITAL EXPENDITURES | | | |
| Capital Outlay-FF&E, Automobiles | 17,635 | 26,592 | (8,957) |
| Capital Outlay-Debt Service Bonds | 35,322 | - | 35,322 |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 26,592 | \$ 26,365 |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,182,706 | \$ 159,322 |
| Excess (Deficiency) of Revenues and Expense: | \$ 19,431 | \$ 69,533 | \$ (50,102) |

Debt Service and Fixed Asset Fund:

| | | | |
|---|---------------|----------|---------------|
| Bond Payments Receipts | 35,322 | - | 35,322 |
| Bond Payments Disbursements | | | - |
| Interest Income | | | - |
| Excess(Deficiency) of revenues over Expense: | 35,322 | - | 35,322 |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With August Preliminary 2015 Comparative Data
For the Month Ended September 2015

| INCOME: | MONTH OF September 2015 | Preliminary MONTH OF August 2015 | Increase (Decrease) |
|---|------------------------------------|---|--------------------------------|
| Local Revenue Sources | 113,053 | 462,390 | (349,337) |
| Earned Income | 1,100,592 | 1,184,323 | (83,731) |
| General Revenue-Contract | 1,147,814 | 1,178,313 | (30,499) |
| TOTAL INCOME | \$ 2,361,459 | \$ 2,825,026 | \$ (463,567) |
| EXPENSES: | | | |
| Salaries | 1,308,192 | 1,288,685 | 19,507 |
| Employee Benefits | 238,658 | 155,993 | 82,664 |
| Medication Expense | 48,144 | 54,622 | (6,478) |
| Travel-Board/Staff | 40,409 | 37,949 | 2,460 |
| Building Rent/Maintenance | 44,885 | 38,844 | 6,041 |
| Consultants/Contracts | 400,878 | 537,331 | (136,453) |
| Other Operating Expenses | 207,906 | 235,182 | (27,276) |
| TOTAL EXPENSES | \$ 2,289,070 | \$ 2,348,605 | \$ (59,535) |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 72,389 | \$ 476,420 | \$ (404,032) |
| CAPITAL EXPENDITURES | | | |
| Capital Outlay-FF&E, Automobiles | 17,635 | 439,369 | (421,734) |
| Capital Outlay-Debt Service Bonds | 35,322 | 35,322 | - |
| TOTAL CAPITAL EXPENDITURES | \$ 52,957 | \$ 474,692 | \$ (421,734) |
| GRAND TOTAL EXPENDITURES | \$ 2,342,028 | \$ 2,823,297 | \$ (481,269) |
| Excess (Deficiency) of Revenues and Expenses: | \$ 19,431 | \$ 1,729 | \$ 17,703 |

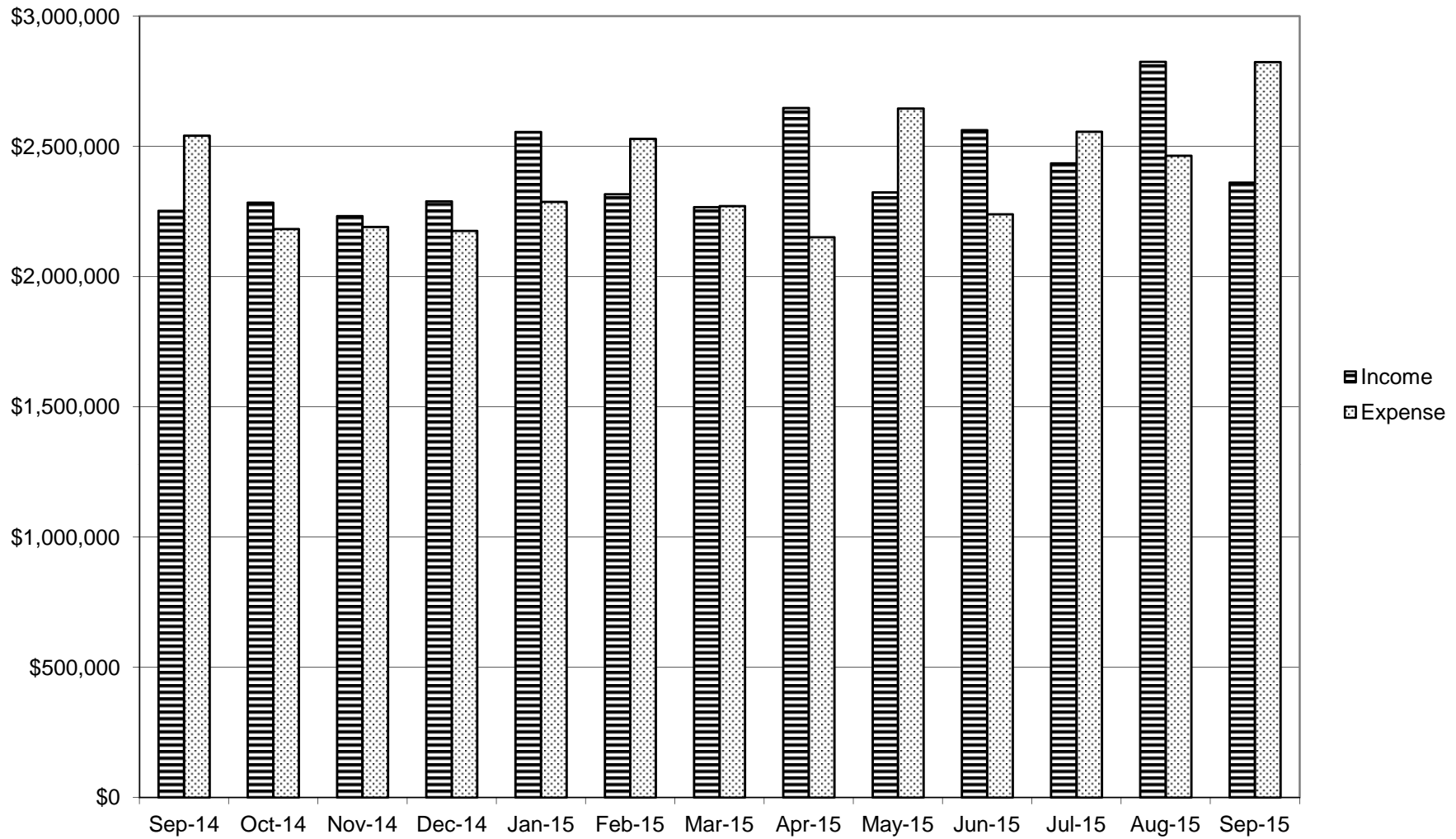
Debt Service and Fixed Asset Fund:

| | | | |
|--|---------------|---------------|----------|
| Bond Payments Receipts | 35,322 | 35,322 | - |
| Bond Payments Disbursements | | | - |
| Interest Income | | | |
| Excess(Deficiency) of revenues over Expenses: | 35,322 | 35,322 | - |

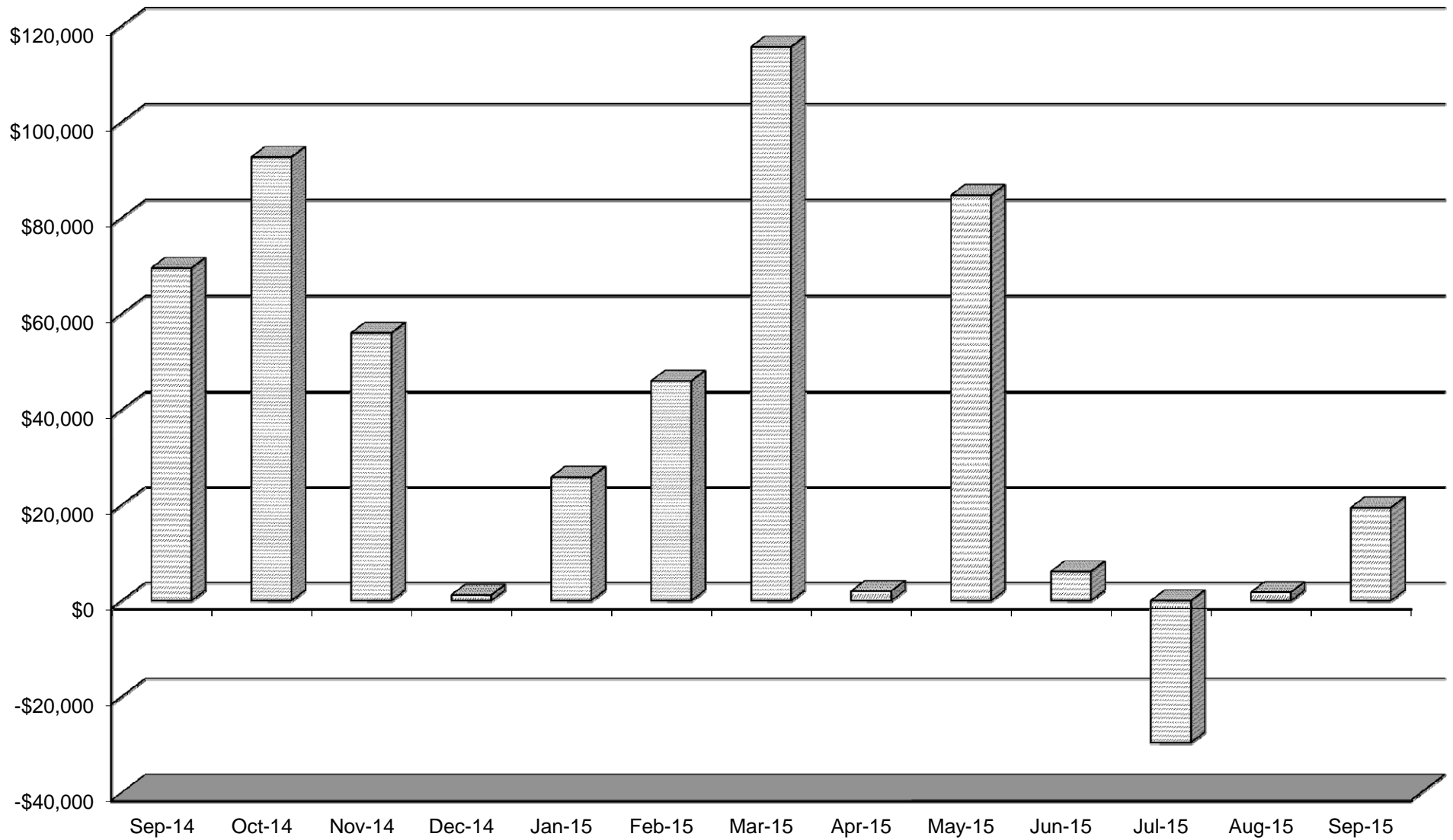
TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary by Service Type
Compared to Budget
Year To Date as of September 2015

| | YTD Mental Health September 2015 | YTD IDD September 2015 | YTD Other Services September 2015 | YTD Agency Total September 2015 | YTD Approved Budget September 2015 | Increase (Decrease) |
|---|---|------------------------------|--|--|---|------------------------|
| INCOME: | | | | | | |
| Local Revenue Sources | 135,646 | 22,380 | (44,974) | 113,053 | 124,127 | (11,074) |
| Earned Income | 286,371 | 464,435 | 349,786 | 1,100,592 | 1,112,592 | (12,000) |
| General Revenue-Contract | 1,000,160 | 147,654 | | 1,147,814 | 1,173,237 | (25,423) |
| TOTAL INCOME | \$ 1,422,177 | \$ 634,469 | \$ 304,812 | \$ 2,361,460 | \$ 2,409,956 | \$ (48,496) |
| EXPENSES: | | | | | | |
| Salaries | 839,095 | 262,619 | 206,478 | 1,308,192 | 1,349,108 | (40,916) |
| Employee Benefits | 152,916 | 51,182 | 34,559 | 238,658 | 247,863 | (9,205) |
| Medication Expense | 35,706 | | 12,438 | 48,144 | 51,284 | (3,140) |
| Travel-Board/Staff | 23,736 | 12,085 | 4,588 | 40,409 | 38,061 | 2,348 |
| Building Rent/Maintenance | 30,762 | 10,051 | 4,072 | 44,885 | 18,457 | 26,428 |
| Consultants/Contracts | 161,667 | 227,439 | 11,772 | 400,878 | 436,817 | (35,939) |
| Other Operating Expenses | 120,961 | 52,264 | 34,680 | 207,906 | 214,972 | (7,066) |
| TOTAL EXPENSES | \$ 1,364,843 | \$ 615,640 | \$ 308,587 | \$ 2,289,072 | \$ 2,356,562 | \$ (67,490) |
| Excess(Deficiency) of Revenues over Expenses before Capital Expenditures | \$ 57,334 | \$ 18,829 | \$ (3,775) | \$ 72,388 | \$ 53,394 | \$ 18,994 |
| CAPITAL EXPENDITURES | | | | | | |
| Capital Outlay-FF&E, Automobiles | 11,051 | 4,609 | 1,975 | 17,635 | 23,232 | (5,597) |
| Capital Outlay-Debt Service Bonds | 29,637 | 3,979 | 1,705 | 35,322 | 35,059 | 263 |
| TOTAL CAPITAL EXPENDITURES | \$ 40,688 | \$ 8,588 | \$ 3,680 | \$ 52,957 | \$ 58,291 | \$ (5,334) |
| GRAND TOTAL EXPENDITURES | \$ 1,405,531 | \$ 624,228 | \$ 312,267 | \$ 2,342,029 | \$ 2,414,853 | \$ (72,824) |
| Excess (Deficiency) of Revenues and Expenses | \$ 16,646 | \$ 10,241 | \$ (7,455) | \$ 19,431 | \$ (4,897) | \$ 24,328 |
| Debt Service and Fixed Asset Fund: | | | | | | |
| Bond Payments Receipts | 29,637 | 3,979 | 1,705 | 35,322 | 35,059 | (5,422) |
| Bond Payments Disbursements | | - | - | - | - | - |
| Interest Income | | - | - | - | - | - |
| Excess(Deficiency) of revenues over Expenses | 29,637 | 3,979 | 1,705 | 35,322 | 35,059 | (5,422) |

TRI-COUNTY BEHAVIORAL HEALTHCARE
Income and Expense
including Capital Expenditures



TRI-COUNTY BEHAVIORAL HEALTHCARE
Income after Expense
including Capital Expenditures



| | |
|---|--|
| <p>Agenda Item: Award Bid for 233 Sgt. Ed Holcomb S., Conroe, Texas</p> <p>Committee: Business</p> | <p>Board Meeting Date</p> <p>October 22, 2015</p> |
| <p>Background Information:</p> <p>Tri-County is requesting bids for the construction of a 90,456 sq. ft. medical office building at 233 Sgt. Ed Holcomb S., Conroe, Texas.</p> <ul style="list-style-type: none"> • On September 24th, Tri-County posted a Request for Bids on the Tri-County website, in the Conroe Courier and on Bid Clerk, an online bid notice website. • On September 28th, Tri-County received acknowledgement from the Department of State Health Services (DSHS) of our intent to construct a building at 233 Sgt. Ed Holcomb S. in Conroe. Nothing further is needed from DSHS to award the bid. • On October 1st, the full bid package was made available to bidders via an online portal that is managed by Identity Architects. • On October 7th, a pre-bid meeting was held for interested contractors at the Administration building in Conroe. Attendance at the meeting was optional. • On October 9th, Tri-County received acknowledgement from the Department of Aging and Disability Services (DADS) of our intent to construct a building at 233 Sgt. Ed Holcomb S. in Conroe. Nothing further is needed from DADS to award the bid. • Bids were opened at the Administration building on October 16th at 1:00 p.m. • Evan Roberson, Millie McDuffey and Mike Duncum evaluated the bids and potential contractors were interviewed. <p>Evan, Millie and Mike will review the bids at the Board meeting, and the Board may select a contractor. A summary of the bids will also be provided at the Board meeting.</p> <p>As stated in our Request for Bids, "Pursuant to Government Code Chapter 2269, subchapter D, Tri-County Behavioral Healthcare may enter into a contract with the offer or meeting all the qualifications and specifications that submits the bid that offers the best value to Tri-County considering the selection criteria and weighted value, set forth in the request for bids and the ranking evaluation of the bids received. Tri-County reserves the right to reject any and all bids, award parts of bids and to waive informalities in submission of bids."</p> <p>The bid award must be announced by 1:00 p.m. on Friday, October 23rd (seven (7) days from the day it was opened).</p> <p>After a contractor is selected, Tri-County staff and Mike will work with the contractor and Jackson Walker to negotiate a contract for the project.</p> | |
| <p>Supporting Documentation:</p> <p>Nothing Further Letters from DSHS and DADS</p> | |
| <p>Recommended Action:</p> <p>Award Bid for 233 Sgt. Ed Holcomb S., Conroe, Texas</p> | |



TEXAS DEPARTMENT OF STATE HEALTH SERVICES

RECEIVED
OCT 08 2015

KIRK COLE
INTERIM COMMISSIONER

P.O. Box 149347
Austin, Texas 78714-9347
1-888-963-7111
TTY: 1-800-735-2989
www.dshs.state.tx.us

Certified Mail ~ Return Receipt Requested

September 28, 2015

Evan Roberson
Tri-County Behavioral Healthcare
P.O. Box 3067
Conroe, TX 77304

Re: Real Property Acquisition and Construction Review Form

Dear Mr. Roberson:

The Department of State Health Services (DSHS) has received and reviewed Tri-County Behavioral Healthcare's notification of construction for the facility located at 233 Sgt. Ed Holcomb S. Conroe, TX. No DSHS funds will be used, no local funds will be used that are required to match DSHS funds, and all supporting documentation has been submitted. Please consider this letter as acknowledgement of Tri-County Behavioral Healthcare's notification. DSHS does not require any further action regarding this property.

Please contact me at christopher.dickinson@dshs.state.tx.us, or (512) 206-5025, should you have any questions.

Sincerely,

Christopher Dickinson
Contract Manager



RECEIVED
OCT 09 2015

COMMISSIONER
Jon Weizenbaum

October 6, 2015

Evan Roberson, M.P.A.
Executive Director
Tri-County Services
P.O. Box 1087
Conroe, TX 77305

RE: Real Property Acquisition and Construction Review Form

Dear Mr. Roberson:

The Department of Aging and Disability Services (DADS) has received and reviewed Tri-County Behavioral Healthcare's notification of construction for the facility located at 233 Sgt. Ed Holcomb South, Conroe, Texas, 77304. Per the Real Property Acquisition and Construction Review form, no DADS funds will be used, no local funds will be used that are required to match DADS funds and it appears all supporting documentation has been submitted.

As such, please consider this letter as acknowledgement of Tri-County Behavioral Healthcare's notification. DADS does not require any further action regarding this property. If you have any questions or concerns, please do not hesitate to contact me at (512) 438-3473 or by e-mail at Misti.Hair@dads.state.tx.us.

Sincerely,

A solid black rectangular box used to redact the handwritten signature of Misti J. Hair.

Misti J. Hair
Unit & Contract Manager
Performance Contracts

MH:ls

| | |
|--|--|
| <p>Agenda Item: Authorize Loan of Funds to Cleveland Supported Housing, Inc. for Operating Deficits</p> <p>Committee: Business</p> | <p>Board Meeting Date</p> <p>October 22, 2015</p> |
| <p>Background Information:</p> <p>The income from the Project Rental Assistance Contract (PRAC) and payments from residents of the Independence Oaks Apartments in Cleveland will not be adequate to cover the costs of the first full year of operations.</p> <p>Staff is requesting that the Board authorize a loan of funds to Cleveland Supported Housing, Inc. (CSHI) to cover these operational deficits in a not-to-exceed amount of \$35,000. As a reference point, Independence Communities, Inc. required over \$31,621 to supplement the first year of operations and Montgomery Supported Housing, Inc. required \$31,667 to supplement the first year of operations.</p> <p>As the Board is aware, the initial PRAC is not set up to cover the cost of operations in the first year, but the project can request rent increases each year from HUD so that the PRAC will eventually cover costs.</p> <p>CSHI will have a receivable to Tri-County for this loan and may be able to reimburse some of these expenses at Final Closing or from operations in coming years.</p> | |
| <p>Supporting Documentation:</p> <p>None</p> | |
| <p>Recommended Action:</p> <p>Authorize Loan of \$35,000 to Cleveland Supported Housing, Inc. for Operating Deficits and Authorize this Amount to be included in the FY 2016 Budget</p> | |

| | |
|---|---|
| Agenda Item: 401(a) Retirement Plan Account Review Committee: Business | Board Meeting Date October 22, 2015 |
| Background Information: A representative from ISC Group will present an update of the 401(a) Retirement Plan account activity and will provide a forecast for the future. | |
| Supporting Documentation: Information to be Distributed for Review at the Board Meeting | |
| Recommended Action: For Information Only | |

| | |
|--|---|
| Agenda Item: Board of Trustees' Unit Financial Statement for September 2015 Committee: Business | Board Meeting Date October 22, 2015 |
| Background Information: None | |
| Supporting Documentation: September 2015 Board of Trustees' Unit Financial Statement | |
| Recommended Action: For Information Only | |

Unit Financial Statement

FY 2016

| | September 2015 Actuals | September 2015 Budgeted | Variance | YTD Actual | YTD Budget | Variance | Percent | Budget |
|--------------------------------|---------------------------|----------------------------|-------------|---------------|---------------|-------------|---------|--------------|
| Revenues | | | | | | | | |
| Allocated Revenue | \$ 2,595.00 | \$ 2,595.00 | \$ - | \$ 2,595.00 | \$ 2,595.00 | \$ - | 100.00% | \$ 31,150.00 |
| Total Revenue | \$ 2,595.00 | \$ 2,595.00 | \$ - | \$ 2,595.00 | \$ 2,595.00 | \$ - | 100.00% | \$ 31,150.00 |
| Expenses | | | | | | | | |
| Food Items | \$ 207.30 | \$ 209.00 | \$ (1.70) | \$ 207.30 | \$ 209.00 | \$ (1.70) | 99.19% | \$ 2,500.00 |
| Insurance-Worker Compensation | \$ 7.83 | \$ 16.00 | \$ (8.17) | \$ 7.83 | \$ 16.00 | \$ (8.17) | 48.94% | \$ 200.00 |
| Legal Fees | \$ 1,500.00 | \$ 1,500.00 | \$ - | \$ 1,500.00 | \$ 1,500.00 | \$ - | 100.00% | \$ 18,000.00 |
| Postage-Express Mail | \$ - | \$ 5.00 | \$ (5.00) | \$ - | \$ 5.00 | \$ (5.00) | 0.00% | \$ 50.00 |
| Supplies-Office | \$ 194.40 | \$ 9.00 | \$ 185.40 | \$ 194.40 | \$ 9.00 | \$ 185.40 | 0.00% | \$ 100.00 |
| Training | \$ - | \$ 300.00 | \$ (300.00) | \$ - | \$ 300.00 | \$ (300.00) | 0.00% | \$ 3,600.00 |
| Travel - Local | \$ - | \$ 75.00 | \$ (75.00) | \$ - | \$ 75.00 | \$ (75.00) | 0.00% | \$ 900.00 |
| Travel - Non-local Mileage/Air | \$ - | \$ 150.00 | \$ (150.00) | \$ - | \$ 150.00 | \$ (150.00) | 0.00% | \$ 1,800.00 |
| Travel - Non-local Hotel | \$ - | \$ 250.00 | \$ (250.00) | \$ - | \$ 250.00 | \$ (250.00) | 0.00% | \$ 3,000.00 |
| Travel - Meals | \$ - | \$ 84.00 | \$ (84.00) | \$ - | \$ 84.00 | \$ (84.00) | 0.00% | \$ 1,000.00 |
| Total Expenses | \$ 1,909.53 | \$ 2,598.00 | \$ (688.47) | \$ 1,909.53 | \$ 2,598.00 | \$ (688.47) | 73.50% | \$ 31,150.00 |
| Total Revenue minus Expenses | \$ 685.47 | \$ (3.00) | \$ 688.47 | \$ 685.47 | \$ (3.00) | \$ 688.47 | 26.50% | \$ - |

UPCOMING MEETINGS

December 10th, 2015 – Board Meeting

- Program Presentation – Life Skills Christmas Carolers
- Consumer Christmas Card Contest Awards
- Approve Minutes from October 22, 2015 Board Meeting
- Community Resources Report
- Consumer Services Report for October 2015
- Program Updates
- Personnel Report for October 2015
- Texas Council Risk Management Fund Claims Summaries for September & October 2015
- Texas Council Quarterly Board Meeting Update
- Approve October 2015 Financial Statements
- Reappoint Independence Communities, Inc. Board of Directors
- Reappoint Montgomery Supported Housing, Inc. Board of Directors
- Reappoint Cleveland Supported Housing, Inc. Board of Directors
- Board of Trustees Unit Financial Statement for October 2015
- Cleveland Supported Housing, Inc. Update
- Other Business Committee Issues

Merry Christmas & Happy New Year!

January 28th, 2016 – Board Meeting

- Approve Minutes from December 10, 2015 Board Meeting
- From the Heart Presentation
- Community Resources Report
- Consumer Services Reports for November & December 2015
- Program Updates
- Year-to-Date FY 2016 Goals & Objectives Progress Report
- 1st Quarter FY 2016 Corporate Compliance & Quality Management Report
- 2nd Quarter FY 2016 Corporate Compliance Training
- Medicaid 1115 Transformation Waiver Project Status Report
- Personnel Reports for November & December 2015
- Texas Council Risk Management Fund Claims Summaries for November & December 2015
- Texas Council Quarterly Meeting Update
- Approve November & December 2015 Financial Statements
- Approve FY 2015 Independent Financial Audit
- 1st Quarter FY 2016 Investment Report
- Board of Trustees Unit Financial Statements for November & December 2015
- Other Business Committee Issues