

Tri-County Behavioral Healthcare Board of Trustees Meeting

October 27, 2016



Notice is hereby given that a regular meeting of the Board of Trustees of Tri-County Behavioral Healthcare will be held on Thursday, October 27, 2016. The Business Committee will convene at 9:00 a.m., the Program Committee will convene at 9:30 a.m. and the Board meeting will convene at 10:00 a.m. at 1506 FM 2854, Conroe, Texas. The public is invited to attend and offer comments to the Board of Trustees between 10:00 a.m. and 10:05 a.m.

AGENDA

- I. **Organizational Items**
 - A. Chair Calls Meeting to Order
 - B. Public Comment
 - C. Quorum
 - D. Review & Act on Requests for Excused Absence
- II. **Approve Minutes - September 22, 2016**
- III. **Longevity Recognition Presentations**
- IV. **Executive Director's Report - Evan Roberson**
 - A. PASRR QSR Reviews
 - B. FY 2016 Inpatient Hospital Expense
 - C. Rider 80
 - D. 1115 Funding for DY 6
 - E. 85th Legislative Session
- V. **Chief Financial Officer's Report - Millie McDuffey**
 - A. FY 2016 Audit
 - B. Workers Compensation Audit
 - C. Cost Accounting Methodology (CAM)
 - D. NISH Contracts
- VI. **Program Committee**
 - Information Items
 - A. Community Resources Report..... *Pages 11-14*
 - B. Consumer Services Report for September 2016..... *Pages 15-16*
 - C. Program Updates..... *Pages 17-20*
 - D. Medicaid 1115 Transformation Waiver Project Status Report..... *Pages 21-23*
- VII. **Executive Committee**
 - Action Items
 - A. Cast Election Ballot for Texas Council Risk Management Fund Board of Trustees..... *Pages 24-27*
 - Information Items
 - B. Board of Trustees Oath of Office..... *Pages 28-30*
 - C. Consider Dates of Scheduled Board Meetings for Next Year..... *Page 31*
 - D. Personnel Report for September 2016..... *Pages 32-34*
 - E. Texas Council Risk Management Fund Claims Summary for September 2016..... *Pages 35-36*
- VIII. **Business Committee**
 - Action Items
 - A. Approve September 2016 Financial Statements..... *Pages 37-49*
 - B. Ratify FY 2017 ICF/IID Services Contract with Educare Community Living Corporation..... *Page 50*

- C. Approve Sale of ICF/IID Licenses.....Pages 51-62
D. Approve FY 2016 Kingwood Pines Inpatient Hospital Contract Expenses.....Page 63

Information Items

- E. 401(a) Retirement Plan Account Review.....Page 64
F. Board of Trustees Unit Financial Statement for September 2016.....Pages 65-66
G. Building Consolidation Update.....Pages 67-74

- IX. Executive Session in compliance with Texas Government Code Section 551.071, Consultation with Attorney regarding advice on legal matters regarding contemplated litigation (Kathryn Banks VS Tri-County Behavioral Healthcare), Section 551.074 Personnel Matters & Section 551.072, Real Property, in regards to the sale of Tri-County Behavioral Healthcare's eight (8) ICF/IID homes.

Posted By:

Stephanie Eveland
Executive Assistant

Tri-County Behavioral Healthcare

P.O. Box 3067
Conroe, TX 77305

BOARD OF TRUSTEES MEETING September 22, 2016

Board Members Present:

Patti Atkins
Sharon Walker
Richard Duren
Morris Johnson
Cecil McKnight
Gail Page
Janet Qureshi

Board Members Absent:

Tracy Sorensen
Jacob Paschal

Tri-County Staff Present:

Evan Roberson, Executive Director
Annette Adams, Behavioral Health Director
Kenneth Barfield, Director of Management Information Systems
Tanya Bryant, Director of Quality Management and Support
Kathy Foster, Director of IDD Provider Services
Catherine Prestigiovanni, Director of Strategic Development
Breanna Robertson, Director of Crisis Services
Kelly Shropshire, Director of IDD Authority Services
Stephanie Eveland, Executive Assistant
Joyce Freeman, Public Information Coordinator
Jamila Brinson, Legal Counsel
Steven Balagtas, Hardware/Software Technician
Bette Ehrmann, Data Coordinator
Bernice James, Crisis Intervention Clinician
Timothy Macor, Network Administrator
Danielle Newlon, Conroe Facility Coordinator
Laura Parks, Jr. Software Specialist
Cathy Trombatore, Manager of Information Technology Services
Patricia Vela, Programmer/Developer

Guests:

Mike Duncum, WhiteStone Realty
Officer Chris Delk, Conroe PD

Call to Order: Board Chair, Patti Atkins, called the meeting to order at 10:02 a.m. at 1506 FM 2854, Conroe, Texas.

Public Comment: There were no public comments.

Cont.

Quorum: There being seven (7) members present, a quorum was established.

Resolution #09-16-01

Motion Made By: Morris Johnson

Seconded By: Cecil McKnight, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board excuse the absences of Tracy Sorensen and Jacob Paschal.

Resolution #09-16-02

Motion Made By: Morris Johnson

Seconded By: Cecil McKnight, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the minutes of the August 25, 2016 meeting of the Board of Trustees.

An award was presented to Bette Ehrmann in honor of her retirement after 25 years of dedicated service to Tri-County Behavioral Healthcare.

Executive Director's Report:

The Executive Director's report is on file.

Board Chair, Patti Atkins, suspended the agenda to move to Program Committee Information Item V-J, Program Presentation. Bernice James and Officer Chris Delk presented information regarding the Crisis Intervention Response Team.

Board Chair, Patti Atkins, suspended the agenda to move to Business Committee Information Item VII-K, Building Consolidation Update. Mike Duncum, from WhiteStone Realty, presented the report.

Chief Financial Officer's Report:

The Chief Financial Officer's report is on file.

PROGRAM COMMITTEE:

Resolution #09-16-03

Motion Made By: Gail Page

Seconded By: Richard Duren, with affirmative votes by Patti Atkins, Sharon Walker, Morris Johnson, Cecil McKnight and Janet Qureshi that it be...

Resolved:

That the Board reappoint Loretta Castro, Pam Holak, Lori Lilley and James Morris to the Intellectual and Developmental Disabilities Planning Network Advisory Committee for an additional two-year term expiring on August 31, 2018.

Resolution #09-16-04

Motion Made By: Gail Page

Seconded By: Richard Duren, with affirmative votes by Sharon Walker, Patti Atkins, Richard Duren, Cecil McKnight and Janet Qureshi that it be...

Resolved:

That the Board reappoint Loretta Castro, Dan Lafferty and Lori Lilley to the Mental Health Planning Network Advisory Committee for an additional two-year term expiring on August 31, 2018.

The Community Resources Report was reviewed for information purposes only.

The Consumer Services Report for August 2016 was reviewed for information purposes only.

The Program Updates were reviewed for information purposes only.

The Annual Planning Network Advisory Committee Reports were reviewed for information purposes only.

The FY 2016 Goals and Objectives Progress Report was reviewed for information purposes only.

The 4th Quarter FY 2016 Corporate Compliance and Quality Management Report was reviewed for information purposes only.

The Annual Corporate Compliance Report and 1st Quarter FY 2017 Corporate Compliance Training were reviewed for information purposes only.

EXECUTIVE COMMITTEE:

Resolution #09-16-05

Motion Made By: Cecil McKnight

Seconded By: Gail Page, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Morris Johnson and Janet Qureshi that it be...

Resolved:

That the Board reappoint the Texas Council representative and alternate for FY 2017 as follows:

- Sharon Walker – Representative
- Morris Johnson – Alternate

Resolution #09-16-06

Motion Made By: Cecil McKnight

Seconded By: Sharon Walker, with affirmative votes by Patti Atkins, Richard Duren, Morris Johnson, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the amended Board By-Laws, Board Policy C.1.

Resolution #09-16-07

Motion Made By: Morris Johnson

Seconded By: Cecil McKnight, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the revised Board Policies C.5 – Legal Compliance and C.6 – Record of Board Meetings.

Resolution #09-16-08

Motion Made By: Morris Johnson

Seconded By: Janet Qureshi, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Cecil McKnight and Gail Page that it be...

Resolved:

That the Board place nominations for the Texas Council Risk Management Fund Board of Trustees as follows:

- Place 1: Ms. Mary Lou Flynn-DuPart (Incumbent)
- Place 2: Mr. Clead Cheek (Incumbent)
- Place 3: Judge Dorothy Morgan (Incumbent)

Oaths of Office were recited by Patti Atkins, Richard Duren, Cecil McKnight and Janet Qureshi.

Patti Atkins, Board Chair, appointed the FY 2017 committee members as follows:

Business Committee

Morris Johnson, Chair

Richard Duren

Cecil McKnight

Tracy Sorensen

Program Committee

Jacob Paschal, Chair

Gail Page

Janet Qureshi

Sharon Walker

Executive Committee

Patti Atkins, Chair

Tracy Sorensen, Vice-Chair

Sharon Walker, Secretary

The Board of Trustees Attendance Analysis for FY 2016 was reviewed for information purposes only.

The Personnel Report for August 2016 was reviewed for information purposes only.

The Texas Council Risk Management Fund Claims Summary for August 2016 was reviewed for information purposes only.

BUSINESS COMMITTEE:

Resolution #09-16-09

Motion Made By: Morris Johnson

Seconded By: Janet Qureshi, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Cecil McKnight and Gail Page that it be...

Resolved:

That the Board approve the purchase of furniture for 233 Sgt. Ed Holcomb Blvd. South, Conroe, Texas at a price not to exceed \$750,000.

Resolution #09-16-10

Motion Made By: Morris Johnson

Seconded By: Cecil McKnight, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the replacement of the roof at Riverpointe Building #4 to the lowest bidder, Swadley Roofing from Tomball, Texas, at a price not to exceed \$20,420.59 with a 15 year warranty under the stipulation that this bid contain a transfer of owner clause.

Resolution #09-16-11

Motion Made By: Morris Johnson

Seconded By: Richard Duren, with affirmative votes by Patti Atkins, Sharon Walker, Cecil McKnight, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the repairmen of the water damage throughout 7045 Highway 75 South, Huntsville Texas, at a price not to exceed \$100,000.

Resolution #09-16-12

Motion Made By: Morris Johnson

Seconded By: Sharon Walker, with affirmative votes by Patti Atkins, Richard Duren, Cecil McKnight, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the DSHS Co-Occurring Mental Health and Substance Abuse Services (TCO) Contract #2016-048317-003.

Resolution #09-16-13

Motion Made By: Morris Johnson

Seconded By: Cecil McKnight, with affirmative votes by Patti Atkins, Sharon Walker, Richard Duren, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board approve the DSHS Youth Treatment Services (TRY) Contract #2016-048317-004.

Business Committee Action Item VII-F, Approve FY 2017 ICF/IID Contract with Educare Community Living Corporation was tabled until the next Board meeting.

Resolution #09-16-14

Motion Made By: Morris Johnson

Seconded By: Richard Duren, with affirmative votes by Patti Atkins, Sharon Walker, Cecil McKnight, Gail Page and Janet Qureshi that it be...

Resolved:

That the Board reappoint Patti Atkins and Madeline Brogan to Tri-County's Consumer Foundation Board of Directors for an additional two-year term expiring on August 31, 2018.

The Preliminary Financial Statements for August 2016 were reviewed for information purposes only.

The 4th Quarter FY 2016 Investment Report was reviewed for information purposes only.

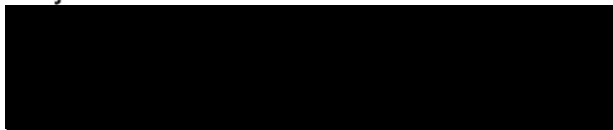
The Board of Trustees Unit Financial Statement for August 2016 was reviewed for information purposes only.

The Cleveland Supported Housing, Inc. Update was reviewed for information purposes only.

There was no need for Executive Session.

The regular meeting of the Board of Trustees adjourned at 11:55 a.m.

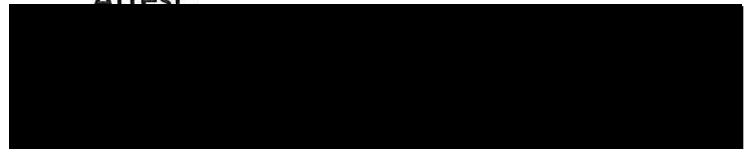
Adjournment:



Patti Atkins
Chair

Date

Attest:



Sharon Walker
Secretary

Date 1 / 1



Executive Director's Report

October 27, 2016

Announcements

- The next regularly scheduled Board meeting is on December 8, 2016. Due to a schedule conflict with the Anadarko delivery, the December meeting is going to be held at the River Plantation Country Club here in Conroe. Of course, this meeting will include our annual LifeSkills presentation of Christmas songs. I hope and trust that each of you will have a Happy Thanksgiving.
- I wanted to invite each of you to attend the annual Christmas party being held on December 3rd from 7:00-11:00 p.m. at La Torretta Resort on Lake Conroe. This year, the party's theme is country/western and has been dubbed the 'Holiday Roundup.' Each of the last few years, we have outgrown the venue chosen for the event and we look forward to having a great turnout this year as well.
- The National Council Conference will be held on April 3-5th in Seattle, Washington. Conference registrations will need to be submitted by the end of the year, so please be thinking about whether you would like to attend. If you know that you are interested in attending, please let Ms. Atkins know.
- Two weeks ago, the elevator died at the Administration Building in Conroe. The fault line that goes under the elevator shaft affected the integrity of the shaft. It may be able to be repaired; however, it would require the adjustment of the shaft and repair of the hydraulic systems that are used to raise and lower the cab.

The elevator is now turned off and locked. Currently, we do not feel the cost to make repairs is financially wise at this time given that we will be moving into the new consolidated facility located at 233 Sgt. Ed Holcomb Blvd. South in January-February 2017. We have sent a request to the State of Texas elevator licensing department to ask for a waiver of the decommissioning process for the elevator. Unfortunately, a non-working elevator affects the value of the building and contingent upon feedback from our appraisers, we may find it in our interests to make a repair at a later time.

- The Psychiatric Emergency Treatment Center (PETC) was adopted by ExxonMobil for their Day of Caring project this month. The volunteers completed several landscaping and beautification projects for the courtyard behind the PETC. I have attached pictures

so you can see the work that was done by the volunteers. The facility looks very nice and we are so grateful for being adopted by ExxonMobil.

Pre-Admission Screening and Resident Review (PASRR)

- The PASRR process is a federal requirement to help ensure individuals with intellectual disabilities or mental illnesses are not inappropriately placed in nursing homes for long-term care. The audit process for the PASRR program is called a Quality Service Review or QSR. There are four audit teams and the interpretation of standards does vary from review to review, but our staff is doing a good job managing this process. In a recent **PASRR QSR audit**, one of the auditors said, “Tri-County is amazing and I tell everyone I can.”
- Thanks to Kelly Shropshire and his staff for their outstanding work with the PASRR program.

Private Hospitalization Expense, FY 2016

- As discussed previously, need in the criminal justice system for State Hospital beds have caused the backup of persons in the community who need civil hospitalization. This year, Tri-County spent a record \$1.92 million General Revenue dollars on hospitalization in our local community. As I have mentioned before, we are actually fortunate because many communities don’t have local hospital beds available geographic area. Just over \$1.4 million of these dollars were allocated for the purchase of bed days, but the rest of the funds came from other sources.
- Psychiatric hospitalization is becoming a crisis in our community and is sure to be a subject of much interest in the 85th Legislative Session.

Rider 80

- In the 84th Legislative Session, Rider 80 required the Department of State Health Services (DSHS) to conduct a comprehensive review of contract funding requirements and standards governing community-based crisis and treatment facilities for persons with mental health and substance abuse disorders. As part of the review, DSHS behavioral health program staff and regulatory staff, in collaboration with the Health and Human Services Commission (HHSC) and stakeholders, shall identify best practices for unnecessary barriers to the effective delivery of mental health and substance abuse services by community-based crisis and treatment facilities.
- As the Board is aware, the standards for a Licensed Crisis Stabilization Unit like the PETC are nearly identical to the standards for a private psychiatric hospital. Alternatively, other levels of care like Extended Observation Units, Crisis Respite and/or Crisis Residential programs have virtually no standards in administrative code and yet, in many communities, these unlicensed facilities are forced into handling complex crises.

- The HHSC has issued a set of seven recommended changes to the codes that currently exist. Important changes include:
 - Changes in facility requirements for CSUs;
 - Changes in staffing types for crisis programs (addition of Licensed Chemical Dependency Counselors (LCDCs) and Licensed Practitioners of the Healing Arts (LPHAs);
 - Potential licensing of non-licensed environments; and
 - Potentially combining psychiatric hospitalization and detoxification services into the same set of regulations.
- A report of the findings of HHSC is due to the Legislative Budget Board on December 1, 2016, and we will be watching these recommendations as they work their way through the 85th Legislative Session.

1115 Updates

- On October 1, 2016, the Medicaid 1115 Transformation Waiver projects moved into Demonstration Year (DY) 6. As the Board may recall, DY 6 was extended for 15 months which means that we will receive 1115 funding for our five projects until December 31, 2017.
- One of the many changes to the 1115 protocols for DY 6 is the change in how we will be reimbursed for achieving our goals. In the first five years of the waiver, we were reimbursed for achieving milestones that our Center selected from a list of options provide by the HHSC. The value for each milestone was determined by the number of milestones which were to be achieved. Many of these milestones were achievable by the mid-DY reporting period.
- In DY 6, each project must have the following four Category 1 & 2 milestones, each valued at 25% of the project's DY 6 Category 1 & 2 value:
 - Total Quantifiable Patient Impact (Persons served);
 - Medicaid Low-Income Quantifiable Patient Impact (Percentage of persons served with Medicaid or medically indigent);
 - Core Component Reporting; and
 - Sustainability Planning.
- This new mechanism for milestone achievement will make it less likely for metrics to be achieved in the middle of the DY and therefore, it is possible that our cash flow will be impacted by receiving one small and one large reimbursement each DY.

Legislative Updates

- Several Board Trustees, Catherine Prestigiovanni and I were pleased to meet with Representative Ernest Bailes at the Helen Dishongh building in Cleveland on October

25th. After our visit about Tri-County and topics of interest in the next Legislative Session, we had the opportunity to take a quick tour of the facility and show him the work we do.

- Earlier this month, Catherine and I met with Representative Mark Keough of The Woodlands and with a staff person from Senator Brandon Creighton's office.
- I will be meeting with legislative staff in Austin tomorrow.
- During these discussions, the following key issues for the 85th Legislative Session were emphasized:
 - State Hospital capacity;
 - Three legged stool: MH Outpatient, MH Inpatient, Crisis Alternatives;
 - Foster care system and the intersection with mental health;
 - The Health and Human Services Transition Legislative Oversight Committee (TLOC) (Sunset);
 - IDD System Capacity, especially for Home and Community-based Services;
 - As of June 30, 2016, there were 79,560 persons on the 'interest list' statewide; and
 - The impact of the CMS Final Rule on Day Habilitation.

Tri-County Holiday 'Round-Up'

Saturday, December 3, 2016

7 pm – 11 pm

**La Torretta Lake
Resort**

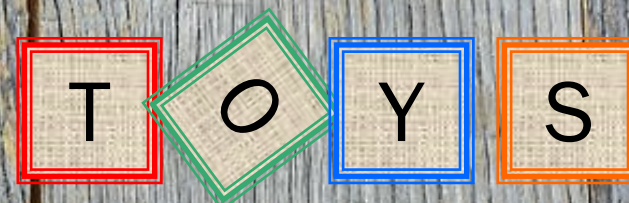
**600 La Torretta Blvd.
Conroe, TX 77356**

**HEE HAW
HAYRIDE**

Cash Bar

DJ

Photo Booth



**From the Heart: Employees who bring a
toy will receive an extra ticket for door
prizes. You may also purchase
additional tickets.**

Western Theme

Dinner

Please RSVP to JoyceF@tcbhc.org by Wednesday, November 23rd.

Staff are invited to bring one guest free of charge.

Due to alcohol being served, children will not be admitted.

DAY OF CARING | PETC



DAY OF CARING | PETC



CHIEF FINANCIAL OFFICER'S REPORT

October 27, 2016

FY 2016 Audit – We continue to prepare for the next auditors visit on October 31st through November 4th. The first visit focused on contract compliance and a review of our processes and procedures. The next visit will be more focused on the financial statements, fixed assets and depreciation. They left us a list of 50 items to have ready for them when they return.

Worker's Compensation Audit – We have had our initial Worker's Compensation auditor visit on October 5th. At this meeting, we provided them with all the following information: Payroll Journals for FY 2016, Individual Earnings Records, Quarterly Payroll Tax Returns (941 & State Unemployment Reports), Amounts Paid to Subcontractors and Certificates of Insurance for Subcontractors. We should have the final results of the audit in about 60 days.

Cost Accounting Methodology (CAM) – We are getting ready to prepare our CAM report for the FY 2016 fiscal period. With the move coming during the normal due date for this report, we are trying to get this completed prior to the end of the calendar year. As was the requirements for the last few years, we are only required to submit the CAM to DSHS. The due date for the preliminary report is January 27, 2017 and the final report is due on February 28, 2017. Over the next couple of months, we will be spending time with program managers to review their service areas to ensure that accurate data is reflected in the cost centers. Prior to submission, we will analyze the cost data and research any costs that have significant variances as compared with prior year's data.

NISH Contracts – For many years, Tri-County has provided grounds and custodial services to the Huntsville and Conroe Army Bases. These services are provided by contract agreement through U.S. AbilityOne Commission. As a part of these contracts, we are required to employ individuals with significant disabilities to provide these services. These contracts designate the wage to be paid to the employees as well as an additional amount for fringe benefits. Over the past couple of years, these contracts have been reduced significantly to a point where we are now reviewing the viability of keeping these contracts. We will bring the results of the review back to the Board with the staff recommendation for final approval before any action is taken to terminate these contracts.

Agenda Item: Community Resources Report Committee: Program	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: Community Resources Report	
Recommended Action: For Information Only	

Community Resources Report

September 23, 2016 – October 27, 2016

Volunteer Hours:

Location	September
Conroe	202
Cleveland	1.5
Liberty	44.5
Huntsville	23.5
Total	271.5

COMMUNITY ACTIVITIES:

9/26/16	Outpatient Competency Restoration (OCR) Hospital Meeting	Conroe
9/26/16	Child & Youth (C&Y) Services Meeting with Counselors at New Caney High School	New Caney
9/26/16	Military Veteran Peer Network Basic Training	Conroe
9/27/16	Veteran Affairs Advisory Board Service Recognition	Huntsville
9/27/16	Montgomery County Business Women's Luncheon	Conroe
9/27/16	C&Y Services Meeting with Principal at Cleveland DAEP School	Cleveland
9/28/16	Veterans Treatment Court Meeting	Conroe
9/29/16	Texas Southern University Job Fair	Houston
9/29/16	Client Track Meeting – Emergency Assistance Center	The Woodlands
10/1/16	Veterans Community Service Project – Montgomery County Animal Shelter	Conroe
10/3/16	Montgomery County Homeless Coalition Board Meeting	Conroe
10/3/16	C&Y Services Meeting with Special Education Department for New Caney ISD	New Caney
10/4/16	Bring Everyone In The Zone Facilitator Award Presentation	Liberty
10/4/16	United Way Health & Wellness Impact Council Meeting	The Woodlands
10/4/16	C&Y Psychoeducation Training on High Risk Suicide to Counselors at New Caney High School	New Caney
10/4/16	Conroe Noon Lions Club Luncheon	Conroe
10/4/16	Montgomery County United Way Health & Wellness Impact Council	The Woodlands
10/5/16	Volt Military Heroes Program Meeting	Conroe
10/5/16	Veteran Peer Court Mentors Meeting	Conroe
10/5/16	The Woodlands Chamber Community Relations Team Meeting	The Woodlands
10/5/16	Youth Mental Health First Aid	Conroe
10/5/16	Meeting with Christie Siedhoff – Senator Creighton's Office	The Woodlands
10/5/16	Sam Houston State University Career Fair	Huntsville
10/6/16	Meeting with Representative Keough	The Woodlands
10/6/16	DeGeorge Veterans Housing Facility Tour	Houston
10/6/16	Greater Cleveland Chamber of Commerce Luncheon	Cleveland
10/7/16	Dispute Resolution Center Bookmark Contest Meeting	Conroe

COMMUNITY ACTIVITIES (cont'd):

10/7/16	Houston Stand Down for Homeless Veterans	Houston
10/8/16	Suicide Awareness Balloon Release	Conroe
10/11/16	Veterans Resource Fair – Lone Star College	Cypress
10/11/16	Montgomery County United Way Texas Health Institute Data Review Meeting #1	The Woodlands
10/11/16	Montgomery County Community Resource Coordination Group	Conroe
10/12/16	Veterans Treatment Court Meeting	Conroe
10/12/16	The Woodlands Adolescent Roundtable Symposium	The Woodlands
10/12/16	The Woodlands Chamber of Commerce Taste of the Town Team Meeting	The Woodlands
10/12/16	Walker County Child Fatality Review Team Meeting	Huntsville
10/12/16	Conroe Noon Lions Club Luncheon	Conroe
10/12/16	Liberty Chamber of Commerce Luncheon	Liberty
10/13/16	Huntsville Chamber of Commerce Breakfast	Huntsville
10/15/16	Montgomery County Homeless Coalition Gardening Day	Conroe
10/18/16	The Woodland Transit Advisory Committee Meeting	The Woodlands
10/18/16	Veterans Taskforce Meeting	Conroe
10/18/16	Montgomery County Community Resource Coordination Group	Conroe
10/19/16	Veteran Resource Training – Montgomery County Sheriff's Office	Conroe
10/19/16	Greater Cleveland Chamber of Commerce Taste of Texas	Cleveland
10/19/16	Conroe Noon Lions Club Luncheon	Conroe
10/19/16	Quarterly Multidisciplinary Behavioral Health Team Meeting – Huntsville Memorial Hospital	Huntsville
10/20/16	Veterans Incarcerated Group Visit – Estelle Unit	Huntsville
10/20/16	Montgomery County Homeless Coalition Meeting	Conroe
10/20/16	Lamar University Career Fair	Beaumont
10/21/16	Walker County Safety Planning Community Meeting	Huntsville
10/21/16	Dispute Resolution Awards Day Breakfast with Judge Hamilton	Conroe
10/24/16	Military Veteran Peer Network Basic Training	Conroe
10/25/16	Meeting with Representative Bailes	Cleveland
10/25/16	Montgomery County Business Women's Luncheon	Conroe
10/25/16	Magnolia Parkway Chamber of Commerce Monthly Luncheon	Magnolia
10/26/16	C&Y Presentation to Texas Department of Family Protective Services (CPS) – CVS University Training Department Office	Conroe
10/26/16	Conroe Noon Lions Club Luncheon	Conroe
10/26/16	Veterans Treatment Court Meeting	Conroe

UPCOMING ACTIVITIES:

10/28/16	Stephen F. Austin Career Fair	Nacogdoches
11/1/16	Montgomery County United Way Health & Wellness Committee	The Woodlands
11/3/16	Cleveland Chamber of Commerce Luncheon	Cleveland
11/5/16	Montgomery County Homeless Coalition Board Meeting	Conroe

UPCOMING ACTIVITIES (cont'd):

11/5/16	Out of the Darkness Suicide Awareness Walk	The Woodlands
11/8/16	Youth Mental Health First Aid at Peet Jr. High for all Conroe ISD Elementary and Intermediate School Counselors	Conroe
11/10/16	Huntsville Chamber of Commerce Breakfast	Huntsville
11/14/16	Montgomery County Community Resource Coordination Group	Conroe
11/16/16	Liberty Chamber of Commerce Luncheon	Liberty
12/8/16	Huntsville Chamber of Commerce Breakfast	Huntsville
12/14/16	Liberty Chamber of Commerce Luncheon	Liberty

Agenda Item: Consumer Services Report for September 2016 Committee: Program	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: Consumer Services Report for September 2016	
Recommended Action: For Information Only	

Consumer Services Report

September 2016

Consumer Services	Montgomery County	Cleveland	Liberty	Walker County	Total
Crisis Services, MH Adults/Children					
Persons Screened, Intakes, Other Crisis Services	490	38	28	48	604
Crisis and Transitional Services (LOC 0, LOC 5)	53	1	0	1	55
Psychiatric Emergency Treatment Center (PETC) Served	71	2	1	8	82
Psychiatric Emergency Treatment Center (PETC) Bed Days	260	14	12	44	330
Contract Hospital Admissions	9	0	0	0	9
Diversion Admits	18	0	0	2	20
Total State Hospital Admissions	3	0	0	0	3
Routine Services, MH Adults/Children					
Adult Service Packages (LOC 1m,1s,2,3,4)	1033	156	99	188	1476
Adult Medication Services	750	62	60	98	970
Child Service Packages (LOC 1-4 and YC)	406	44	19	51	520
Child Medication Services	230	24	12	34	300
TCOOMMI (Adult Only)	105	18	16	10	149
Adult Jail Diversions	2	0	0	0	2
Persons Served by Program, IDD					
Number of New Enrollments for IDD Services	1	0	0	0	1
Service Coordination	644	46	55	63	808
Persons Enrolled in Programs, IDD					
Center Waiver Services (HCS, Supervised Living, TxHmL)	41	5	19	23	88
Contractor Provided ICF-MR	18	11	11	6	46
Substance Abuse Services					
Children and Youth Prevention Services	72	0	0	33	105
Youth Substance Abuse Treatment Services/COPSD	5	0	0	0	5
Adult Substance Abuse Treatment Services/COPSD	23	0	0	3	26
Waiting/Interest Lists as of Month End					
Home and Community Based Services Interest List	1453	124	133	139	1849
August Served by County					
Adult Mental Health Services	1446	160	109	191	1906
Child Mental Health Services	501	48	23	61	633
Intellectual and Developmental Disabilities Services	677	47	57	70	851
Total Served by County	2624	255	189	322	3390
September Served by County					
Adult Mental Health Services	1423	170	129	184	1906
Child Mental Health Services	484	45	23	65	617
Intellectual and Developmental Disabilities Services	680	47	60	71	858
Total Served by County	2587	262	212	320	3381
July Served by County					
Adult Mental Health Services	1385	170	120	196	1871
Child Mental Health Services	439	33	17	62	551
Intellectual and Developmental Disabilities Services	676	46	55	96	873
Total Served by County	2500	249	192	354	3295

Agenda Item: Program Updates	Board Meeting Date: October 27, 2016
Committee: Program	
Background Information: None	
Supporting Documentation: Program Updates	
Recommended Action: For Information Only	

Program Updates

September 23, 2016 – October 27, 2016

Crisis Services

1. A Director of Nursing for the Psychiatric Emergency Treatment Center (PETC) has been identified and an offer was extended. She accepted and is projected to begin employment at the PETC beginning in November.
2. Exxon employees partnered with United Way for a Day of Caring initiative at the PETC on October 25th. The fenced outdoor area adjacent to the Crisis Stabilization Unit was transformed into a relaxing and sanctuary-like atmosphere for the clients. Garden areas were planted to help create a more nurturing environment for individuals receiving treatment.

MH Adult Services

1. The Intake, Assessment & Counseling Team continues to focus on engagement with clients referred to outpatient counseling as this has been a challenge. We are also working on processes to streamline “walk-in clinic”, including reviewing data to make a decision about changing “walk-in” days/hours in preparation for the move to the new building.
2. The Adult Outpatient Behavioral Health Teams in Conroe are actively working to align client caseloads with new team structure and new client assignments. All this work is aimed at better serving our clients and more manageable caseloads for staff. We have set a December 1st target date for this work so that we transition assignments and service provider changes for clients.
3. One of our PATH workers attended the annual conference on homelessness held in Austin this month. We have successfully transitioned from data entry of homelessness data into the Austin based system to the Houston based system, Continuum of Care (CoC) Houston/Harris County for FY 2017.
4. Adult Outpatient Behavioral Health continues to see growing numbers of those with intensive needs for severe mental health disorders and co-occurring substance use disorders. We are actively working to pull together an updated training for our staff on treatment of co-occurring mental illness and substance use.
5. Referrals for the United Way Grant in Walker County have started to come in and we have checks being processed.

MH Child Services

1. C&Y's focus continues to be on recruiting for vacant QMHP positions and developing our new staff to serve our caseloads.
2. Our team is diligently working with schools to accommodate schedules, collaborate with faculty, and maintain good relationships.
3. Thanks to our dedicated “Wraparound Specialists”, we are significantly increasing the amount of “wraparound” services to children and youth in our most intensive levels of care. It is double the amount provided just a year ago.

Criminal Justice Services

1. Our "Jail Liaison" staff assessed 28 individuals and coordinated the treatment of 77 others in Montgomery County Jail in September.
2. The Outpatient Competency and Restoration program served thirteen (13) individuals in FY 2016 and has already admitted one (1) for FY 2017.
3. TCOOMMI adult caseloads are at contracted numbers and revenue continues to be steady.
4. Six (6) individuals have been admitted to the Mental Health Court in Montgomery County; three are in our TCOOMMI program and one in our Jail Diversion Program.

Substance Abuse Services

1. The Adult Substance Abuse Treatment Team is working on building caseloads to increase revenue. The program is currently seeing the lowest census in several months and is exploring ways to increase the numbers, including increasing marketing in the community. The Program Manager has been charged with seeking connections within the local community. Also, in an effort to retain clients, the discharge policies have been modified and are being reviewed by our Utilization Management and Quality Management staff.
2. We have filled all of our Youth Substance Abuse Prevention Specialist positions and are currently in the schools providing prevention groups to identified students. We have a plan for this fiscal year that includes serving schools in ISDs in all three counties.
3. We are continuing our search for a qualified person to fill the vacant Licensed Chemical Dependency Counselor (LCDC), Youth Substance Abuse Treatment Manager position.

IDD Services

1. IDD Authority Services has several Pre-admission Screening and Resident Review (PASRR) program quality service reviews (QSR) underway. These reviews are done to ensure individuals that are residing in nursing facilities, diverted from nursing facilities, or transitioned from nursing facilities are receiving the needed services.
2. HCS Provider has two (2) vacant HCS slots at this time.
3. Several Liberty Life Skills individuals had the opportunity to go sailing, free of charge, through an organization called Sailing Angels that offers free sailing to individuals with disabilities.

Support Services

1. **Quality Management:**
 - a. The new Program Survey tool has been finalized and staff are preparing for their first review.
 - b. The Administrator of Quality Management attended the Person-Centered Recovery Planning (PCRP) Workshop and plans to create and implement additional training tools.

- c. Staff reviewed and submitted one (1) record request for twenty-three (23) charts to Altegra Health/United Behavioral Healthcare Texan Plus dating back to January 1, 2015.
 - d. Staff reviewed and submitted one record request for one (1) chart to Amerigroup dating back to January 1, 2015.
2. **Veteran Affairs:**
- a. Veteran Affairs staff took part in a Suicide Awareness Balloon Release with the Veterans of Foreign Wars (VFW) on October 8, 2016. Each balloon contained a message with the names of lost loved ones.
 - b. The VFW presented a \$3,000 check to the Veteran Affairs program in support of their efforts.

Community Activities

- 1. Staff continues to meet with state and local officials regarding Tri-County's role in the community. The meetings this month have been overwhelmingly positive.
- 2. Tri-County's 'From the Heart Campaign' has kicked off with The Woodlands Chamber of Commerce Community Relations Team adopting our "Teens, Tweens, and Toiletries" groups; while Anadarko had their "From the Heart Family Selection Day" on October 17th and selected a number of families.
- 3. Tri-County's Consumer Foundation will be working on a fundraiser beginning in January 2017 with an event planned for early spring; that will involve Tri-County's consumers and various community leaders.
- 4. Staff will once again support the "Out of the Darkness" suicide awareness walk this November. We currently have 33 staff signed up for 'Team Outreach', along with ten (10) licensed therapists volunteering to monitor the crowd throughout the event.




<p>Agenda Item: Medicaid 1115 Transformation Waiver Project Status Report</p> <p>Committee: Program</p>	<p>Board Meeting Date:</p> <p>October 27, 2016</p>
<p>Background Information:</p> <p>Tri-County is preparing to submit it's second of two Demonstration Year 5 (DY 5) reports to the Texas Health and Human Services Commission (HHSC) due October 31, 2016. All metrics submitted in April were approved and payment received.</p> <p>Data submitted will show completion of the balance of the Milestones and Metrics associated with each project as well as the Category 3 performance measurement data which is used to assess the overall effectiveness of the project interventions. Achievement of each metric results in the balance of incentive payments.</p> <p>The Intensive Evaluation and Diversion will be report full achievement. In DY 5, 843 persons were seen in crisis by 1115 staff. Of those, 317 were admitted to the EOU. The program Category 3 (PHQ-9) performance outcomes will meet specifications for payment.</p> <p>The IDD ACT program served 70 unique individuals in DY 5. They have also completed their performance outcome measures. Metrics showing stakeholder meetings were reported in April and diversion cost savings will be reported for achievement in October. The program has provided the Category 3 (ABC) outcomes needed for reporting.</p> <p>Both Expanded Psychiatry Delivery programs will be reporting on 12 months of data. Two remaining metrics will be reported on for achievement. In the Liberty program, 202 unique persons were seen in DY 5 and 335 in the Region 17 program. Category 3 PHQ-SADS survey results will also be calculated and submitted for achievement.</p> <p>Integrated Primary and Behavioral Health Care has exceeded its DY 5 goal. A last metric involving the number of appointments available exceeded its goal. Category 3 continues to be the <i>Controlling High Blood Pressure</i> study. Our goal of 10% Improvement Over Self (IOS) will be exceeded with almost 79% of the patients followed having blood pressures that were adequately controlled by the end of the measurement year.</p>	
<p>Supporting Documentation:</p> <p>Medicaid 1115 Transformation Waiver Project Status Report</p>	
<p>Recommended Action:</p> <p>For Information Only</p>	









Tri-County Behavioral Healthcare Medicaid 1115 Transformation Waiver Projects

DY 5 - 10/1/2015 - 09/30/2016

Status Update: 10/1/2015 -09/30/2016 - Round 2

Source: Internal Reporting / HHSC Reports

-  On Target to Meet DY5 Outcomes
-  Not Started / To be completed in DY 5
-  Pending HHSC Approval

Project	County	DY 5 Targets	As of 7/10/16	Progress Towards Goals	Status	Barriers / Comments
1.1.1 Intensive Evaluation & Diversion	Montgomery Walker	DY 5 - 500 Persons presenting in crisis	843	Of the 843 persons seen in crisis, 317 were diverted to the EOU as of 7/10/16.		Will report for achievement in October 2016.
Required Milestones/Metrics		1. 4 Stakeholder mtgs. 2. 500 persons/ 50% costs avoided	1. 100% 2. TBD	1. 6 Stakeholder meetings w/ an avg. of 8+ participants. Achieve 2. TBD		DY5 Estimated Incentive Bundle Amount: \$2,189,622
Category 3 Performance Outcomes		PHQ-9 - Dep Survey Baseline: 10.43		Will need to show 10% improvement in Oct 2016. Avg target score: 9.97		Will report in October. DY 5 Incentive Payment: \$280,558.50
1.1.2 IDD ACT	Montgomery Walker	50 Individuals	100%	Will report for payment in 10/2016		
Required Milestones/Metrics		1. 4 Stakeholder mtgs. 2. 50% Costs avoided	1. 100% 2. TBD	1. Achieved in April 2. Will report for full payment in 10/16		DY 5 Estimated Incentive Bundle Amount: \$349,351
Category 3 Performance Outcomes		Aberrant Behavior Checklist (ABC) 30Pre / 30 Posttests	10% IOS Baseline: 89.25	Will report a ≥ 10% decrease in behavioral health symptoms due to treatment. DY5 Goal: 84.79%		DY 5 Incentive Payment: \$280,558.50
1.1.3 Expanded Psychiatry Delivery	Montgomery Walker	1. 175 Unique clients 2. a. 375 Visits b. 750 Appts	1. 335 2. 1585 3. 2108	1. Will report for achievement in October 2016 2 & 3 Achieved in April		DY 5 Estimated Incentive per metric: \$344,979
Required Milestones/Metrics		1. Use of ED by persons with MI 2. Evidence of improved access	1. 10% ↓ 2. Volume #'s above	1. Percentage metrics are not eligible to report until October.		DY 5 Estimated Incentive Bundle Amount: \$689,958




Tri-County Behavioral Healthcare








Medicaid Transformation Waiver Projects

DY 5 - 10/1/2015 - 09/30/2016

Status Update: 10/1/2015 - 09/30/2016 - Round 2

Source: Internal Reporting / HHSC Reports

-  On Target to Meet DY5 Outcomes
-  Not Started / To be completed in DY 5
-  Pending HHSC Approval

Project	County	DY 5 Target	As of 7/10/16	Update	Status	Barriers / Comments
Category 3 Performance Outcomes		PHQ-SADS - Depression/Anxiety Establish baseline	Baseline: 36.8 Goal: 34.07	Will report a ≥ 10% decrease in behavioral health symptoms due to treatment		DY 5 Incentive Payment: \$280,558.50
1.2.1 Integrated Primary & Behavioral Healthcare	Montgomery Walker	1. 1250 Available Appointments 2. 225 persons with both physical & BH care	1. 1686 2. 492	1. On target to report for achievement in October 2016 2. Reported in April		Need to increase warm hand-offs and coding that service.
Required Milestones/Metrics		1. Expand appts. 2. CQI activities 3. % receiving both primary & BH care	1. Yes 2. Data Measures & EHR 3. 100%	Ongoing data is collected showing strengths & weaknesses for continuous quality improvement (CQI). Reported for payment in April		DY 5 Estimated Incentive Bundle Amount: \$1,254,782
Category 3 Performance Outcomes		Report controlled BPs in last 6 months of DY5	Baseline: 55.56%	List of clients being treated for HTN is complete for October DY5 report.		Improve BP scheduling DY 5 Incentive Payment: \$ 280,558.50
1.1.1 Expanded Psychiatry Delivery	Liberty	1. 75 Unique clients 2. 125 Visits 3. 250 Appts Avail	1. 202 2. 996 3. 1208	Achievement to be reported in October 2016		Thursday, med clinic day, has 14-16 persons scheduled, but averaging <8 persons, so has capacity.
Required Milestones/Metrics		1. Use of ED by persons with MI 2. Evidence of improved access	1. 10% ↓ 2. Volume #'s above	1. Percentage metrics will be reported until October.		DY 5 Estimated Incentive Bundle Amount: \$307,940
Category 3 Performance Outcomes		PHQ-SADS - Depression/Anxiety Establish baseline	47.2 = Baseline	Will report 10% ↓ over baseline in Oct 2016 reporting period		DY 5 Incentive Payment: \$77,000.00

<p>Agenda Item: Cast Election Ballot for Texas Council Risk Management Fund Board of Trustees</p> <p>Committee: Executive</p>	<p>Board Meeting Date</p> <p>October 27, 2016</p>
<p>Background Information:</p> <p>The election process to fill the positions of the Board of Trustees in Places 1, 2 and 3 will be completed during the Texas Council Risk Management Fund Board Meeting on Friday, November 11th. Election ballots are due by Friday, October 28th.</p> <p>Only one (1) candidate can be selected for each of the three (3) places:</p> <p>Place 1</p> <ul style="list-style-type: none"> • Ms. Mary Lou Flynn-DuPart (Incumbent) <p>Place 2</p> <ul style="list-style-type: none"> • Mr. Clead Cheek (Incumbent) <p>Place 3</p> <ul style="list-style-type: none"> • Judge Dorothy Morgan (Incumbent) <p>New Candidate</p> <ul style="list-style-type: none"> • Mr. Verner W. Hayhurst 	
<p>Supporting Documentation:</p> <p>Memorandum from the Texas Council Risk Management Fund Nominating Committee</p> <p>Election Ballot</p> <p>Candidate Biographies</p>	
<p>Recommended Action:</p> <p>Cast Election Ballot for the Texas Council Risk Management Fund Board of Trustees to Fill Places 1, 2 and 3</p>	

September 28, 2016

MEMORANDUM

To: Executive Directors
Member Centers, Texas Council Risk Management Fund

From: Nominating Committee

Subject: **Board of Trustees Election Ballot**
Places 1, 2, and 3

The election process for Places 1, 2, and 3 will be completed at the November 11, 2016 Annual Meeting of the Texas Council Risk Management Fund. Attached is the ballot indicating the eligible candidates for this election.

The Nominating Committee has prepared a ballot consisting of four candidates. Members are asked to vote for three, and only three, of the four candidates. The candidates listed alphabetically on the ballot are: Mr. Clead Cheek, Pecan Valley Centers for Behavioral and Developmental Healthcare; Ms. Mary Lou Flynn-DuPart, The Gulf Coast Center; Mr. Verner W. Hayhurst, Helen Farabee Centers; and Judge Dorothy Morgan, MHMR Authority of Brazos Valley. A brief bio for each of the candidates is attached to this memorandum.

There are three expiring terms, and the enclosed election ballot asks that you vote for three out of four candidates. Places will be assigned to each Board member following the election. Please return the election ballot by email, mail or fax so that it is received in the Fund's office **no later than Friday, October 28, 2016**. You may also vote in person at the Annual Member Meeting on November 11th.

If you have any questions, please call Kathy Hulse at the Fund, either 1-800-580-6467 X12420 or direct 512-427-2420; or email: kathy.hulse@yorkrsg.com **email** .

cc: TCRMF Board of Trustees
Advisory Committee
Pam Beach

**TEXAS COUNCIL RISK MANAGEMENT FUND
BOARD OF TRUSTEES ELECTION BALLOT**

At the November 11, 2016 Annual Meeting of the Texas Council Risk Management Fund, elections will be held to fill the positions of Trustees in Places 1, 2, and 3. Each center may cast its votes by mail or FAX. Votes may also be cast in person at the Annual Meeting to be held on November 11, 2016 at 8:00 a.m. at the Doubletree Hotel in Austin, Texas.

The terms for Places 1, 2, and 3 are expiring. **Please vote for three, and only three, of the four candidates listed alphabetically below.**

<input type="checkbox"/>	Mr. Clead Cheek
<input type="checkbox"/>	Ms. Mary Lou Flynn-DuPart
<input type="checkbox"/>	Mr. Verner W. Hayhurst
<input type="checkbox"/>	Dorothy Morgan

I certify that the above represents the Board of Trustees Election Ballot of the below named Texas Council Risk Management Fund member and that I am duly authorized to execute and deliver this ballot on behalf of the Center.

Tri-County Behavioral Healthcare

Name of Community Center

Signature of Authorized Representative
Evan Roberson, Executive Director

10/27/2016

Date

**PLEASE COMPLETE AND EMAIL, MAIL OR FAX THIS BALLOT
NO LATER THAN October 28, 2016 TO:**

TEXAS COUNCIL RISK MANAGEMENT FUND
P.O. Box 26655, Austin, Texas 78755-0655
FAX Number 512-346-9321 **Attention: Kathy Hulse**
Email: kathy.hulse@yorkrsg.com



**Board of Trustees
2016 Election
Biographical Summaries of Candidates**

Clelad Cheek

Mr. Cheek is a retired public school superintendent serving with various districts for over 29 years. While serving as a superintendent, he also served ten years on the Board of the Texas Education Entity Cooperative, a self-insurance risk pool for school districts throughout the state. Clelad has served on the Pecan Valley Centers Board of Trustees for nine years. Clelad is currently serving as Secretary of the Texas Council Risk Management Fund Board of Trustees and has served on the Board since 2013.

Mary Lou Flynn-DuPart

Ms. Flynn-DuPart is a partner in the Houston law firm of Jackson Walker L.L.P., practicing in the Electronic Discovery, Technology, Privacy and Data Security, Intellectual Property Litigation, Intellectual Property, Litigation, Medical Malpractice, Construction, Insurance, and Toxic Tort/Environmental Litigation fields. Mary Lou is a member of the Board of Trustees for The Gulf Coast Center. She is currently serving as Chair of the Texas Council Risk Management Fund Board of Trustees and has served on the Board since 1992.

Mr. Verner W. Hayhurst

Mr. Hayhurst served as a Municipal Judge for Archer City, Texas for 3 years. Prior to serving as Municipal Judge, Mr. Hayhurst was the Assistant General Counsel for the Oklahoma Department of Transportation, Risk Management and Tort Defense and a Hearing Officer for Uniform Relocation Assistance claims. Verner is the Board Chair for Helen Farabee Centers, having served on their board since September 2010.

Judge Dorothy Morgan

Judge Morgan served as County Judge of Washington County for 23 years. She also served as a Board member for Texas Council Community Centers for 25 years. She is a current board member and former Chair of the Board of Trustees for MHMR Authority of Brazos Valley. Prior to serving as County Judge, her previous occupations included school teacher and Mayor of the City of Brenham. Dorothy has served as a Trustee on the Texas Council Risk Management Fund Board since 1992.

Agenda Item: Board of Trustees Oath of Office Committee: Executive	Board Meeting Date: October 27, 2016
Background Information: The Walker County Commissioner's Court reappointed Jacob Paschal to an additional two-year term expiring August 31, 2018. Mr. Paschal will recite his oath of office at the Board meeting.	
Supporting Documentation: Oath of Office Recitation	
Recommended Action: Recite Oath of Office	

OATH OF OFFICE

I, _____,
do solemnly swear,
that I will faithfully execute
the duties of the office of Trustee
of Tri-County Behavioral Healthcare,
and will, to the best of my ability
preserve, protect, and defend the Constitution
and laws of the United States and of this State;
and I furthermore solemnly swear
that I have not directly nor indirectly,
paid, offered, or promised to pay,
contributed, nor promised to contribute
any money, or valuable thing,
or promised any public office or employment,
as a reward for the giving or withholding a vote
to secure my appointment,
and further affirm that I,
nor any company, association, or corporation
of which I am an officer or principal
will act as supplier of services or goods,
nor bid or negotiate to supply
such goods or services, for this Center,
so help me God.

OFFICE OF THE COUNTY JUDGE

DANNY PIERCE
Walker County Judge
(936) 436-4910
(936) 436-4914 FAX

Walker County Courthouse
1100 University Avenue
Huntsville, Texas 77340

September 19, 2014

Mr. Evan Roberson
Tri-County MHMR
P.O. Box 3067
Conroe, TX 77305

Dear Mr. Roberson:

The Walker County Commissioners' Court met during a special session at 9:00 a.m. Monday, September 19, 2016. During this session it was unanimously approved to re-appoint Jacob Paschal to the Tri-County MHMR Board of Trustees.

I would like to take this opportunity to give thanks to those who work so diligently for the betterment of Tri-County MHMR's clients. If I can be of further service please let me know.

Sincerely,



Danny Pierce
County Judge
Walker County

<p>Agenda Item: Consider Dates of Scheduled Board Meetings for Next Year</p> <p>Committee: Executive</p>	<p>Board Meeting Date:</p> <p>October 27, 2016</p>
<p>Background Information:</p> <p>Patti Atkins, Chair, has requested the consideration of readjusting/revising the Board schedule for calendar year 2017.</p> <p>Board meetings for 2017 are currently scheduled as follows:</p> <ul style="list-style-type: none"> • January 26 • February 23 • March 23 • April 27 • May 25 • July 27 • August 24 • September 28 • October 26 • December 14 	
<p>Supporting Documentation:</p> <p>None</p>	
<p>Recommended Action:</p> <p>For Information Only</p>	

Agenda Item: Personnel Report for September 2016 Committee: Executive	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: Personnel Report for September 2016	
Recommended Action: For Information Only	

Personnel Report September 2016

Total Applications received in September = 397

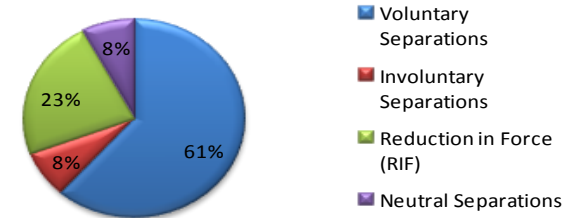
Total New Hires for the month of September = 12

Total New Hires Year to Date = 12

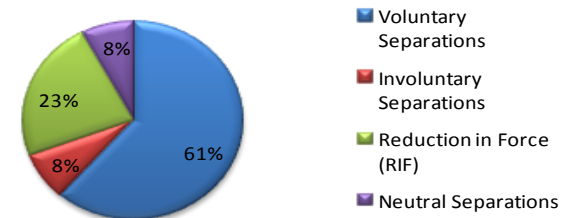
Sep-16	FY17	FY16
Number of Active Employees	327	331
Number of Monthly Separations	13	5
Number of Separations YTD	13	5
Year to Date Turnover Rate	4%	2%
September Turnover	4%	2%

Separations by Reason	September Separations	Year to Date
Retired	2	2
Involuntarily Terminated	1	1
Neutral Termination	1	1
Dissatisfied	0	0
Lack of Support from Administration	0	0
Micro-managing supervisor	0	0
Lack of growth opportunities/recognition	0	0
Difficulty learning new job	0	0
Co-workers	0	0
Work Related Stress/Environment	0	0
RIF	3	3
Deceased	0	0
Pay	0	0
Health	0	0
Family	1	1
Relocation	0	0
School	0	0
Personal	1	1
Unknown	2	2
New Job	2	2
Total Separations	13	13

Year to Date Voluntary, Involuntary, RIF and Neutral Separations



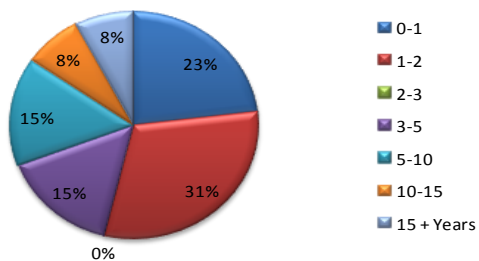
September Voluntary, Involuntary, RIF, and Neutral Separations



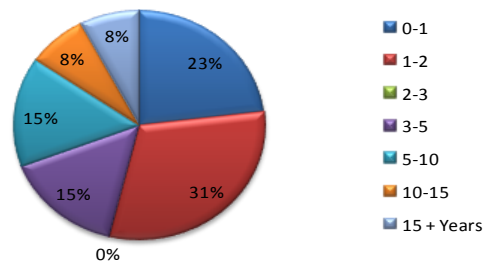
Management Team	# of Employees	Monthly Separations	Year to Date Separations	% September	% YTD
Evan Roberson	16	0	0	0%	0%
Millie McDuffey	44	2	2	5%	5%
Amy Foerster	6	0	0	0%	0%
Tanya Bryant	8	0	0	0%	0%
Annette Adams	122	7	7	6%	6%
Breanna Robertson	54	1	1	2%	2%
Kelly Shropshire	32	1	1	3%	3%
Kathy Foster	38	1	1	3%	3%
Kenneth Barfield	7	1	1	14%	14%
Total	327	13	13		

Separation by EEO Category	# of Employees	Monthly Separations	Year to Date	% September	% Year to Date
Supervisors & Managers	22	2	2	9%	9%
Medical (MD,DO, LVN, RN, APN, PA, Psychologist)	32	0	0	0%	0%
Professionals (QMHP)	90	6	6	7%	7%
Professionals (QIDP)	26	1	1	4%	4%
Licensed Staff (LCDC, LPC...)	20	0	0	0%	0%
Business Services (Accounting)	11	0	0	0%	0%
Central Administration (HR, IT, Executive Director)	26	0	0	0%	0%
Program Support(Financial Counselors, QA, Training, Med. Records)	34	3	3	9%	9%
Nurse Technicians/Aides	19	0	0	0%	0%
Service/Maintenance	21	0	0	0%	0%
Direct Care (HCS, Respite, Life Skills)	26	1	1	4%	4%
Total	327	13	13		

September Separation by Tenure

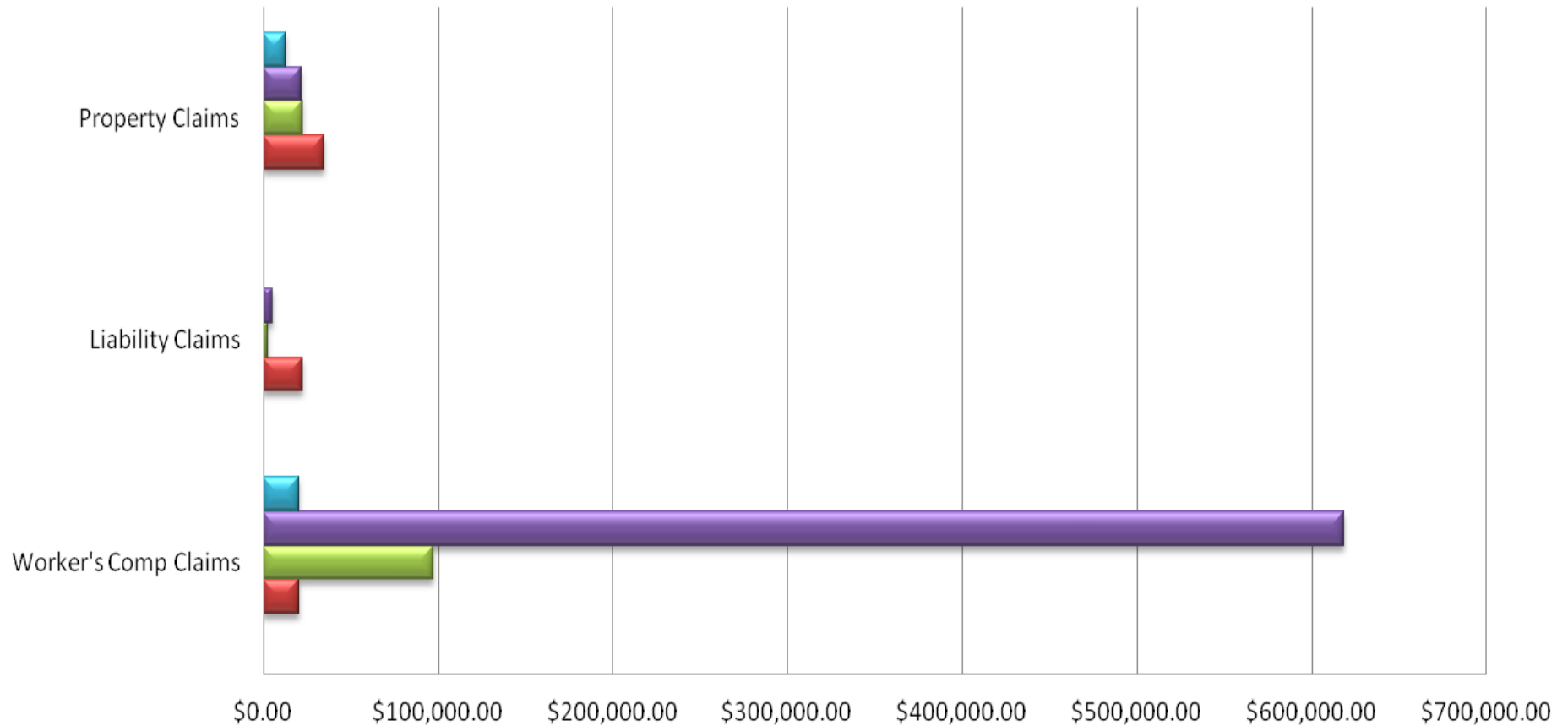


Year to Date Separations by Tenure



Agenda Item: Texas Council Risk Management Fund Claims Summary for September 2016 Committee: Executive	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: Texas Council Risk Management Fund Claims Summary for September 2016	
Recommended Action: For Information Only	

TCRMF Claims Summary September 2016



	Worker's Comp Claims	Liability Claims	Property Claims
2013	\$20,263.00	\$0.00	\$12,869.00
2014	\$618,699.00	\$5,295.00	\$21,931.00
2015	\$97,098.00	\$2,556.00	\$22,505.00
2016	\$20,356.00	\$22,746.00	\$35,036.00
2017	\$0.00	\$0.00	\$0.00

Agenda Item: Approve September 2016 Financial Statements Committee: Business	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: September 2016 Financial Statements	
Recommended Action: Approve September 2016 Financial Statements	

September 2016 Financial Summary

Revenues for September 2016 were \$2,591,940 and operating expenses were \$2,504,261 resulting in a gain in operation of \$87,679. Capital Expenditures and Extraordinary Expenses for September were \$45,766 resulting in a gain of \$41,914. Total revenues were 99.73% of the monthly budgeted revenues and total expenses were 99.26% of the monthly budgeted expenses.

Year to date revenues as of the end of September are the same as for the month.

REVENUES

YTD Revenue items that are below the budget by more than \$10,000:

Revenue Source	YTD Revenue	YTD Budget	% of Budget	\$ Variance
No items to report				

EXPENSES

YTD Individual line expense items that exceed the YTD budget by more than \$10,000:

Expense Source	YTD Expenses	YTD Budget	% of Budget	\$ Variance
Contract EduCare	201,451	165,703	1.22%	35,748

Contract EduCare – This is a cost reimbursement program with a corresponding increase in the revenue side.

TRI-COUNTY BEHAVIORAL HEALTHCARE
CONSOLIDATED BALANCE SHEET
For the Month Ended September 30, 2016

	TOTALS COMBINED FUNDS September 2016	Preliminary TOTALS COMBINED FUNDS August 2016	Increase (Decrease)
ASSETS			
CURRENT ASSETS			
Imprest Cash Funds	3,185	2,985	200
Cash on Deposit-General Fund	6,603,841	4,491,197	2,112,644
Cash on Deposit-Debt Fund			-
Accounts Receivable	1,753,363	1,699,370	53,992
Inventory	6,241	6,455	(215)
TOTAL CURRENT ASSETS	\$ 8,366,629	\$ 6,200,008	\$ 2,166,621
FIXED ASSETS	9,104,534	8,577,947	526,587
OTHER ASSETS	60,966	60,525	440
TOTAL ASSETS	\$ 17,532,129	\$ 14,838,479	\$ 2,693,650
LIABILITIES, DEFERRED REVENUE, FUND BALANCES			
CURRENT LIABILITIES	1,085,273	815,510	269,762
NOTES PAYABLE	607,292	549,129	58,163
DEFERRED REVENUE	1,936,814	(178,858)	2,115,672
LONG-TERM LIABILITIES FOR			
Line of Credit - Tradition Bank	409,625	429,919	(20,293)
Note Payable Prosperity Bank	593,309	606,132	(12,823)
EXCESS(DEFICIENCY) OF REVENUES OVER EXPENSES FOR			
General Fund	41,914	347,745	(305,831)
FUND EQUITY			
RESTRICTED			
Net Assets Reserved for Debt Service	(1,002,934)	(1,036,051)	33,117
Reserved for Debt Retirement	963,631	963,631	-
COMMITTED			
Net Assets-Property and Equipment	9,104,534	8,577,947	526,587
Reserved for Vehicles & Equipment Replacement	678,112	678,112	-
Reserved for Facility Improvement & Acquisitions	2,136,013	(0)	2,136,014
Reserved for Board Initiatives	1,500,000	1,500,000	-
Reserved for 1115 Waiver Programs	516,833	516,833	-
ASSIGNED			
Reserved for Workers' Compensation	274,409	274,409	-
Reserved for Current Year Budgeted Reserve	6,166	74,000	(67,834)
Reserved for Insurance Deductibles	100,000	100,000	-
Reserved for Accrued Paid Time Off	(607,292)	(549,129)	(58,163)
UNASSIGNED			
Unrestricted and Undesignated	(811,571)	1,169,150	(1,980,721)
TOTAL LIABILITIES/FUND BALANCE	\$ 17,532,129	\$ 14,838,479	\$ 2,693,649

**TRI-COUNTY BEHAVIORAL HEALTHCARE
CONSOLIDATED BALANCE SHEET
For the Month Ended September 30, 2016**

	General Operating Funds	Memorandum Only Final August 2015
ASSETS		
CURRENT ASSETS		
Imprest Cash Funds	3,185	3,165
Cash on Deposit-General Fund	6,603,841	5,928,627
Cash on Deposit-Debt Fund	-	-
Accounts Receivable	1,753,363	1,657,209
Inventory	6,241	9,877
TOTAL CURRENT ASSETS	\$ 8,366,629	\$ 7,598,878
FIXED ASSETS	9,104,534	7,091,888
OTHER ASSETS	60,966	49,749
	\$ 17,532,129	\$ 14,740,515
LIABILITIES, DEFERRED REVENUE, FUND BALANCES		
CURRENT LIABILITIES	1,085,273	1,103,286
NOTES PAYABLE	607,292	549,129
DEFERRED REVENUE	1,936,814	(889,779)
LONG-TERM LIABILITIES FOR		
Line of Credit - Tradition Bank	409,625	670,521
Note Payable Prosperity Bank	593,309	757,743
EXCESS(DEFICIENCY) OF REVENUES OVER EXPENSES FOR		
General Fund	41,914	(1,065,136)
FUND EQUITY		
RESTRICTED		
Net Assets Reserved for Debt service-Restricted	(1,002,934)	(1,428,264)
Reserved for Debt Retirement	963,631	963,631
COMMITTED		
Net Assets-Property and Equipment-Committed	9,104,534	7,091,887
Reserved for Vehicles & Equipment Replacement	678,112	678,112
Reserved for Facility Improvement & Acquisitions	2,136,013	2,136,013
Reserved for Board Initiatives	1,500,000	1,500,000
Reserved for 1115 Waiver Programs	516,833	516,833
ASSIGNED		
Reserved for Workers' Compensation-Assigned	274,409	274,409
Reserved for Current Year Budgeted Reserve -Assigned	6,166	-
Reserved for Insurance Deductibles-Assigned	100,000	100,000
Reserved for Accrued Paid Time Off	(607,292)	(549,129)
UNASSIGNED		
Unrestricted and Undesignated	(811,571)	2,331,257
TOTAL LIABILITIES/FUND BALANCE	\$ 17,532,129	\$ 14,740,515

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
For the Month Ended September 2016
and Year To Date as of September 2016

INCOME:	MONTH OF September 2016	YTD September 2016
Local Revenue Sources	108,891	108,891
Earned Income	1,151,480	1,151,480
General Revenue-Contract	1,331,569	1,331,569
TOTAL INCOME	\$ 2,591,940	\$ 2,591,940
EXPENSES:		
Salaries	1,395,786	1,395,786
Employee Benefits	267,129	267,129
Medication Expense	66,332	66,332
Travel-Board/Staff	40,401	40,401
Building Rent/Maintenance	36,411	36,411
Consultants/Contracts	488,899	488,899
Other Operating Expenses	209,303	209,303
TOTAL EXPENSES	\$ 2,504,261	\$ 2,504,261
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 87,679
CAPITAL EXPENDITURES		
Capital Outlay-FF&E, Automobiles, Building	-	-
Capital Outlay-Debt Service	45,766	45,766
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 45,766
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,550,027
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ 41,914

Debt Service and Fixed Asset Fund:		
Debt Service	45,766	45,766
Excess(Deficiency) of revenues over Expenses	45,766	45,766

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
Compared to Budget
Year to Date as of September 2016

	YTD September	APPROVED BUDGET	Increase (Decrease)
INCOME:			
Local Revenue Sources	108,891	106,566	2,325
Earned Income	1,151,480	1,154,065	(2,585)
General Revenue-Contract	1,331,569	1,338,429	(6,860)
TOTAL INCOME	\$ 2,591,940	\$ 2,599,060	\$ (7,120)
EXPENSES:			
Salaries	1,395,786	1,411,271	(15,485)
Employee Benefits	267,129	286,608	(19,479)
Medication Expense	66,332	58,501	7,831
Travel-Board/Staff	40,401	35,204	5,197
Building Rent/Maintenance	36,411	27,916	8,495
Consultants/Contracts	488,899	484,216	4,683
Other Operating Expenses	209,303	217,653	(8,350)
TOTAL EXPENSES	\$ 2,504,261	\$ 2,521,369	\$ (17,108)
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 77,691	\$ 9,988
CAPITAL EXPENDITURES			
Capital Outlay-FF&E, Automobiles	-	12,359	(12,359)
Capital Outlay-Debt Service	45,766	35,370	10,396
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 47,729	\$ (1,963)
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,569,098	\$ (19,071)
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ 29,962	\$ 11,952

Debt Service and Fixed Asset Fund:

Debt Service	45,766	35,370	10,396
Excess(Deficiency) of revenues over Expenses	45,766	35,370	10,396

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
Compared to Budget
For the Month Ended September 2016

INCOME:	MONTH OF September 2016	APPROVED BUDGET	Increase (Decrease)
Local Revenue Sources	108,891	106,566	2,325
Earned Income	1,151,480	1,154,065	(2,585)
General Revenue-Contract	1,331,569	1,338,429	(6,860)
TOTAL INCOME	\$ 2,591,940	\$ 2,599,060	\$ (7,120)
EXPENSES:			
Salaries	1,395,786	1,411,271	(15,485)
Employee Benefits	267,129	286,608	(19,479)
Medication Expense	66,332	58,501	7,831
Travel-Board/Staff	40,401	35,204	5,197
Building Rent/Maintenance	36,411	27,916	8,495
Consultants/Contracts	488,899	484,216	4,683
Other Operating Expenses	209,303	217,653	(8,350)
TOTAL EXPENSES	\$ 2,504,261	\$ 2,521,369	\$ (17,108)
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 77,691	\$ 9,988
CAPITAL EXPENDITURES			
Capital Outlay-FF&E, Automobiles	-	12,359	(12,359)
Capital Outlay-Debt Service	45,766	35,370	10,396
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 47,729	\$ (1,963)
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,569,098	\$ (19,071)
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ 29,962	\$ 11,952

Debt Service and Fixed Asset Fund:

Debt Service	45,766	35,370	10,396
Excess(Deficiency) of revenues over Expenses	45,766	35,370	10,396

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With September 2015 Comparative Data
Year to Date as of September 2016

INCOME:	YTD September 2016	YTD September 2015	Increase (Decrease)
Local Revenue Sources	108,891	113,053	(4,162)
Earned Income	1,151,480	1,100,592	50,888
General Revenue-Contract	1,331,569	1,147,814	183,755
TOTAL INCOME	\$ 2,591,940	\$ 2,361,459	\$ 230,481
EXPENSES:			
Salaries	1,395,786	1,308,192	87,594
Employee Benefits	267,129	238,658	28,471
Medication Expense	66,332	48,144	18,188
Travel-Board/Staff	40,401	40,409	(8)
Building Rent/Maintenance	36,411	44,885	(8,474)
Consultants/Contracts	488,899	400,878	88,021
Other Operating Expenses	209,303	207,906	1,397
TOTAL EXPENSES	\$ 2,504,261	\$ 2,289,072	\$ 215,189
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 72,387	\$ 15,292
CAPITAL EXPENDITURES			
Capital Outlay-FF&E, Automobiles	-	17,635	(17,635)
Capital Outlay-Debt Service	45,766	35,322	10,444
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 52,957	\$ (7,191)
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,342,029	\$ 207,998
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ 19,430	\$ 22,484

Debt Service and Fixed Asset Fund:

Debt Service	45,766	35,322	10,444
			-
Excess(Deficiency) of revenues over Expenses	45,766	35,322	10,444

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With September 2015 Comparative Data
For the Month Ended September 2016

INCOME:	MONTH OF September 2016	MONTH OF September 2015	Increase (Decrease)
Local Revenue Sources	108,891	113,053	(4,162)
Earned Income	1,151,480	1,100,592	50,888
General Revenue-Contract	1,331,569	1,147,814	183,755
TOTAL INCOME	\$ 2,591,940	\$ 2,361,459	\$ 230,481
Salaries	1,395,786	1,308,192	87,594
Employee Benefits	267,129	238,658	28,471
Medication Expense	66,332	48,144	18,188
Travel-Board/Staff	40,401	40,409	(8)
Building Rent/Maintenance	36,411	44,885	(8,474)
Consultants/Contracts	488,899	400,878	88,021
Other Operating Expenses	209,303	207,906	1,397
TOTAL EXPENSES	\$ 2,504,261	\$ 2,289,072	\$ 215,189
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 72,387	\$ 15,292
CAPITAL EXPENDITURES			
Capital Outlay-FF&E, Automobiles	-	17,635	(17,635)
Capital Outlay-Debt Service	45,766	35,322	10,444
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 52,957	\$ (7,191)
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,342,029	\$ 207,998
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ 19,430	\$ 22,484

Debt Service and Fixed Asset Fund:

Debt Service	45,766	35,322	10,444
Excess(Deficiency) of revenues over Expenses	45,766	35,322	10,444

TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary
With Preliminary August 2016 Comparative Data
For the Month Ended September 2016

	MONTH OF September 2016	Preliminary MONTH OF August 2016	Increase (Decrease)
INCOME:			
Local Revenue Sources	108,891	165,163	(56,271)
Earned Income	1,151,480	1,297,130	(145,651)
General Revenue-Contract	1,331,569	1,169,588	161,981
TOTAL INCOME	\$ 2,591,940	\$ 2,631,881	\$ (39,940)
EXPENSES:			
Salaries	1,395,786	1,309,040	86,745
Employee Benefits	267,129	214,656	52,472
Medication Expense	66,332	58,197	8,136
Travel-Board/Staff	40,401	45,377	(4,976)
Building Rent/Maintenance	36,411	39,136	(2,725)
Consultants/Contracts	488,899	661,888	(172,989)
Other Operating Expenses	209,303	287,603	(78,301)
TOTAL EXPENSES	\$ 2,504,261	\$ 2,615,899	\$ (111,638)
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 87,679	\$ 15,982	\$ 71,697
CAPITAL EXPENDITURES			
Capital Outlay-FF&E, Automobiles	-	88,143	(88,143)
Capital Outlay-Debt Service	45,766	45,554	212
TOTAL CAPITAL EXPENDITURES	\$ 45,766	\$ 133,697	\$ (87,931)
GRAND TOTAL EXPENDITURES	\$ 2,550,027	\$ 2,749,596	\$ (199,569)
Excess (Deficiency) of Revenues and Expenses	\$ 41,914	\$ (117,715)	\$ 159,629

Debt Service and Fixed Asset Fund:

Debt Service	45,766	45,554	212
Excess(Deficiency) of revenues over Expenses	45,766	45,554	212

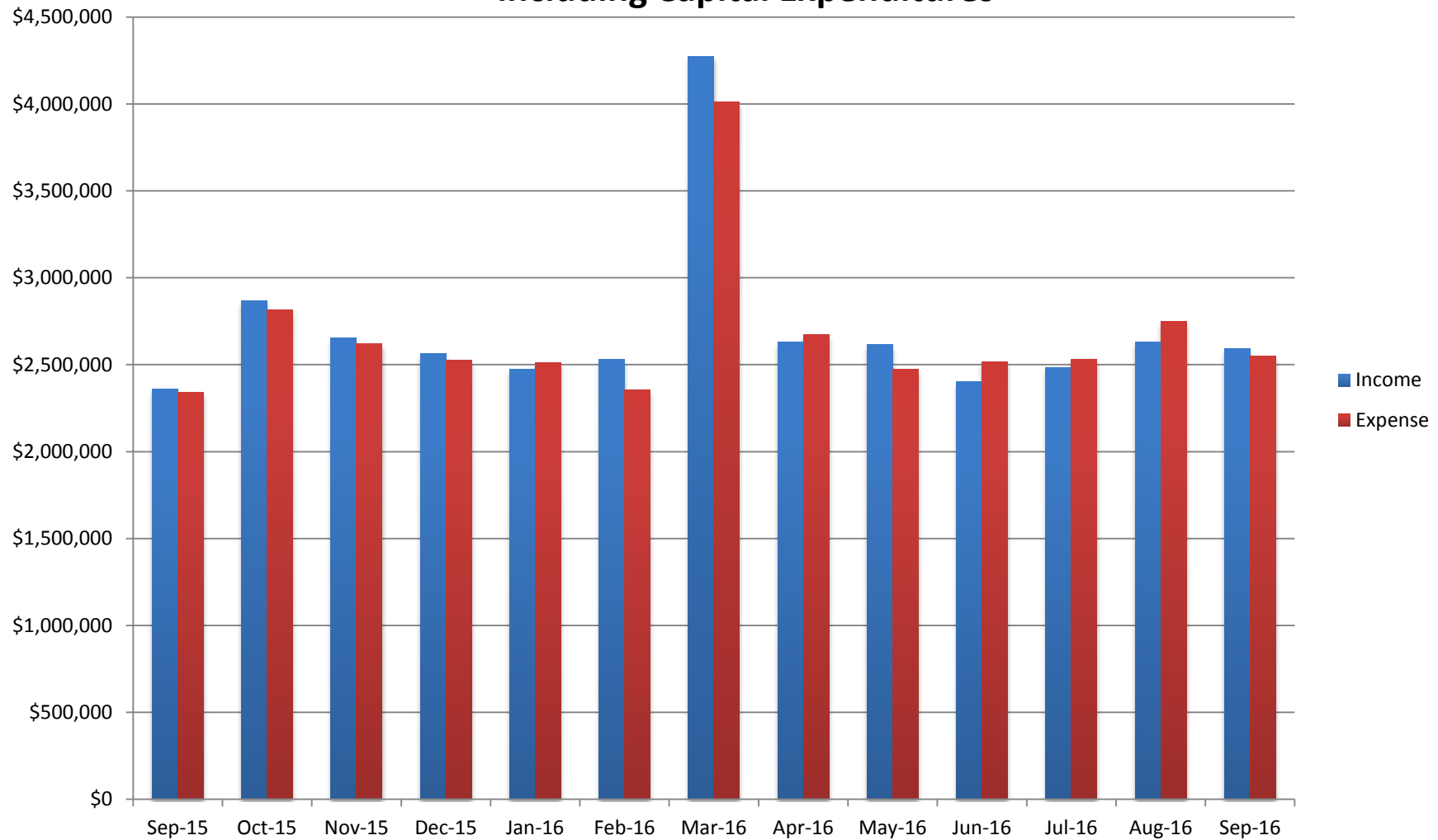
TRI-COUNTY BEHAVIORAL HEALTHCARE
Revenue and Expense Summary by Service Type
Compared to Budget
Year To Date as of September 2016

	YTD Mental Health September 2016	YTD IDD September 2016	YTD Other Services September 2016	YTD Agency Total September 2016	YTD Approved Budget September 2016	Increase (Decrease)
INCOME:						
Local Revenue Sources	151,593	14,780	(57,481)	108,891	106,566	2,325
Earned Income	293,035	510,774	347,671	1,151,480	1,154,065	(2,585)
General Revenue-Contract	1,150,109	181,459		1,331,569	1,338,429	(6,860)
TOTAL INCOME	\$ 1,594,737	\$ 707,013	\$ 290,190	\$ 2,591,940	\$ 2,599,060	\$ (7,120)
EXPENSES:						
Salaries	891,833	278,968	224,984	1,395,785	1,411,271	(15,486)
Employee Benefits	170,137	57,826	39,164	267,129	286,608	(19,479)
Medication Expense	50,824		15,508	66,332	58,501	7,831
Travel-Board/Staff	22,766	10,813	6,822	40,401	35,204	5,197
Building Rent/Maintenance	25,699	6,957	3,755	36,411	27,916	8,495
Consultants/Contracts	179,885	296,650	12,364	488,899	484,216	4,683
Other Operating Expenses	113,044	55,805	40,455	209,303	217,653	(8,350)
TOTAL EXPENSES	\$ 1,454,188	\$ 707,019	\$ 343,052	\$ 2,504,260	\$ 2,521,369	\$ (17,109)
Excess(Deficiency) of Revenues over Expenses before Capital Expenditures	\$ 140,549	\$ (6)	\$ (52,862)	\$ 87,680	\$ 77,691	\$ 9,989
CAPITAL EXPENDITURES						
Capital Outlay-FF&E, Automobiles				-	12,359	(12,359)
Capital Outlay-Debt Service	25,445	9,538	10,783	45,766	35,370	10,396
TOTAL CAPITAL EXPENDITURES	\$ 25,445	\$ 9,538	\$ 10,783	\$ 45,766	\$ 47,729	\$ (1,963)
GRAND TOTAL EXPENDITURES	\$ 1,479,633	\$ 716,557	\$ 353,835	\$ 2,550,026	\$ 2,569,098	\$ (19,072)
Excess (Deficiency) of Revenues and Expenses	\$ 115,104	\$ (9,544)	\$ (63,645)	\$ 41,914	\$ 29,962	\$ 11,952
Debt Service and Fixed Asset Fund:						
Debt Service	25,445	9,538	10,783	45,766	35,370	(9,925)
		-	-	-	-	-
Excess(Deficiency) of revenues over Expenses	25,445	9,538	10,783	45,766	35,370	(9,925)

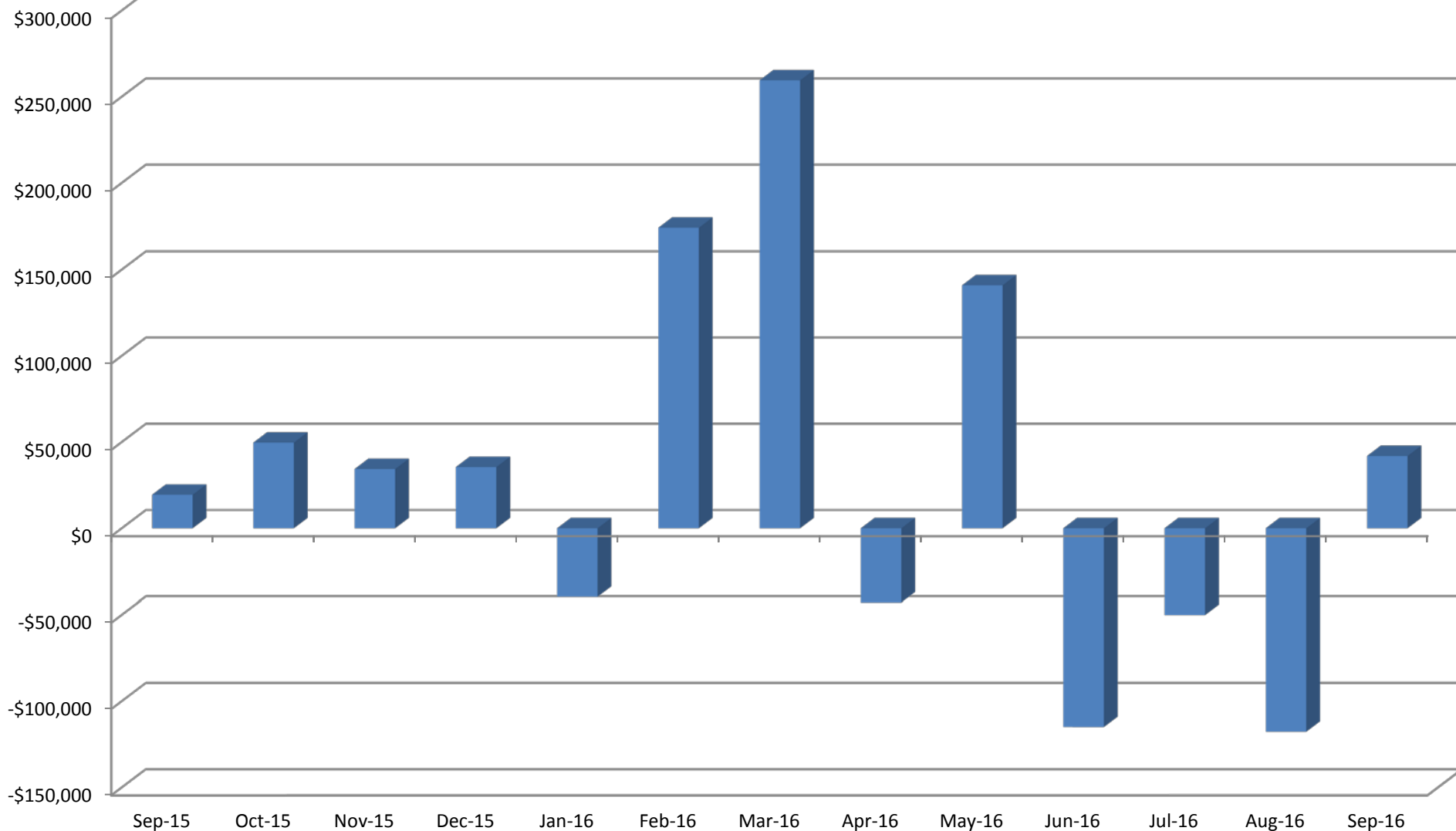
TRI-COUNTY BEHAVIORAL HEALTHCARE

Income and Expense

including Capital Expenditures



TRI-COUNTY BEHAVIORAL HEALTHCARE
Income after Expense
including Capital Expenditures



Agenda Item: Ratify FY 2017 ICF/IID Services Contract with Educare Community Living Corporation Committee: Business	Board Meeting Date October 27, 2016
Background Information: <p>Tri-County Behavioral Healthcare (Tri-County) is working with a consultant to sell the Intermediate Care Facility (ICF/IID) Licenses. Tri-County owns the eight (8) homes associated with these licenses: three homes in Montgomery County, four in Liberty County and one in Walker County. Until the sale is finalized, Educare Community Living Corporation (Educare) has agreed to continue as the provider of these services under contract with Tri-County. Educare has expressed interest in purchasing the homes. The contract includes a 60 day transition clause if the homes were to be purchased by an organization other than Educare.</p> <p>Educare staff was concerned about their access to Medicaid Eligibility Service Authorization Verification (MESAV) reports from the Texas Medicaid and Healthcare Partnership (TMHP) website and requested direct access to the sight via Tri-County's web portal. The MESAV reports provide information regarding a resident's current Medicaid status and other information needed to ensure clean billing. Tri-County only gives this access to Center employees. After much discussion, the following compromised language was agreed to by Educare and has been added to page 15 of the contract:</p> <p style="padding-left: 40px;">Reports: The Authority (Tri-County) will provide to Contractor (Educare) MESAV information by the fourth business day of the month and reports as requested by contractor within one business day related to eligibility and billing.</p> <p>After reaching this agreement, staff sought approval from Board Chair, Patti Atkins, to sign the contract which is effective September 1, 2016.</p>	
Supporting Documentation: Contract Available for Review at the Board Meeting	
Recommended Action: Ratify the FY 2017 ICF/IID Services Contract with Educare Community Living Corporation	

Agenda Item: Approve the Sale of ICF/IID Licenses Committee: Business	Board Meeting Date October 27, 2016
<p>Background Information:</p> <p>Tri-County Behavioral Healthcare has been working with our consultant, David Southern, to sell Tri-County's eight (8) Intermediate Care Facility (ICF/IID) licenses. In addition to the licenses, Tri-County owns the eight (8) homes associated with these licenses; three (3) of these homes are in Montgomery County, four (4) are in Liberty County and one (1) is in Walker County.</p> <p>There are two (2) companies that have made an offer to purchase these eight (8) ICF/IID licenses, D&S Community Services and Educare of Texas (ResCare).</p> <ul style="list-style-type: none"> • D&S Community Services has offered \$924,000 for the licenses. • Educare of Texas (ResCare) has offered \$960,000 for the licenses. <p>If D&S is selected, they will have additional costs associated with purchasing vehicles, setting up a Conroe Day Habilitation program and purchasing home furnishings. Due to these additional costs, they have indicated that \$924,000, or \$19,250 per license, is the highest bid they can make. Staff visited with D&S and is confident that they have the experience necessary to transition services from Educare. However, it should be noted that they will be setting up services for the first time in the Houston area.</p> <p>Educare has offered \$960,000 for the 48 licenses, or \$20,000 per license. Since Educare is the current provider of services to these consumers, the transition process for these homes would be less complex administratively and would likely be seamless for the consumers.</p> <p>The ICF Team met and Kathy Foster will share their thoughts with the Board today.</p> <p>Neither of these organizations is interested in purchasing the properties associated with the licenses, but both are willing to lease these homes. Leasing provides advantage to the organization in cost reporting. Scioto Properties, LLC, has provided Tri-County with a letter of intent to purchase the eight (8) homes at appraised value assuming that the providers are willing to lease the property. Both Educare and D&S are willing to lease these properties from Scioto. Scioto will allow us to choose the appraiser for the properties.</p>	
<p>Supporting Documentation:</p> <p>Bid Proposals from D&S and Educare</p> <p>Letter of Intent from Scioto</p>	
<p>Recommended Action:</p> <p>Approve the Sale of ICF/IID Licenses, the Sale of Physical Property to Scioto, and Authorize Executive Director to Execute All Documents on Behalf of Tri-County Behavioral Healthcare, with Consultation from Jackson Walker</p>	



Proposal to Tri- County Services

10/14/2016

D&S Community Services, LP
8911 N. Capital of Texas Hwy, Bldg. 1, Ste. 1300
Austin, TX 78759

Tel. (512) 327-2325; Fax (512) 327-5355

Opening the Door to Independent Living

www.dscommunity.com



10/14/2016

Mr. Roberson,

I want to thank you for the opportunity to present an offer for the purchase of the licenses for the 48 ICF beds and any associated assets currently owned by Tri-County. Since the current operations are being managed by another entity, it makes this transaction slightly different. Normally we would bid on the licensed beds and it would include all assets associated with operating those homes. In this case, there will be additional expense to acquire all of the assets necessary to provide quality services to those individuals residing in the homes at this time. We are committed to making the investment in the operations as needed to ensure the quality of the program is maintained and improved where needed.

To that end, we are pleased to offer a purchase price of \$924,000 (19,250/per bed). This offer is contingent on the approval of the license transfer and subject to due diligence to be performed by D&S Residential Services. In addition, Tri-County will provide exclusivity to D&S Residential Services for a period of 120 days, in which they will not actively or indirectly market or accept offers from other agencies on the business discussed in this offer.

We are excited about this opportunity and feel that we are the right agency to provide exceptional person-centered services to the people in your catchment area. In addition, we look forward to expanding our waiver services into your area and providing you another option for caring for the individuals that are in need of our services.

Thank you again and we look forward to collaborating with you on this opportunity.

Sincerely,

A handwritten signature in dark ink, appearing to read "Mickey Atkins", with a stylized flourish at the end.

Mickey Atkins

Implementation Process

Ensuring a seamless transition for all stakeholders is our ultimate goal when integrating a new program into our own. Whereas we look for processes to improve and ways to increase quality, we also recognize the procedures in place that are working well and welcome new ideas and systems we can adopt for optimal service delivery. Along with our Executive Managers being heavily involved throughout the entire transition, we also have an implementation team that is dedicated to ensuring a successful integration. Some of the highlights of our processes include:

Diligence

- Our team completes meaningful diligence to evaluate current service delivery, understand processes and procedures, and identify any potential pain points that can come with change.
- We establish a formal implementation plan used to track and manage all of the steps required to ensure a successful transition.

Communication

- We hold several meetings with individuals, family members, guardians, employees, service coordinators, and other key stakeholders to keep everyone informed and address any concerns/reservations.
- We offer one-on-one meetings to anyone that expresses a desire to meet privately.

Execution

- Our Management & Implementation teams are “on the ground” during integration.
- We conduct weekly calls/meetings before and after closing to ensure that our implementation plan is still on track.
- Our seasoned Quality Assurance team assesses the program after full integration to ensure program standards and compliance are met.



Why collaborate with D&S?

D&S has changed significantly over the past 25 years going from an entrepreneurial-style company to a company with an Executive Management team that holds years of experience. Through their leadership and others on the management team, D&S has built an infrastructure that is unlike any other. Some differentiators of D&S include in-house teams of QA, Behavior Supports, Healthcare, and Training, which are all located here in Texas. These differences and increased focus on quality have helped make D&S a leading provider of IDD services in three states. D&S is excited to expand these services to the individuals of the Tri-County family.

A little info on a few key members of our leadership team.....



When President & CEO, Mickey Atkins, joined D&S in 2006 he had a vision for the company and knew that to properly support the individuals served, it required getting the right team of professionals on his management team. He selectively on-boarded professionals that he knew could help make D&S a leading provider of IDD services. With his team in place, it afforded Mickey the opportunity to serve on numerous boards and committees across all three states while also working on legislative initiatives to help shape the future of service delivery.



One of those select professionals is Robert Ham, VP of Operations and Chief Compliance Officer. Robert joined D&S in 2011 after a tenured past as the Director of Brenham State Supported Living Center and CFO for Bluebonnet Trails Community Center. He has dedicated his life to supporting individuals with IDD and advocating on their behalf. Robert also works on issues requiring legislative action and serves on numerous boards and committees to improve the services of the individuals we support.



Jon Moore joined D&S in 1998 and has been a big part of D&S' evolution over the years. He started out as a Supported Employment Supervisor and was quickly promoted into positions with increasing responsibility. His experience as a QIDP and a supervisor of QIDP's positioned him well for his next role as Regional Director of Field Operations for half of Texas. His commitment to ensuring the success of those we support in the community comes through in all that he does. Jon provides constant support to the offices and professionals that he oversees and is always striving for the highest quality of services and supports.



October 17, 2016

Via E-Mail

Tri-County Behavioral Healthcare
C/o David Southern
P.O. Box 3067
Conroe, TX 77305

Re: Proposal to Purchase Intermediate Care Facilities Licenses

Dear David:

Per our conversation of October 14th, I am writing on behalf of Educare Community Living Corporation - Texas ("Educare" or "Purchaser") to express our interest in acquiring the eight (8) Intermediate Care Facilities licenses (the "Licenses") owned by Tri-County Behavioral Healthcare ("Tri-County") covering the facilities listed on Attachment A (the "Facilities"). The Licenses cover 48 ICF beds in the Facilities.

This letter sets forth the terms pursuant to which Educare would propose to purchase the Licenses.

1. Purchase Price. Educare proposes to pay the sum of Nine Hundred and Sixty Thousand Dollars (\$960,000) to acquire the Licenses (the "Purchase Price"). The Purchase Price would be paid in cash at close. The Purchase Price represents a price of \$20,000 per licensed bed.
2. Leases on the Facilities. Educare proposes to continue to lease the Facilities from Tri-County under year-to-year leases on substantially the same terms and conditions as are currently in effect. In the event Tri-County sells the Facilities to a third party, Educare will negotiate in good faith with the purchaser of the Facilities to enter into mutually agreeable new leases.
3. Conditions of Use. In a letter dated August 9, 2016, Tri-County set forth three desired conditions to the sale of the Licenses. Educare agrees to these conditions with the modifications and clarifications set forth below.
 - a. The location of the facility stays within 60 miles of their current location for 5 years – Acceptable provided Educare is able to maintain existing

- lease terms with Tri-County or negotiate mutually agreeable lease terms with a purchaser of the Facilities.
- b. Tri-County day habilitation programs continue to be used in Cleveland, Huntsville and Liberty for 3 years – Acceptable provided there are no regulatory or reimbursement changes for these programs that would adversely impact continued use.
 - c. The homes will continue to serve clientele with higher levels of need – Educare will continue to accept referrals meeting ICF admission criteria provided reimbursement levels are consistent with the required services for each individual and such individuals can be appropriately served in these Facilities.
4. Commitment to Future Referrals. Purchaser assumes that Tri-County will continue to inform families, guardians, legally authorized representatives and individuals of the availability of ICF services and refer appropriate individuals to the Facilities consistent with past practice.
5. Timing/Proposed Closing. This proposal is valid through October 27, 2016. Assuming the terms are acceptable to Tri-County, the parties will move forward as quickly as practicable to enter into a definitive purchase agreement, complete the sale and purchase of the Licenses and comply with all applicable change of ownership (“CHOW”) requirements.
6. Current Management Contract. Pending the closing of the purchase and sale of the Licenses, the parties will operate under the terms of the existing Contract for ICF/MR Residential Services contract pursuant to which Educare manages the Facilities under the Licenses.
7. Contact Details. Please direct questions and future correspondence with respect to this proposal to me. My contact details are set forth below.

Steven L. Zeller
Executive Vice President – Corporate Business Development
Res-Care, Inc.
9901 Linn Station Road
Louisville, KY 40223
502-420-2575 (office)
502-468-8238 (cell)
szeller@rescare.com

This letter constitutes a non-binding indication of our interest in purchasing the Licenses. No legally binding obligations will be created unless and until a definitive agreement is executed by both parties covering the terms and conditions of sale and purchase.

Tri-County Behavioral Healthcare

10/17/2016

Page 3

Thank you for the opportunity to explore the potential acquisition of the Licenses. Please contact me directly with any questions or concerns.

Very truly yours,



Steven L. Zeller

Executive Vice-President – Corporate
Business Development

Cc: Troy Robb
Jane Steur

ATTACHMENT A

Facility #3904	802 Lee St., Cleveland, TX
Facility #3891	206 Charles Barker, Cleveland, TX
Facility #3982	210 Avenue B, Liberty, TX
Facility #7566	1420 Holly St., Liberty, TX
Facility #3883	28902 Enchanted Dr., Shenandoah, TX
Facility #3905	104 Patricia St., Conroe, TX
Facility #3882	2223 North Thompson, Conroe, TX
Facility #7504	63 State Hwy 75 N., Huntsville, TX



4145 Powell Road
Powell, Ohio 43065
tel: 614.889.5191
fax: 614.889.889.5202
www.scioto.com

October 10, 2016

Mr. Evan Roberson
Executive Director
Tri-County Behavioral Healthcare
1506 FM 2854
Conroe, TX 77304

RE: **LETTER OF INTENT**

Dear Roberson:

Scioto Properties LLC ("**Scioto**") is pleased to offer this proposal to Tri-County Behavioral Healthcare ("**Tri-County**") outlining Scioto's intent to purchase the eight (8) single-family residential houses ("**Homes**") listed on **Schedule 1** attached to this Letter of Intent and lease them to a qualified provider of services to meet the housing needs of the individuals with disabilities living in the Homes. Scioto's proposal and any forthcoming purchase agreement is conditioned upon a sale of Tri-County's business to a qualified, licensed provider of services ("**Provider**") and the execution of a lease agreement ("**Lease**") for each Home between Scioto and the Provider.

1. **Purchase Price:** Scioto proposes acquiring the Homes for a purchase price of approximately \$1,363,874 (allocated as set forth on **Schedule 1**); provided, however, that the purchase price for the Homes may not exceed the aggregate appraised value of the property as determined during Scioto's due diligence process.
2. **Due Diligence:** Upon execution of a purchase agreement (as described below), Scioto will commence its standard due diligence process to evaluate the proposed transaction. This due diligence process includes Scioto obtaining a home inspection, appraisal, title commitment and survey and such other reports as may be necessary for each Home. We estimate that this due diligence process, which Scioto undertakes for any property that it acquires, and the closing can generally be accomplished within 30 to 45 days provided that we are given the necessary access to the Homes.
3. **Cooperation:** During the due diligence period, Tri-County agrees to cooperate with Scioto and assist Scioto (and our employees and agents) to enter the Homes for purposes of obtaining appraisals, building condition inspections, and all other necessary inspections. Scioto will give Tri-County at least 48 hours prior notice of any requested entry or inspection, which will be schedule during normal business

Evan Roberson, Executive Director
Tri-County Behavioral Healthcare
October 10, 2016
Page 2

hours. Scioto will undertake the inspections in a manner that does not unreasonably interfere with the Residents living in the Homes and the services being provided.

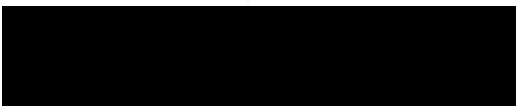
4. **Documentation:** The transactions contemplated by this Letter of Intent will be accomplished through the execution of a real estate purchase agreement with Tri-County. The proposed purchase agreement will be based on terms and conditions customary for the purchase of a single-family residential house, including potential repairs and/or repairs credits for items subsequently identified through Scioto's home inspections. The purchase agreement will be conditioned upon a simultaneous closing of the sale of the business by Tri-County to a qualified, licensed Provider of services and the Provider executing a new Lease in form and content acceptable to Scioto for each Home, which Leases will be effective as of the date of closing on the Homes.
5. **Miscellaneous:** Each party is responsible for its own costs and expenses related to this transaction. Scioto and Tri-County agree to negotiate exclusively and in good faith toward execution of the purchase agreement following acceptance of this Letter of Intent.

If the terms set forth in this Letter of Intent are acceptable, please sign and return it to me by fax (614-889-5202) or e-mail (mbeaton@scioto.com) no later than October 17, 2016.

If you have any questions, please do not hesitate to call me at 614-889-5191.

Sincerely,

SCIOTO PROPERTIES LLC



Mary Bea Eaton
Chief Executive Officer

AGREED TO AND ACCEPTED:

The undersigned, on behalf of Tri-County Behavioral Healthcare, accepts the terms outlined in this Letter of Intent.

TRI-COUNTY BEHAVIORAL HEALTHCARE

Dated: October ____, 2016

By: _____
Its: _____

SCHEDULE 1 HOMES

Address	Purchase Price
28902 Enchanted Drive, Shenandoah, TX 77381	\$244,000.00
104 Patricia Land, Conroe, TX 77301	\$136,500.00
201 Avenue B, Liberty, TX 77575	\$187,500.00
2223 North Thompson, Conroe, TX 77301	\$255,187.00
2016 Charles Barker, Cleveland, TX 77327	\$129,600.00
63 State Highway 75 North, Huntsville, TX 77340	\$102,500.00
802 Lee Street, Cleveland, TX 77327	\$151,500.00
1420 Holly Street, Liberty, TX 77575	\$156,480.00

<p>Agenda Item: Approve FY 2016 Kingwood Pines Inpatient Hospital Contract Expenses</p> <p>Committee: Business</p>	<p>Board Meeting Date</p> <p>October 27, 2016</p>
<p>Background Information:</p> <p>Tri-County Behavioral Healthcare has utilized Kingwood Pines Hospital for inpatient psychiatric services when programs at the Psychiatric Emergency Treatment Center (PETC) are at capacity or the individual's acuity demonstrates a need for a higher level of care. This includes persons in need of longer-term inpatient treatment than what is permitted at the PETC.</p> <p>Invoices for services provided at the end of FY 2016 resulted in Kingwood Pines Hospital exceeding the Board approved contract allowance of \$650,000 by \$103,050. The Board approved the fourth budget revision which included these costs in the generic adult and child hospitalization lines, but did not approve an extension for Kingwood Pines Hospital specifically. While the Board was aware of the trend for hospitalization, staff should have presented this increase in contract costs at either the August or September Board meeting.</p> <p>This past year, more adults and children presented in crisis requiring higher level of care services to stabilize mental health needs than at any time in Tri-County's history.</p> <p>The final FY 2016 expense for Kingwood Pines Hospital inpatient bed days is \$753,050.</p>	
<p>Supporting Documentation:</p> <p>Contract Amendment Available for Review at the Board Meeting</p>	
<p>Recommended Action:</p> <p>Approve an Additional \$103,050 for a total of \$753,050 for Kingwood Pines Hospital Bed Days in FY 2016</p>	

Agenda Item: 401(a) Retirement Plan Account Review Committee: Business	Board Meeting Date: October 27, 2016
Background Information: A representative from ISC Group will present an update of the 401(a) Retirement Plan account activity and will provide a forecast for the future.	
Supporting Documentation: Information to be Distributed for Review at the Board Meeting	
Recommended Action: For Information Only	



ISC Managed Premier Conservative Income Model

As of September 2016

Contact Information

ISC Group, Inc.
3500 Oak Lawn Avenue, Ste 400
Dallas, Texas 75219
Toll Free (800) 888-3520
Fax (214) 520-3203

Strategy

ISC Group's Premier Conservative Income Model is focused on preserving capital and attempts to protect investors with shorter time horizons from losses. More than 75% of this portfolio is invested in fixed income securities with a small portion in equities and is the most conservative model available. Lower potential gains are offset by reduced volatility and investment risk. The Premier Conservative Income Model is designed for the shorter term investor that can tolerate minimal market fluctuation and is less concerned with long-term growth of capital than preservation of principal.

Available Platforms

Pathways

TD Ameritrade Institutional

* Account types held will vary among the Platforms

Composite Characteristics

Assets Under Management \$2.333 M

Inception Date:

Pathways Jan 2008

TD Ameritrade Apr 2012

Number of Underlying Funds:

Pathways 12

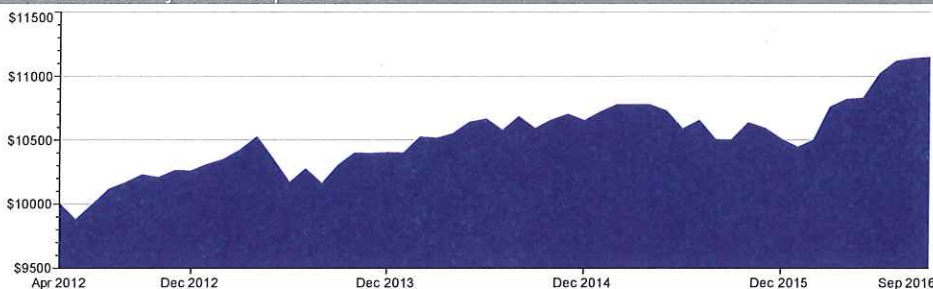
TD Ameritrade 12

Prospectus Expense Ratios:

	Net	Gross
Pathways	n/a	0.45%
TD Ameritrade	n/a	0.45%

*Note that the presented expense ratios are based on a target allocation and that the exact expense ratio may vary with market fluctuations

Performance: May 2012 - September 2016



*Performance based on growth of \$10,000, net of fees

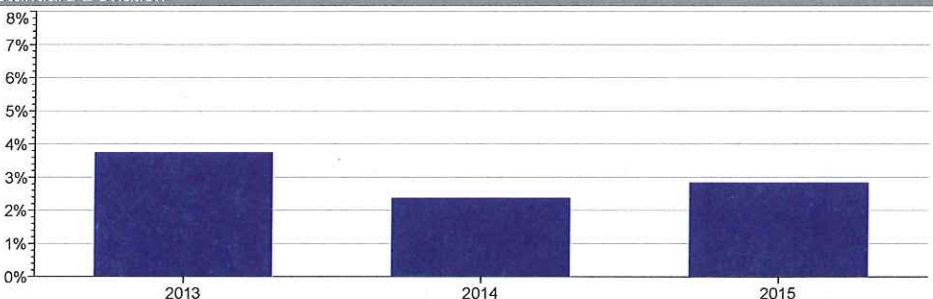
Performance: May 2012 - September 2016

	Quarter	YTD	1 year	3 years	Since Inception
Premier Conservative Income Model	1.14%	6.09%	6.18%	2.67%	2.49%
S&P 500	3.85%	7.84%	15.43%	11.16%	10.81%
MSCI EAFE Index	6.50%	2.20%	7.06%	0.93%	9.35%
Barclays U.S. Aggregate	0.46%	5.80%	5.19%	4.03%	7.68%
Citigroup 3-month T-bill	0.07%	0.19%	0.20%	0.09%	4.84%

Calendar Year Return

	YTD	2015	2014	2013
Premier Conservative Income Model	6.09%	-1.34%	2.39%	1.40%
S&P 500	7.84%	1.38%	13.69%	32.39%
MSCI EAFE Index	2.20%	-0.39%	-4.48%	23.29%
Barclays U.S. Aggregate	5.80%	0.55%	5.97%	-2.02%
Citigroup 3-month T-bill	0.19%	0.03%	0.03%	0.05%

Standard Deviation



Standard Deviation

	YTD	1 year	3 years
Premier Conservative Income Model	2.83%	3.39%	2.83%
S&P 500	9.53%	12.46%	10.83%
MSCI EAFE Index	12.81%	15.03%	12.57%
Barclays U.S. Aggregate	1.97%	2.34%	2.61%
Citigroup 3-month T-bill	0.01%	0.03%	0.03%

	2015	2014	2013
Premier Conservative Income Model	2.84%	2.38%	3.75%
S&P 500	13.66%	8.26%	8.48%
MSCI EAFE Index	15.23%	9.73%	12.14%
Barclays U.S. Aggregate	2.95%	2.31%	3.19%
Citigroup 3-month T-bill	0.01%	0.00%	0.01%

ISC Premier Conservative Income Model (continued)

Sector Analysis (%)

	Pathways/TD Ameritrade
Cyclical	31.43
Basic Materials	1.93
Consumer Cycl	6.66
Financial Svs	8.47
Real Estate	14.37
Sensitive	24.23
Commun Svs	3.75
Energy	3.48
Industrials	7.36
Technology	9.64
Defensive	43.38
Consumer Def	30.02
Healthcare	10.38
Utilities	2.98

Region Analysis (%)

	Pathways/TD Ameritrade
Americas	92.19
North America	92.10
Central/Latin	0.09
Greater Europe	1.78
United Kingdom	0.43
Europe-Developed	1.05
Europe-Emerging	0.02
Africa/Middle East	0.25
Greater Asia	5.10
Japan	2.32
Australasia	0.00
Asia-Developed	1.57
Asia-Emerging	1.21
Not Classified	0.96

Performance data quoted represents past performance and does not guarantee future results. Current performance may be lower or higher than the performance data quoted. Please remember that all investing involves risk and that there is no guarantee that successful past strategies will be successful in the future. The investment return and principal value of an investment will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. There are advisory fees associated with ISC Group Managed Premier Models, along with applicable mutual fund level and security expenses. Consider the investment objectives, risks, and charges and expenses of investments carefully, which along with other important information, can be obtained by contacting ISC Group, Inc. toll free at (800) 888-3520. Securities offered through Institutional Securities Corp, Member FINRA/SIPC. Advisory services offered through ISC Advisors, Inc.

The charts and graphs contained herein and the report itself were created with Zephyr StyleADVISOR. Note that the Since Inception data will not align with the same start date and may provide an inaccurate comparison. The underlying Model holdings are subject to change as it relates to various existing market conditions. The Sector Analysis and Region Analysis on the stocks in the models may fluctuate due to management of the underlying funds, changes made by ISC between printings, or market fluctuations with the underlying holdings. These are weighted and calculated using Morningstar Advisor Workstation. Also, note that the Expense Ratio, Sector Analysis, and Region Analysis provided for the TIAA-CREF platform represent the ORP version of the Model and not the TDA version of the Model due to underlying holdings. Please contact ISC Group, Inc. for the latest information.

Definition of the Firm: ISC Group, Incorporated (ISC) & its affiliate subsidiaries: Institutional Securities Corporation, member FINRA & SIPC (Broker/Dealer), Investment Sales Corporation (Insurance), ISC Advisors (Registered Investment Advisor), is based out of Dallas, Texas. ISC Group was founded in 1987 and manages a variety of assets, including actively managed model portfolios for clients saving towards their retirement.

Policies: Policies for valuing portfolios, calculating performance, and preparing compliant presentations are available upon request.

Composite Description: Portfolio performance depicted reflect an aggregation of all Premier Model accounts under management. Minimum account balance per an employer for the composites is \$50,000; a list of each composite's breakdown is available upon request. The inception dates provided are the dates each platform began its operations. This date does not necessarily mean that assets were invested in the model at that time.

Platform: Not all platforms are available to every account type. Please contact ISC to see which platforms are available for which account types.

Fees: Composite returns presented are net-of-fees; administrative and advisory fees already deducted. Fees among clients vary based on their contract; more detailed information is available upon request or found on the firm's Form ADV Part II.

Expense Ratios: The expense ratios are calculated by allocation weight. Both the Prospectus Gross Expense Ratios and Prospectus Net Expense Ratios of the underlying funds were pulled using Morningstar, Inc via Zephyr StyleAdvisor.

Ex-Post Standard Deviation and Risk/Return: The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. Internal dispersion is calculated using equal-weighted standard deviation. Figures may not be provided for composites with less than a year's worth of data. The standard deviation is calculated through Zephyr StyleAdvisor.





ISC Managed Premier Income Model

As of September 2016

Contact Information

ISC Group, Inc.
3500 Oak Lawn Avenue, Ste 400
Dallas, Texas 75219
Toll Free (800) 888-3520
Fax (214) 520-3203

Strategy

ISC Group's Premier Income Model has a goal of principal stability and seeks to minimize investment risk with monthly and quarterly income generated from interest on bonds and dividends on stocks that help reduce market volatility. More than half of this portfolio is invested in fixed income securities with a smaller portion in equities. This blend of asset classes reduces volatility, but will also reduce potential growth of principal. The Premier Income Model is designed to be conservative on the downside and may be appropriate for investors that can tolerate minimal market fluctuation and that have a greater concern with preservation of capital than generating longer-term growth.

Available Platforms

Pathways
TD Ameritrade Institutional
TIAA-CREF
Fidelity Advisor
One America

* Account types held will vary among the Platforms

Composite Characteristics

Assets Under Management \$27.637 M

Inception Date:

Pathways	Jan 2008
TD Ameritrade	Apr 2012
TIAA-CREF	Sep 2011
Fidelity Advisor	Sep 2011
One America	Mar 2014

Number of Underlying Funds:

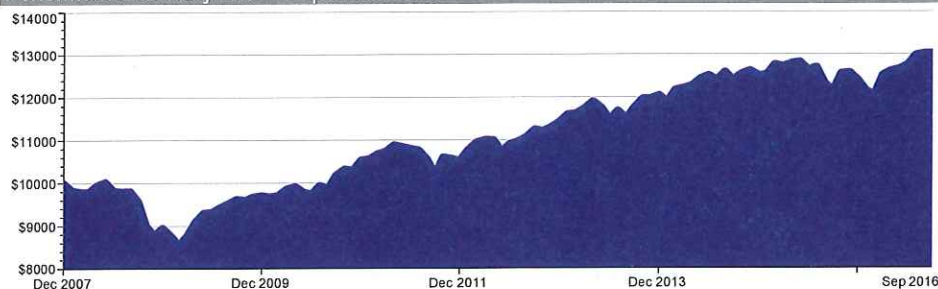
Pathways	17
TD Ameritrade	13
TIAA-CREF	13
Fidelity Advisor	15
One America	15

Prospectus Expense Ratios:

	Net	Gross
Pathways	0.61%	0.63%
TD Ameritrade	n/a	0.45%
TIAA-CREF	0.44%	0.44%
Fidelity Advisor	0.75%	0.76%
One America	0.78%	0.81%

*Note that the presented expense ratios are based on a target allocation and that the exact expense ratio may vary with market fluctuations

Performance: January 2008 - September 2016



*Performance based on growth of \$10,000, net of fees

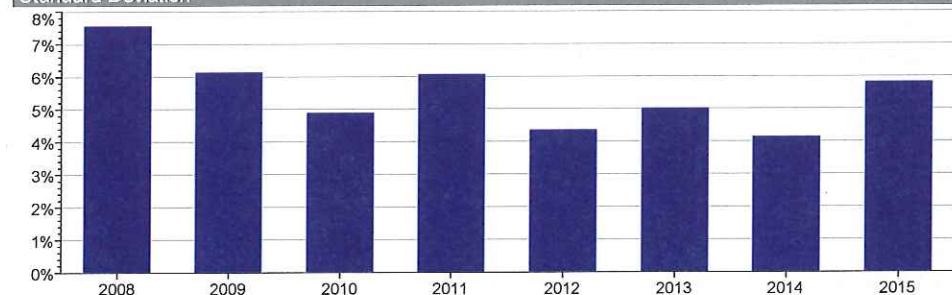
Performance: January 2008 - September 2016

	Quarter	YTD	1 year	3 years	5 years	Since Inception
Premier Income Model	2.15%	5.06%	7.15%	3.45%	4.99%	3.07%
S&P 500	3.85%	7.84%	15.43%	11.16%	16.37%	10.81%
MSCI EAFE Index	6.50%	2.20%	7.06%	0.93%	7.88%	9.35%
Barclays U.S. Aggregate	0.46%	5.80%	5.19%	4.03%	3.08%	7.68%
Citigroup 3-month T-bill	0.07%	0.19%	0.20%	0.09%	0.08%	4.84%

Calendar Year Return

	YTD	2015	2014	2013	2012	2011	2010	2009
Premier Income Model	5.06%	-0.94%	3.88%	5.43%	8.59%	-0.13%	8.59%	8.42%
S&P 500	7.84%	1.38%	13.69%	32.39%	16.00%	2.11%	15.06%	26.46%
MSCI EAFE Index	2.20%	-0.39%	-4.48%	23.29%	17.90%	-11.73%	8.21%	32.46%
Barclays U.S. Aggregate	5.80%	0.55%	5.97%	-2.02%	4.21%	7.84%	6.54%	5.93%
Citigroup 3-month T-bill	0.19%	0.03%	0.03%	0.05%	0.07%	0.08%	0.13%	0.16%

Standard Deviation



Standard Deviation

	YTD	1 year	3 years	5 years
Premier Income Model	4.84%	5.99%	4.97%	5.05%
S&P 500	9.53%	12.46%	10.83%	11.12%
MSCI EAFE Index	12.81%	15.03%	12.57%	14.17%
Barclays U.S. Aggregate	1.97%	2.34%	2.61%	2.66%
Citigroup 3-month T-bill	0.01%	0.03%	0.03%	0.02%

	2015	2014	2013	2012	2011	2010	2009	2008
Premier Income Model	5.83%	4.14%	5.02%	4.36%	6.07%	4.89%	6.14%	7.56%
S&P 500	13.66%	8.26%	8.48%	10.52%	15.94%	19.26%	22.28%	21.02%
MSCI EAFE Index	15.23%	9.73%	12.14%	16.58%	19.53%	22.92%	25.74%	27.11%
Barclays U.S. Aggregate	2.95%	2.31%	3.19%	2.01%	2.35%	2.91%	3.34%	6.09%
Citigroup 3-month T-bill	0.01%	0.00%	0.01%	0.01%	0.01%	0.01%	0.01%	0.22%

HANDOUT

ISC Premier Income Model (continued)

Sector Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Cyclical	42.28	31.01	38.37	37.84	40.33
Basic Materials	2.87	2.89	3.74	2.30	3.26
Consumer Cycl	15.18	8.63	9.13	13.69	13.81
Financial Svs	14.17	10.84	14.15	12.85	12.72
Real Estate	10.06	8.65	11.35	9.00	10.54
Sensitive	33.90	29.87	35.71	35.67	34.55
Commun Svs	4.16	4.83	6.94	3.65	3.42
Energy	4.03	4.31	4.22	3.32	2.10
Industrials	10.43	9.44	12.49	8.98	14.07
Technology	15.28	11.29	12.06	19.72	14.96
Defensive	23.82	38.79	25.92	26.49	25.12
Consumer Def	8.02	22.91	7.92	9.38	11.08
Healthcare	13.66	12.32	14.76	16.47	13.40
Utilities	2.14	3.56	3.24	0.64	0.64

Region Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Americas	77.22	81.78	73.44	74.50	68.20
North America	77.05	81.68	73.11	73.59	68.02
Central/Latin	0.17	0.10	0.33	0.91	0.18
Greater Europe	17.07	8.74	15.98	17.81	22.61
United Kingdom	5.31	2.30	5.23	4.32	6.29
Europe-Developed	11.48	6.08	10.35	12.15	16.19
Europe-Emerging	0.00	0.02	0.09	0.10	0.00
Africa/Middle East	0.28	0.34	0.31	1.24	0.13
Greater Asia	5.71	9.15	10.58	7.69	9.19
Japan	1.95	4.72	4.17	2.99	4.19
Australasia	1.08	0.82	0.73	0.41	1.62
Asia-Developed	1.22	2.15	2.41	2.08	1.15
Asia-Emerging	1.46	1.46	3.27	2.21	1.51
Not Classified	0.00	0.00	0.00	0.00	0.00

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Policies: Policies for valuing portfolios, calculating performance, and preparing compliant presentations are available upon request.

Composite Description: Portfolio performance depicted reflect an aggregation of all Premier Model accounts under management. Minimum account balance per an employer for the composites is \$50,000; a list of each composite's breakdown is available upon request. The inception dates provided are the dates each platform began its operations. This date does not necessarily mean that assets were invested in the model at that time.

Platform: Not all platforms are available to every account type. Please contact ISC to see which platforms are available for which account types.

Fees: Composite returns presented are net-of-fees; administrative and advisory fees already deducted. Fees among clients vary based on their contract; more detailed information is available upon request or found on the firm's Form ADV Part II.

Expense Ratios: The expense ratios are calculated by allocation weight. Both the Prospectus Gross Expense Ratios and Prospectus Net Expense Ratios of the underlying funds were pulled using Morningstar, Inc via Zephyr StyleAdvisor.

Ex-Post Standard Deviation and Risk/Return: The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. Internal dispersion is calculated using equal-weighted standard deviation. Figures may not be provided for composites with less than a year's worth of data. The standard deviation is calculated through Zephyr StyleAdvisor.

Note: The Investment Committee made a material change in the management strategy of some of the model portfolios in September 2012. This change involved removing a fixed annuity from these models and reallocating the remaining assets to include a diversified group of bond funds. This change resulted in a drop in reported assets under management and temporary changes in the weighted composite. Please contact ISC for additional details on the product removal and how it affected the performance calculation.





ISC Managed Premier Balanced Model

As of September 2016

Contact Information

ISC Group, Inc.
3500 Oak Lawn Avenue, Ste 400
Dallas, Texas 75219
Toll Free (800) 888-3520
Fax (214) 520-3203

Strategy

ISC Group's Premier Balanced Model seeks long-term growth with less volatility than the stock market. This model is intended to provide returns that are expected to outpace inflation over a longer period of time while managing the amount of risk taken on by the portfolio. The Premier Balanced Model will attempt to have at least 35% stocks and 35% fixed income in its portfolio. This blend of assets may be appropriate for an investor that is equally concerned with risk and return with a medium to long-term time horizon.

Available Platforms

Pathways
TD Ameritrade Institutional
TIAA-CREF
Fidelity Advisor
One America

* Account types held will vary among the Platforms

Composite Characteristics

Assets Under Management \$67.306 M

Inception Date:

Pathways	Jan 2008
TD Ameritrade	Apr 2012
TIAA-CREF	Sep 2011
Fidelity Advisor	Sep 2011
One America	Mar 2014

Number of Underlying Funds:

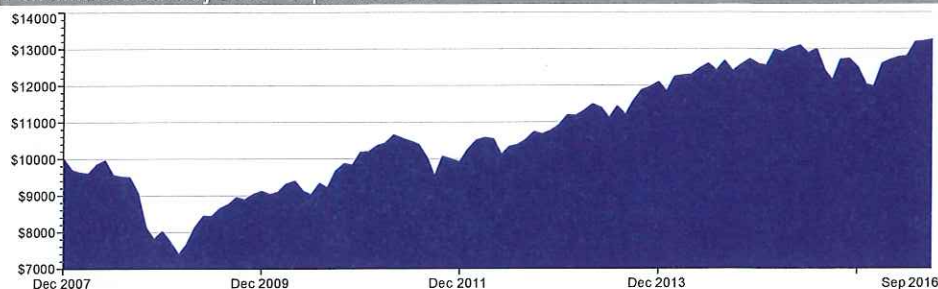
Pathways	17
TD Ameritrade	18
TIAA-CREF	14
Fidelity Advisor	18
One America	16

Prospectus Expense Ratios:

	Net	Gross
Pathways	0.69%	0.72%
TD Ameritrade	n/a	0.36%
TIAA-CREF	0.45%	0.46%
Fidelity Advisor	0.81%	0.81%
One America	0.87%	0.89%

*Note that the presented expense ratios are based on a target allocation and that the exact expense ratio may vary with market fluctuations

Performance: January 2008 - September 2016



*Performance based on growth of \$10,000, net of fees

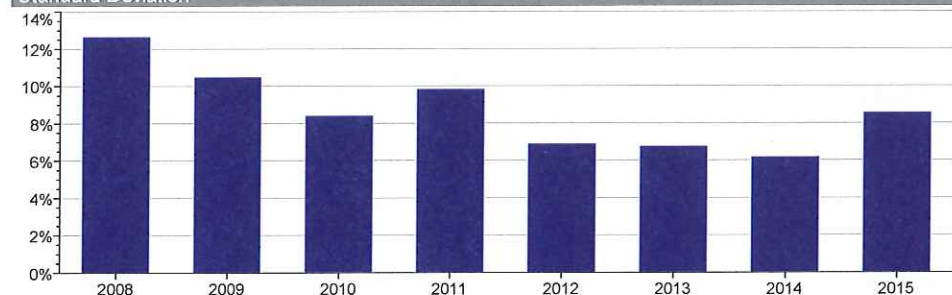
Performance: January 2008 - September 2016

	Quarter	YTD	1 year	3 years	5 years	Since Inception
Premier Balanced Model	3.48%	5.93%	9.17%	4.55%	6.90%	3.26%
S&P 500	3.85%	7.84%	15.43%	11.16%	16.37%	10.81%
MSCI EAFE Index	6.50%	2.20%	7.06%	0.93%	7.88%	9.35%
Barclays U.S. Aggregate	0.46%	5.80%	5.19%	4.03%	3.08%	7.68%
Citigroup 3-month T-bill	0.07%	0.19%	0.20%	0.09%	0.08%	4.84%

Calendar Year Return

	YTD	2015	2014	2013	2012	2011	2010	2009
Premier Balanced Model	5.93%	-0.61%	4.07%	10.67%	10.21%	-2.64%	11.65%	13.81%
S&P 500	7.84%	1.38%	13.69%	32.39%	16.00%	2.11%	15.06%	26.46%
MSCI EAFE Index	2.20%	-0.39%	-4.48%	23.29%	17.90%	-11.73%	8.21%	32.46%
Barclays U.S. Aggregate	5.80%	0.55%	5.97%	-2.02%	4.21%	7.84%	6.54%	5.93%
Citigroup 3-month T-bill	0.19%	0.03%	0.03%	0.05%	0.07%	0.08%	0.13%	0.16%

Standard Deviation



Standard Deviation

	YTD	1 year	3 years	5 years
Premier Balanced Model	7.44%	8.84%	7.38%	7.51%
S&P 500	9.53%	12.46%	10.83%	11.12%
MSCI EAFE Index	12.81%	15.03%	12.57%	14.17%
Barclays U.S. Aggregate	1.97%	2.34%	2.61%	2.66%
Citigroup 3-month T-bill	0.01%	0.03%	0.03%	0.02%

	2015	2014	2013	2012	2011	2010	2009	2008
Premier Balanced Model	8.56%	6.17%	6.75%	6.90%	9.82%	8.39%	10.48%	12.65%
S&P 500	13.66%	8.26%	8.48%	10.52%	15.94%	19.26%	22.28%	21.02%
MSCI EAFE Index	15.23%	9.73%	12.14%	16.58%	19.53%	22.92%	25.74%	27.11%
Barclays U.S. Aggregate	2.95%	2.31%	3.19%	2.01%	2.35%	2.91%	3.34%	6.09%
Citigroup 3-month T-bill	0.01%	0.00%	0.01%	0.01%	0.01%	0.01%	0.01%	0.22%

HANDOUT

ISC Premier Balanced Model (continued)

Sector Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Cyclical	40.74	35.14	40.38	38.26	36.48
Basic Materials	3.58	3.88	5.01	2.49	4.01
Consumer Cycl	14.85	10.53	10.34	12.84	12.86
Financial Svs	14.85	12.25	15.76	12.65	12.20
Real Estate	7.46	8.48	9.27	10.28	7.41
Sensitive	35.58	33.02	36.00	33.93	38.31
Commun Svs	4.43	4.54	6.79	3.65	3.82
Energy	3.89	4.64	4.57	3.45	2.35
Industrials	11.88	10.81	12.73	9.36	14.78
Technology	15.38	13.03	11.91	17.47	17.36
Defensive	23.68	31.74	23.62	27.81	25.21
Consumer Def	8.78	16.06	7.77	9.93	11.99
Healthcare	12.64	12.09	12.80	16.88	12.21
Utilities	2.26	3.59	3.05	1.00	1.01

Region Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Americas	70.81	78.61	65.80	72.87	64.28
North America	69.73	78.09	64.42	71.76	63.76
Central/Latin	1.08	0.52	1.38	1.11	0.52
Greater Europe	20.18	10.69	18.63	18.55	24.88
United Kingdom	6.06	2.69	5.62	4.63	6.79
Europe-Developed	12.96	7.27	11.72	12.53	17.49
Europe-Emerging	0.45	0.21	0.47	0.16	0.15
Africa/Middle East	0.71	0.52	0.82	1.23	0.45
Greater Asia	9.01	10.60	15.57	8.58	10.84
Japan	1.80	4.61	4.81	3.25	5.16
Australasia	0.98	1.06	1.11	0.42	1.55
Asia-Developed	2.43	2.61	4.30	2.26	1.34
Asia-Emerging	3.80	2.32	5.35	2.65	2.79
Not Classified	0.00	0.10	0.00	0.00	0.00

Performance data quoted represents past performance and does not guarantee future results. Current performance may be lower or higher than the performance data quoted. Please remember that all investing involves risk and that there is no guarantee that successful past strategies will be successful in the future. The investment return and principal value of an investment will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. There are advisory fees associated with ISC Group Managed Premier Models, along with applicable mutual fund level and security expenses. Consider the investment objectives, risks, and charges and expenses of investments carefully, which along with other important information, can be obtained by contacting ISC Group, Inc. toll free at (800) 888-3520. Securities offered through Institutional Securities Corp, Member FINRA/SIPC. Advisory services offered through ISC Advisors, Inc.

The charts and graphs contained herein and the report itself were created with Zephyr StyleADVISOR. Note that the Since Inception data will not align with the same start date and may provide an inaccurate comparison. The underlying Model holdings are subject to change as it relates to various existing market conditions. The Sector Analysis and Region Analysis on the stocks in the models may fluctuate due to management of the underlying funds, changes made by ISC between printings, or market fluctuations with the underlying holdings. These are weighted and calculated using Morningstar Advisor Workstation. Also, note that the Expense Ratio, Sector Analysis, and Region Analysis provided for the TIAA-CREF platform represent the ORP version of the Model and not the TDA version of the Model due to underlying holdings. Please contact ISC Group, Inc. for the latest information.

Definition of the Firm: ISC Group, Incorporated (ISC) & its affiliate subsidiaries: Institutional Securities Corporation, member FINRA & SIPC (Broker/Dealer), Investment Sales Corporation (Insurance), ISC Advisors (Registered Investment Advisor), is based out of Dallas, Texas. ISC Group was founded in 1987 and manages a variety of assets, including actively managed model portfolios for clients saving towards their retirement.

Policies: Policies for valuing portfolios, calculating performance, and preparing compliant presentations are available upon request.

Composite Description: Portfolio performance depicted reflect an aggregation of all Premier Model accounts under management. Minimum account balance per an employer for the composites is \$50,000; a list of each composite's breakdown is available upon request. The inception dates provided are the dates each platform began its operations. This date does not necessarily mean that assets were invested in the model at that time.

Platform: Not all platforms are available to every account type. Please contact ISC to see which platforms are available for which account types.

Fees: Composite returns presented are net-of-fees; administrative and advisory fees already deducted. Fees among clients vary based on their contract; more detailed information is available upon request or found on the firm's Form ADV Part II.

Expense Ratios: The expense ratios are calculated by allocation weight. Both the Prospectus Gross Expense Ratios and Prospectus Net Expense Ratios of the underlying funds were pulled using Morningstar, Inc via Zephyr StyleAdvisor.

Ex-Post Standard Deviation and Risk/Return: The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. Internal dispersion is calculated using equal-weighted standard deviation. Figures may not be provided for composites with less than a year's worth of data. The standard deviation is calculated through Zephyr StyleAdvisor.

Note: The Investment Committee made a material change in the management strategy of some of the model portfolios in September 2012. This change involved removing a fixed annuity from these models and reallocating the remaining assets to include a diversified group of bond funds. This change resulted in a drop in reported assets under management and temporary changes in the weighted composite. Please contact ISC for additional details on the product removal and how it affected the performance calculation.





ISC Managed Premier Growth Model

As of September 2016

Contact Information

ISC Group, Inc.
3500 Oak Lawn Avenue, Ste 400
Dallas, Texas 75219
Toll Free (800) 888-3520
Fax (214) 520-3203

Strategy

ISC Group's Premier Growth Model has a goal of long-term capital growth. This model expects higher than average volatility and may incur losses while seeking to achieve the desired returns. The portfolio will typically invest at least 70% in stocks and the rest in various fixed income classes. The Premier Growth Model may be appropriate for the investor with a long time horizon and a moderate to high tolerance for risk.

Available Platforms

Pathways
TD Ameritrade Institutional
TIAA-CREF
Fidelity Advisor
One America

* Account types held will vary among the Platforms

Composite Characteristics

Assets Under Management \$31.550 M

Inception Date:

Pathways	Jan 2008
TD Ameritrade	Apr 2012
TIAA-CREF	Sep 2011
Fidelity Advisor	Sep 2011
One America	Mar 2014

Number of Underlying Funds:

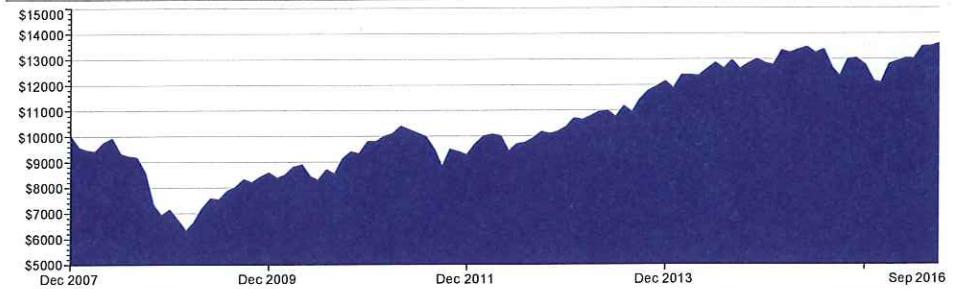
Pathways	16
TD Ameritrade	17
TIAA-CREF	14
Fidelity Advisor	19
One America	15

Prospectus Expense Ratios:

	Net	Gross
Pathways	0.75%	0.78%
TD Ameritrade	n/a	0.32%
TIAA-CREF	0.46%	0.47%
Fidelity Advisor	0.85%	0.85%
One America	0.91%	0.94%

*Note that the presented expense ratios are based on a target allocation and that the exact expense ratio may vary with market fluctuations

Performance: January 2008 - September 2016



*Performance based on growth of \$10,000, net of fees

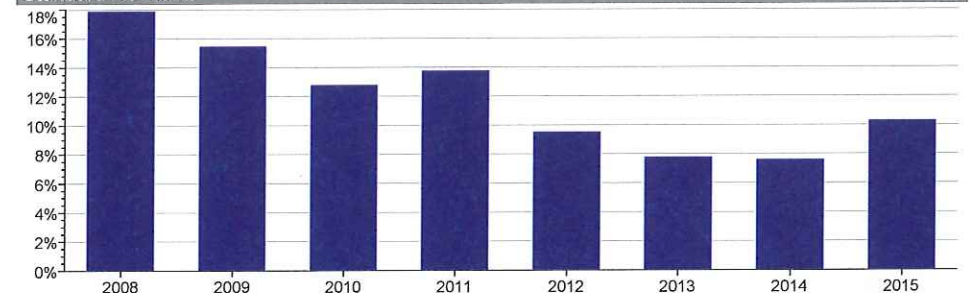
Performance: January 2008 - September 2016

	Quarter	YTD	1 year	3 years	5 years	Since Inception
Premier Growth Model	4.47%	6.41%	10.43%	5.91%	9.20%	3.57%
S&P 500	3.85%	7.84%	15.43%	11.16%	16.37%	10.81%
MSCI EAFE Index	6.50%	2.20%	7.06%	0.93%	7.88%	9.35%
Barclays U.S. Aggregate	0.46%	5.80%	5.19%	4.03%	3.08%	7.68%
Citigroup 3-month T-bill	0.07%	0.19%	0.20%	0.09%	0.08%	4.84%

Calendar Year Return

	YTD	2015	2014	2013	2012	2011	2010	2009
Premier Growth Model	6.41%	-0.42%	5.80%	17.04%	11.98%	-5.47%	14.20%	20.24%
S&P 500	7.84%	1.38%	13.69%	32.39%	16.00%	2.11%	15.06%	26.46%
MSCI EAFE Index	2.20%	-0.39%	-4.48%	23.29%	17.90%	-11.73%	8.21%	32.46%
Barclays U.S. Aggregate	5.80%	0.55%	5.97%	-2.02%	4.21%	7.84%	6.54%	5.93%
Citigroup 3-month T-bill	0.19%	0.03%	0.03%	0.05%	0.07%	0.08%	0.13%	0.16%

Standard Deviation



Standard Deviation

	YTD	1 year	3 years	5 years
Premier Growth Model	9.00%	10.58%	8.94%	9.44%
S&P 500	9.53%	12.46%	10.83%	11.12%
MSCI EAFE Index	12.81%	15.03%	12.57%	14.17%
Barclays U.S. Aggregate	1.97%	2.34%	2.61%	2.66%
Citigroup 3-month T-bill	0.01%	0.03%	0.03%	0.02%

	2015	2014	2013	2012	2011	2010	2009	2008
Premier Growth Model	10.29%	7.61%	7.78%	9.52%	13.75%	12.80%	15.47%	17.89%
S&P 500	13.66%	8.26%	8.48%	10.52%	15.94%	19.26%	22.28%	21.02%
MSCI EAFE Index	15.23%	9.73%	12.14%	16.58%	19.53%	22.92%	25.74%	27.11%
Barclays U.S. Aggregate	2.95%	2.31%	3.19%	2.01%	2.35%	2.91%	3.34%	6.09%
Citigroup 3-month T-bill	0.01%	0.00%	0.01%	0.01%	0.01%	0.01%	0.01%	0.22%

HANDOUT

ISC Premier Growth Model (continued)

Sector Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Cyclical	39.77	35.74	40.24	37.04	35.50
Basic Materials	3.55	4.30	5.19	2.48	4.01
Consumer Cycl	15.51	11.04	10.51	12.16	13.36
Financial Svs	14.50	12.79	16.35	12.32	11.84
Real Estate	6.21	7.61	8.19	10.08	6.29
Sensitive	36.31	33.68	35.99	34.88	39.32
Commun Svs	4.44	4.53	6.41	3.52	3.96
Energy	3.63	4.66	4.74	3.23	2.37
Industrials	12.26	11.11	12.76	8.96	14.67
Technology	15.98	13.38	12.08	19.17	18.32
Defensive	23.92	30.54	23.77	28.08	25.18
Consumer Def	8.80	15.06	7.85	9.97	11.73
Healthcare	13.01	11.99	12.89	17.16	12.39
Utilities	2.11	3.49	3.03	0.95	1.09

Region Analysis (%)

	Pathways	TD Ameritrade	TIAA-CREF	Fidelity Advisor	One America
Americas	70.50	74.68	64.57	67.08	64.56
North America	69.24	73.91	62.94	65.74	63.96
Central/Latin	1.26	0.77	1.63	1.34	0.60
Greater Europe	19.98	12.93	18.67	20.58	24.64
United Kingdom	5.94	3.20	5.61	5.03	6.74
Europe-Developed	12.73	8.74	11.55	13.87	17.20
Europe-Emerging	0.54	0.33	0.56	0.21	0.18
Africa/Middle East	0.77	0.66	0.95	1.47	0.52
Greater Asia	9.52	12.35	16.76	12.34	10.80
Japan	1.69	5.03	4.97	4.30	4.85
Australasia	0.91	1.32	1.13	0.87	1.46
Asia-Developed	2.54	3.06	4.74	3.51	1.31
Asia-Emerging	4.38	2.94	5.92	3.66	3.18
Not Classified	0.00	0.04	0.00	0.00	0.00

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Definition of the Firm: ISC Group, Incorporated (ISC) & its affiliate subsidiaries: Institutional Securities Corporation, member FINRA & SIPC (Broker/Dealer), Investment Sales Corporation (Insurance), ISC Advisors (Registered Investment Advisor), is based out of Dallas, Texas. ISC Group was founded in 1987 and manages a variety of assets, including actively managed model portfolios for clients saving towards their retirement.

Policies: Policies for valuing portfolios, calculating performance, and preparing compliant presentations are available upon request.

Composite Description: Portfolio performance depicted reflect an aggregation of all Premier Model accounts under management. Minimum account balance per an employer for the composites is \$50,000; a list of each composite's breakdown is available upon request. The inception dates provided are the dates each platform began its operations. This date does not necessarily mean that assets were invested in the model at that time.

Platform: Not all platforms are available to every account type. Please contact ISC to see which platforms are available for which account types.

Fees: Composite returns presented are net-of-fees; administrative and advisory fees already deducted. Fees among clients vary based on their contract; more detailed information is available upon request or found on the firm's Form ADV Part II.

Expense Ratios: The expense ratios are calculated by allocation weight. Both the Prospectus Gross Expense Ratios and Prospectus Net Expense Ratios of the underlying funds were pulled using Morningstar, Inc via Zephyr StyleAdvisor.

Ex-Post Standard Deviation and Risk/Return: The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. Internal dispersion is calculated using equal-weighted standard deviation. Figures may not be provided for composites with less than a year's worth of data. The standard deviation is calculated through Zephyr StyleAdvisor.

Note: The Investment Committee made a material change in the management strategy of some of the model portfolios in September 2012. This change involved removing a fixed annuity from these models and reallocating the remaining assets to include a diversified group of bond funds. This change resulted in a drop in reported assets under management and temporary changes in the weighted composite. Please contact ISC for additional details on the product removal and how it affected the performance calculation.





ISC Managed Premier Aggressive Growth Model

As of September 2016

Contact Information

ISC Group, Inc.
3500 Oak Lawn Avenue, Ste 400
Dallas, Texas 75219
Toll Free (800) 888-3520
Fax (214) 520-3203

Strategy

ISC Group's Premier Aggressive Growth Model is primarily invested in equities of domestic and foreign companies and seeks to provide the maximum potential for long-term growth of capital. Investors may experience large volatility and the portfolio value may experience significant losses in exchange for the chance to achieve high returns over the long term. The Aggressive Growth Model may be appropriate for investors that seek maximum long-term growth potential with an understanding of the possibility of substantial volatility and loss of principal.

Available Platforms

Pathways
TD Ameritrade Institutional
One America

* Account types held will vary among the Platforms

Composite Characteristics

Assets Under Management \$12.708M

Inception Date:

Pathways	Apr 2008
TD Ameritrade	Apr 2012
One America	Mar 2014

Number of Underlying Funds:

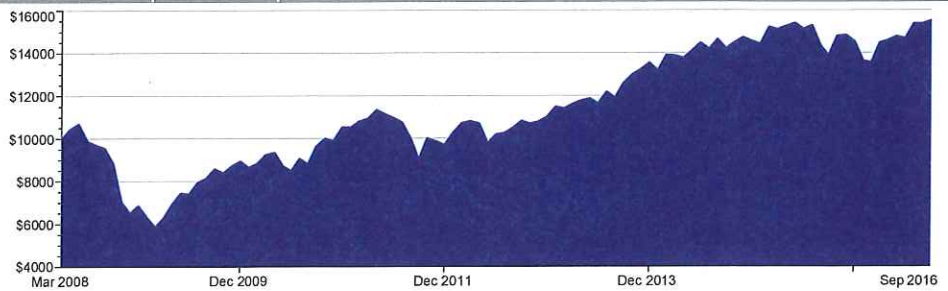
Pathways	12
TD Ameritrade	14
One America	12

Prospectus Expense Ratios:

	Net	Gross
Pathways	0.78%	0.80%
TD Ameritrade	n/a	0.27%
One America	0.95%	0.99%

*Note that the presented expense ratios are based on a target allocation and that the exact expense ratio may vary with market fluctuations

Performance: April 2008 - September 2016



*Performance based on growth of \$10,000, net of fees

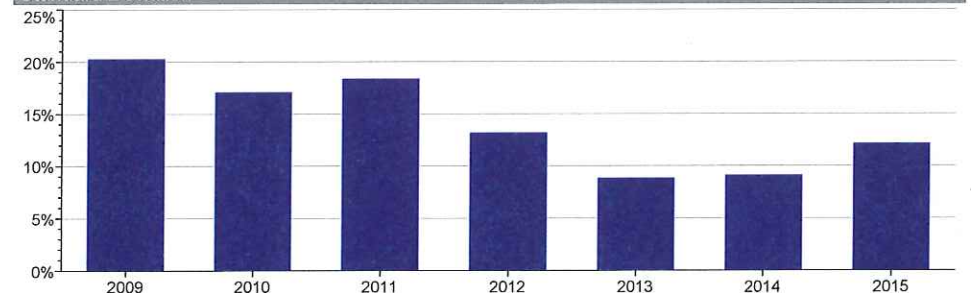
Performance: April 2008 - September 2016

	Quarter	YTD	1 year	3 years	5 years	Since Inception
Premier Aggressive Growth Model	5.58%	6.64%	11.70%	7.18%	11.49%	5.32%
S&P 500	3.85%	7.84%	15.43%	11.16%	16.37%	10.81%
MSCI EAFE Index	6.50%	2.20%	7.06%	0.93%	7.88%	9.35%
Barclays U.S. Aggregate	0.46%	5.80%	5.19%	4.03%	3.08%	7.68%
Citigroup 3-month T-bill	0.07%	0.19%	0.20%	0.09%	0.08%	4.84%

Calendar Year Return

	YTD	2015	2014	2013	2012	2011	2010	2009
Premier Aggressive Growth Model	6.64%	-0.08%	7.55%	22.88%	13.62%	-7.93%	17.74%	30.36%
S&P 500	7.84%	1.38%	13.69%	32.39%	16.00%	2.11%	15.06%	26.46%
MSCI EAFE Index	2.20%	-0.39%	-4.48%	23.29%	17.90%	-11.73%	8.21%	32.46%
Barclays U.S. Aggregate	5.80%	0.55%	5.97%	-2.02%	4.21%	7.84%	6.54%	5.93%
Citigroup 3-month T-bill	0.19%	0.03%	0.03%	0.05%	0.07%	0.08%	0.13%	0.16%

Standard Deviation



Standard Deviation

	YTD	1 year	3 years	5 years
Premier Aggressive Growth Model	10.95%	12.70%	10.69%	11.83%
S&P 500	9.53%	12.46%	10.83%	11.12%
MSCI EAFE Index	12.81%	15.03%	12.57%	14.17%
Barclays U.S. Aggregate	1.97%	2.34%	2.61%	2.66%
Citigroup 3-month T-bill	0.01%	0.03%	0.03%	0.02%

	2015	2014	2013	2012	2011	2010	2009
Premier Aggressive Growth Model	12.16%	9.13%	8.85%	13.18%	18.38%	17.08%	20.27%
S&P 500	13.66%	8.26%	8.48%	10.52%	15.94%	19.26%	22.28%
MSCI EAFE Index	15.23%	9.73%	12.14%	16.58%	19.53%	22.92%	25.74%
Barclays U.S. Aggregate	2.95%	2.31%	3.19%	2.01%	2.35%	2.91%	3.34%
Citigroup 3-month T-bill	0.01%	0.00%	0.01%	0.01%	0.01%	0.01%	0.01%

ISC Premier Aggressive Growth Model (continued)

Sector Analysis (%)

	Pathways	TD Ameritrade	One America
Cyclical	38.52	36.99	34.36
Basic Materials	3.87	4.57	4.34
Consumer Cycl	15.41	11.49	13.33
Financial Svs	14.74	12.83	12.14
Real Estate	4.50	8.10	4.55
Sensitive	37.73	33.87	40.36
Commun Svs	4.28	4.28	3.78
Energy	3.54	4.61	2.45
Industrials	13.52	11.38	15.66
Technology	16.39	13.60	18.47
Defensive	23.75	29.14	25.28
Consumer Def	8.66	14.21	11.59
Healthcare	12.97	11.62	12.46
Utilities	2.12	3.31	1.23

Region Analysis (%)

	Pathways	TD Ameritrade	One America
Americas	71.26	73.15	66.12
North America	69.84	72.35	65.48
Central/Latin	1.42	0.80	0.64
Greater Europe	19.14	14.36	23.38
United Kingdom	5.70	3.56	6.39
Europe-Developed	11.98	9.80	16.24
Europe-Emerging	0.62	0.34	0.20
Africa/Middle East	0.84	0.66	0.55
Greater Asia	9.60	12.49	10.50
Japan	1.41	5.14	4.62
Australasia	0.76	1.53	1.31
Asia-Developed	2.65	2.96	1.22
Asia-Emerging	4.78	2.86	3.35
Not Classified	0.00	0.00	0.00

Performance data quoted represents past performance and does not guarantee future results. Current performance may be lower or higher than the performance data quoted. Please remember that all investing involves risk and that there is no guarantee that successful past strategies will be successful in the future. The investment return and principal value of an investment will fluctuate, and shares, when redeemed, may be worth more or less than their original cost. There are advisory fees associated with ISC Group Managed Premier Models, along with applicable mutual fund level and security expenses. Consider the investment objectives, risks, and charges and expenses of investments carefully, which along with other important information, can be obtained by contacting ISC Group, Inc. toll free at (800) 888-3520. Securities offered through Institutional Securities Corp, Member FINRA/SIPC. Advisory services offered through ISC Advisors, Inc.

The charts and graphs contained herein and the report itself were created with Zephyr StyleADVISOR. Note that the Since Inception data will not align with the same start date and may provide an inaccurate comparison. The underlying Model holdings are subject to change as it relates to various existing market conditions. The Sector Analysis and Region Analysis on the stocks in the models may fluctuate due to management of the underlying funds, changes made by ISC between printings, or market fluctuations with the underlying holdings. These are weighted and calculated using Morningstar Advisor Workstation. Also, note that the Expense Ratio, Sector Analysis, and Region Analysis provided for the TIAA-CREF platform represent the ORP version of the Model and not the TDA version of the Model due to underlying holdings. Please contact ISC Group, Inc. for the latest information.

Definition of the Firm: ISC Group, Incorporated (ISC) & its affiliate subsidiaries: Institutional Securities Corporation, member FINRA & SIPC (Broker/Dealer), Investment Sales Corporation (Insurance), ISC Advisors (Registered Investment Advisor), is based out of Dallas, Texas. ISC Group was founded in 1987 and manages a variety of assets, including actively managed model portfolios for clients saving towards their retirement.

Policies: Policies for valuing portfolios, calculating performance, and preparing compliant presentations are available upon request.

Composite Description: Portfolio performance depicted reflect an aggregation of all Premier Model accounts under management. Minimum account balance per an employer for the composites is \$50,000; a list of each composite's breakdown is available upon request. The inception dates provided are the dates each platform began its operations. This date does not necessarily mean that assets were invested in the model at that time.

Platform: Not all platforms are available to every account type. Please contact ISC to see which platforms are available for which account types.

Fees: Composite returns presented are net-of-fees; administrative and advisory fees already deducted. Fees among clients vary based on their contract; more detailed information is available upon request or found on the firm's Form ADV Part II.

Expense Ratios: The expense ratios are calculated by allocation weight. Both the Prospectus Gross Expense Ratios and Prospectus Net Expense Ratios of the underlying funds were pulled using Morningstar, Inc via Zephyr StyleAdvisor.

Ex-Post Standard Deviation and Risk/Return: The three-year annualized standard deviation measures the variability of the composite and the benchmark returns over the preceding 36-month period. Internal dispersion is calculated using equal-weighted standard deviation. Figures may not be provided for composites with less than a year's worth of data. The standard deviation is calculated through Zephyr StyleAdvisor.

Note: The Investment Committee made a material change in the management strategy of some of the model portfolios in September 2012. This change involved removing a fixed annuity from these models and reallocating the remaining assets to include a diversified group of bond funds. This change resulted in a drop in reported assets under management and temporary changes in the weighted composite. Please contact ISC for additional details on the product removal and how it affected the performance calculation.



Agenda Item: Board of Trustees Unit Financial Statement for September 2016 Committee: Business	Board Meeting Date: October 27, 2016
Background Information: None	
Supporting Documentation: September 2016 Board of Trustees Unit Financial Statement	
Recommended Action: For Information Only	

Unit Financial Statement

FY 2017

	September 2016 Actuals	September 2016 Budgeted	Variance	YTD Actual	YTD Budget	Variance	Percent	Budget
Revenues								
Allocated Revenue	\$ 2,599.00	\$ 2,599.00	\$ -	\$ 2,599.00	\$ 2,599.00	\$ -	100.00%	\$ 31,195.00
Total Revenue	\$ 2,599.00	\$ 2,599.00	\$ -	\$ 2,599.00	\$ 2,599.00	\$ -	100.00%	\$ 31,195.00
Expenses								
Food Items	\$ 219.65	\$ 200.00	\$ 19.65	\$ 219.65	\$ 200.00	\$ 19.65	109.83%	\$ 2,400.00
Insurance-Worker Compensation	\$ 7.03	\$ 16.00	\$ (8.97)	\$ 7.03	\$ 16.00	\$ (8.97)	43.94%	\$ 200.00
Legal Fees	\$ 1,500.00	\$ 1,500.00	\$ -	\$ 1,500.00	\$ 1,500.00	\$ -	100.00%	\$ 18,000.00
Postage-Express Mail	\$ -	\$ 5.00	\$ (5.00)	\$ -	\$ 5.00	\$ (5.00)	0.00%	\$ 50.00
Supplies-Office	\$ -	\$ 21.00	\$ (21.00)	\$ -	\$ 21.00	\$ (21.00)	0.00%	\$ 245.00
Training	\$ -	\$ 300.00	\$ (300.00)	\$ -	\$ 300.00	\$ (300.00)	0.00%	\$ 3,600.00
Travel - Local	\$ 75.50	\$ 75.00	\$ 0.50	\$ 75.50	\$ 75.00	\$ 0.50	100.67%	\$ 900.00
Travel - Non-local Mileage/Air	\$ 85.70	\$ 150.00	\$ (64.30)	\$ 85.70	\$ 150.00	\$ (64.30)	57.13%	\$ 1,800.00
Travel - Non-local Hotel	\$ 191.35	\$ 250.00	\$ (58.65)	\$ 191.35	\$ 250.00	\$ (58.65)	76.54%	\$ 3,000.00
Travel - Meals	\$ 56.31	\$ 84.00	\$ (27.69)	\$ 56.31	\$ 84.00	\$ (27.69)	67.04%	\$ 1,000.00
Total Expenses	\$ 2,135.54	\$ 2,601.00	\$ (465.46)	\$ 2,135.54	\$ 2,601.00	\$ (465.46)	82.10%	\$ 31,195.00
Total Revenue minus Expenses	\$ 463.46	\$ (2.00)	\$ 465.46	\$ 463.46	\$ (2.00)	\$ 465.46	17.90%	\$ -

Agenda Item: Building Consolidation Update Committee: Business	Board Meeting Date: October 27, 2016
Background Information: As a standing information item on the agenda, Tri-County staff, Mike Duncum and/or contractors will continue to provide updates to the Board regarding progress made throughout the construction phase until we have officially moved into the new consolidated facility in Montgomery County.	
Supporting Documentation: Project Pictures	
Recommended Action: For Information Only	



EXTERIOR



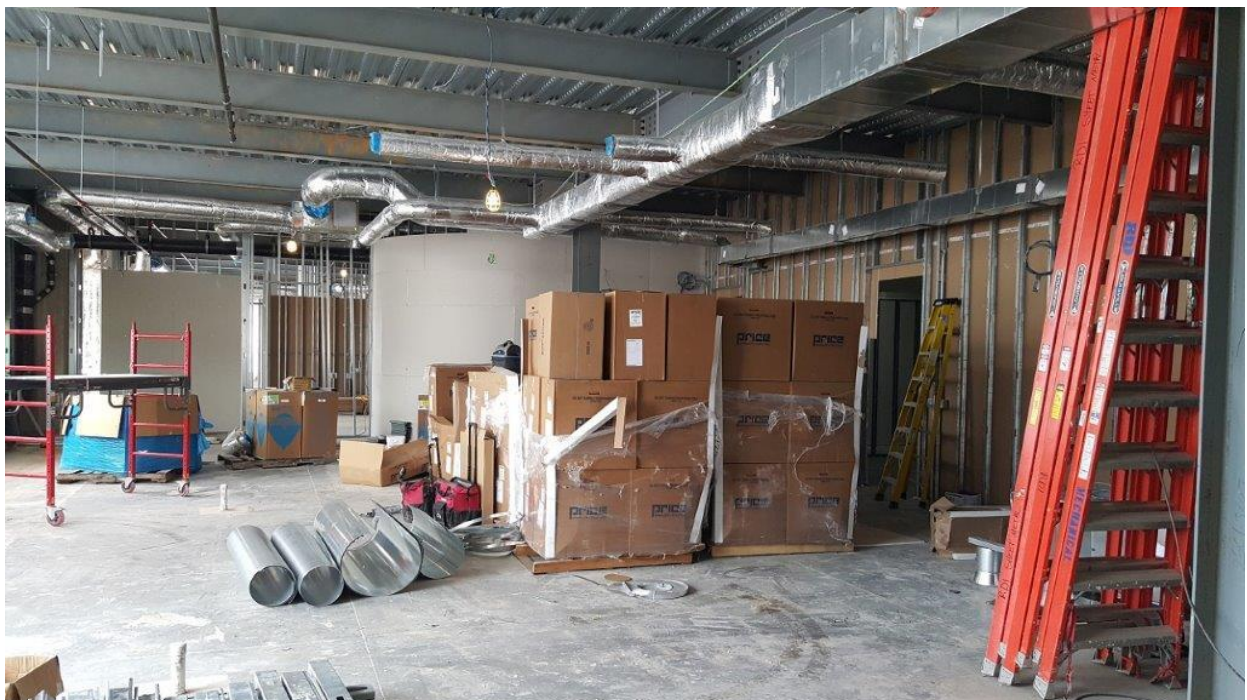


EXTERIOR





1ST FLOOR



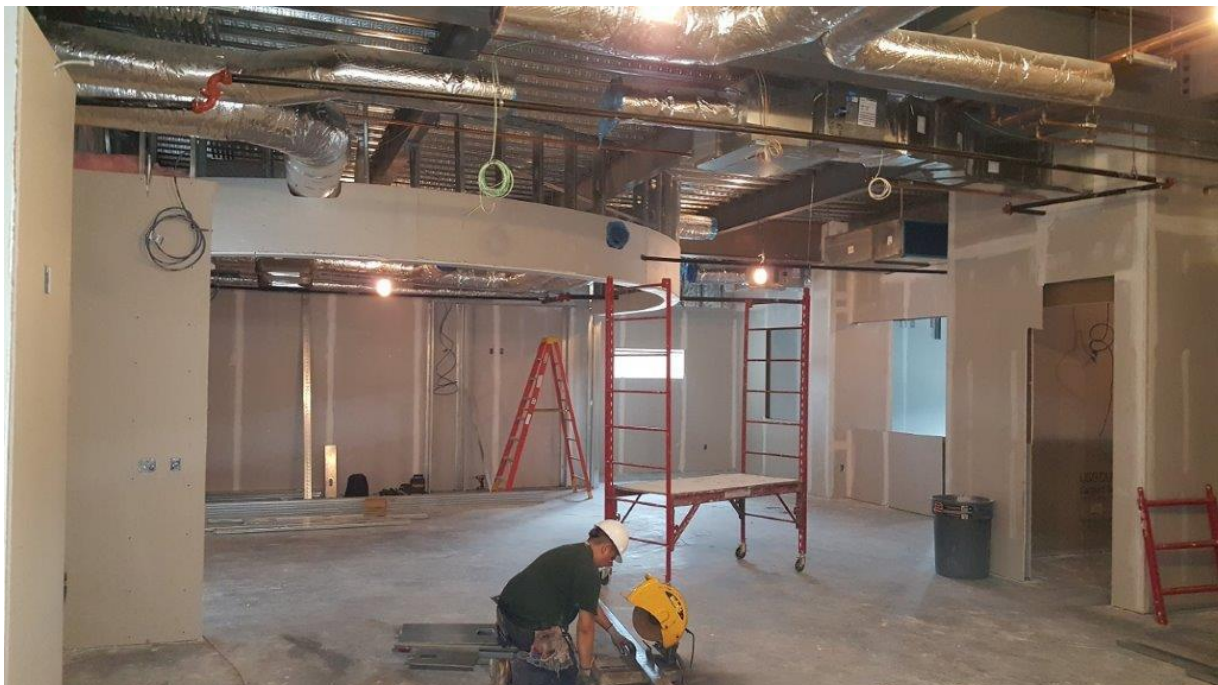


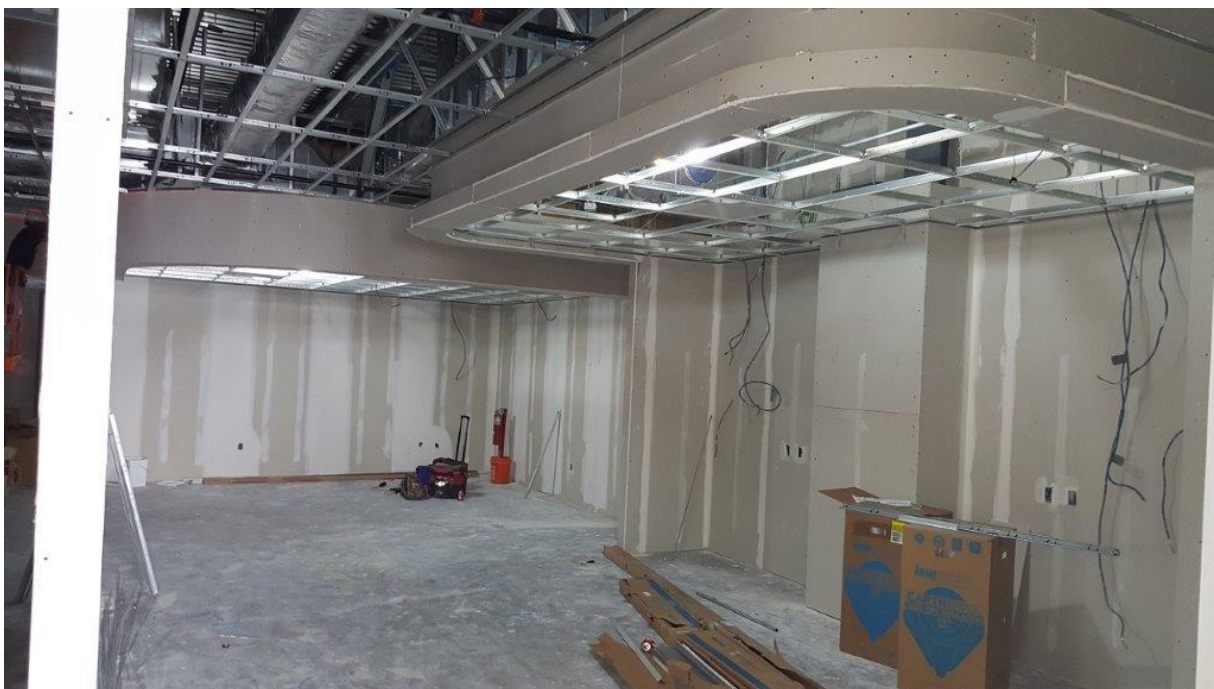
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2ND FLOOR





3RD FLOOR





BREAK ROOM

BOARD ROOM



UPCOMING MEETINGS

December 8th, 2016 – Board Meeting

- Life Skills Christmas Carolers
- Consumer Christmas Card Contest Awards
- Approve Minutes from October 27, 2016 Board Meeting
- Community Resources Report
- Consumer Services Report for October 2016
- Program Updates
- Personnel Report for October 2016
- Texas Council Risk Management Fund Claims Summary for October 2016
- Texas Council Quarterly Board Meeting Verbal Update
- Approve October 2016 Financial Statements
- Reappoint Independence Communities, Inc. Board of Directors
- Reappoint Montgomery Supported Housing, Inc. Board of Directors
- Reappoint Cleveland Supported Housing, Inc. Board of Directors
- Board of Trustees Unit Financial Statement for October 2016
- Building Consolidation Update
- Cleveland Supported Housing, Inc. Update
- Other Business Committee Issues

Merry Christmas & Happy New Year!

January 26th, 2017 – Board Meeting

- Approve Minutes from December 8, 2016 Board Meeting
- From the Heart Presentation
- Community Resources Report
- Consumer Services Reports for November & December 2016
- Program Updates
- FY 2017 Goals & Objectives Progress Report
- 1st Quarter FY 2017 Corporate Compliance & Quality Management Report
- 2nd Quarter FY 2017 Corporate Compliance Training
- Medicaid 1115 Transformation Waiver Project Status Report
- Personnel Reports for November & December 2016
- Texas Council Risk Management Fund Claims Summary as of December 2016
- Approve November & December 2016 Financial Statements
- Approve FY 2016 Independent Financial Audit
- 1st Quarter FY 2017 Investment Report
- Board of Trustees Unit Financial Statements for November & December 2016
- Building Consolidation Update
- Other Business Committee Issues