

# Tri-County Behavioral Healthcare Board of Trustees Meeting

January 27, 2022



Notice is hereby given that a regular meeting of the Board of Trustees of Tri-County Behavioral Healthcare will be held on Thursday, January 27, 2022. The Business Committee will convene at 9:00 a.m., and the Program Committee will convene at 9:30 a.m. In compliance with Section 551.127 of the Texas Open Meetings Act, Tri-County Behavioral Healthcare, as a governmental entity that serves three Texas Counties, will hold the January 27th meeting via tele video call at 10:00 a.m. The Chair of the Board of Trustees will be at the Liberty Service Center at 2000 Panther Lane, Liberty, TX 77575 and will be residing over the meeting from this location. This location will be open to the public who wish to attend the Board meeting in person. Individuals may also join the meeting via the video link below:

<https://call.lifesizecloud.com/10663849>

[www.tcbhc.org/board-documents/](http://www.tcbhc.org/board-documents/)  
January 2022 Board Packet

In compliance with the Americans with Disabilities Act, Tri-County Behavioral Healthcare will provide for reasonable accommodations for persons attending the Board Meeting. To better serve you, a request should be received with 48 hours prior to the meeting. Please contact Tri-County Behavioral Healthcare at 936-521-6119.

## **AGENDA**

- I. Organizational Items**
  - A. Chair Calls Meeting to Order
  - B. Public Comment
  - C. Quorum
  - D. Review & Act on Requests for Excused Absence
- II. Approve Minutes - October 28, 2021**
- III. Executive Director's Report - Evan Roberson**
  - A. Operational Updates
  - B. Funding Updates
  - C. Clinical Software RFP
  - D. Facility Updates
  - E. Individual Skills and Socialization
  - F. IDD Audit
  - G. 988
  - H. Vaccine Mandate Updates

**IV. Chief Financial Officer's Report - Millie McDuffey**

- A. Budget Revision
- B. Cost Account Methodology (CAM)
- C. FY 2021 HCS and MEI Cost Reports
- D. Directed Payment Program for Behavioral Health Services - (DPP-BHS)
- E. Public Health Care - Charity Care Pool - (PHP-CCP)
- F. Banking Request for Proposal - (RFP)
- G. Provider Relief Funds

**V. Program Committee**

Information Items

- A. Community Resources Report..... 8-12
- B. Consumer Services Reports for October, November & December 2021..... 13-16
- C. Program Updates..... 17-23
- D. FY 2022 Goals and Objectives Progress Report..... 24-27
- E. 1st Quarter FY 2022 Corporate Compliance and Quality Management Report..... 28-30
- F. 2nd Quarter FY 2022 Corporate Compliance Training..... 31-32
- G. Medicaid 1115 Transformation Waiver Project Status Update..... 33-35

**VI. Executive Committee**

Information Items

- A. Personnel Reports for October through December 2021..... 36-38
- B. Texas Council Risk Management Fund Claims Summary as of December 2021..... 39-40
- C. Texas Council Quarterly Board Meeting Update..... 41

**VII. Business Committee**

Action Items

- A. Approve October 2021 Financial Statements..... 42-54
- B. Approve November 2021 Financial Statements..... 55-68
- C. Approve December 2021 Financial Statements..... 69-83
- D. Approve FY 2021 Independent Financial Audit..... 84
- E. Ratify HHSC Contract No. HHS001108400037, Amd #1, COVID-19 Supplemental Grant Program..... 85
- F. Ratify FY 2022 Lifetime Homecare Services Contract..... 86
- G. Ratify HHSC Contact No. HHS000994900001, Amd #1, IDD Authority Services..... 87
- H. Reappoint Independence Communities, Inc. Board of Directors..... 88
- I. Reappoint Montgomery Supported Housing, Inc. Board of Directors..... 89
- J. Reappoint Cleveland Supported Housing, Inc. Board of Directors..... 90

Information Items

- K. 1st Quarter FY 2022 Investment Report..... 91-95
- L. Board of Trustees Unit Financial Statements for October, November & December 2021..... 96-99
- M. HUD 811 Updates (Cleveland, Montgomery and Huntsville)..... 100-102
- N. Tri-County Consumer Foundation Board Update..... 103

**VIII. Executive Session in compliance with Texas Government Code Section 551.071, Consultation with Attorney.**

Posted By:

Ava Green  
Executive Assistant

# Tri-County Behavioral Healthcare

P.O. Box 3067  
Conroe, TX 77305

## BOARD OF TRUSTEES MEETING

October 28, 2021

### Board Members Present:

Patti Atkins  
Gail Page  
Sharon Walker  
Morris Johnson  
Richard Duren  
Tracy Sorensen

### Board Members Absent:

Janet Qureshi  
Jacob Paschal

### Tri-County Staff Present:

Evan Roberson, Executive Director  
Millie McDuffey, Chief Financial Officer  
Tanya Bryant, Director of Quality Management and Support  
Kathy Foster, Director of IDD Provider Services  
Kelly Shropshire, Directory of IDD Authority Services  
Kenneth Barfield, Director of Management Information Systems  
Sara Bradfield, Director of Adult Behavioral Health  
Melissa Zemencsik, Director of Child & Youth Behavioral Health  
Catherine Prestigiovanni, Director of Strategic Development  
Darius Tuminas, Controller  
Tabatha Abbott, Cost Accountant  
Ava Green, Executive Assistant  
Robyn Gould, Medicaid Transformation Waiver Team Lead  
Sherry Burkhard, Mosaics of Mercy  
Brenda LaVar, Wellpath

### Legal Counsel Present:

Mary Lou Flynn-Dupart, Legal Counsel

### Sheriff Representatives Present:

Lt. Keith DeHart, Walker County Sheriff's Office

**Call to Order:** Board Chair, Patti Atkins, called the meeting to order at 10:13 a.m.

**Public Comment:** There was no public comment.

**Quorum:** There being six (6) Board Members present, a quorum was established.

Cont.



**Resolution #10-28-01**

**Motion Made By:** Gail Page

**Seconded By:** Sharon Walker, with affirmative votes by Morris Johnson, Tracy Sorensen and Richard Duren that it be...

**Resolved:**

That the Board excuse the absence of Janet Qureshi and Jacob Paschal.

**Resolution #10-28-02**

**Motion Made By:** Morris Johnson

**Seconded By:** Gail Page, with affirmative votes by Sharon Walker, Tracy Sorensen and Richard Duren that it be...

**Resolved:**

That the Board approve the minutes of the September 23, 2021 meeting of the Board of Trustees.

**Program Presentation:** Patti Atkins, Board Chair, suspended the Agenda at 10:16 a.m. to move to Business Committee Information Item VIII-F, 401(a) Retirement Plan Account Review. Scott Hayes and Mannix Smith, from ISC Group Advisors, presented the report.

**Executive Director's Report:**

The Executive Director's report is on file.

- Operational Updates
- Legislative Updates
- County ARPA Implementation

**Chief Financial Officer's Report:**

The Chief Financial Officer's report is on file.

- FY 2021 Audit
- FY 2022 Budget Revision
- Provider Relief Funds

**PROGRAM COMMITTEE:**

The Community Resources Report was reviewed for information purposes only.

The Consumer Services Report for September 2021 was reviewed for information purposes only.

The Program Updates Report was reviewed for information purposes only.

**EXECUTIVE COMMITTEE:**

The Personnel Report for September 2021 was reviewed for information purposes only.

The Texas Council Risk Management Fund Claims Summary for September 2021 was reviewed for information purposes only.

Board of Trustees Reappointment and Oath of Office were presented for information purposes only.

**BUSINESS COMMITTEE:**

**Resolution #10-28-03**

**Motion Made By:** Morris Johnson

**Seconded By:** Richard Duren, with affirmative votes by Sharon Walker, Tracy Sorensen and Gail Page that it be...

**Resolved:**

That the Board approve the September 2021 Financial Statements.

**Resolution #10-28-04**

**Motion Made By:** Morris Johnson

**Seconded By:** Richard Duren, with affirmative votes by Sharon Walker, Tracy Sorensen and Gail Page that it be...

**Resolved:**

That the Board approve the contract with Mosaics of Mercy in the amount of \$650,000 for American Rescue Plan Act Mental Health Referral and Navigation Services with consultation from Jackson-Walker LLP.

**Resolution #10-28-05**

**Motion Made By:** Morris Johnson

**Seconded By:** Richard Duren, with affirmative votes by Gail Page, Tracy Sorensen and Sharon Walker that it be...

**Resolved:**

That the Board approve the purchase of 23750 FM 1314, Porter, TX and authorize the Executive Director to execute all necessary documents with consultation from Jackson-Walker LLP.

**Resolution #10-28-06**

**Motion Made By:** Morris Johnson

**Seconded By:** Richard Duren, with affirmative votes by Gail Page, Tracy Sorensen and Sharon Walker that it be...

**Resolved:**

That the Board approve not to exceed construction costs at 23750 FM 1314, Porter, TX in the amount of \$274,000.

**Resolution #10-28-07**

**Motion Made By:** Morris Johnson

**Seconded By:** Richard Duren, with affirmative votes by Gail Page, Tracy Sorensen and Sharon Walker that it be...

**Resolved:**

That the Board approve the purchase of furniture for 23750 FM 1314, Porter, TX in the amount of \$127,000.

HUD 811 Updates (Cleveland, Montgomery and Huntsville) were reviewed for information purposes only.

Tri-County Consumer Foundation Board Update was reviewed for information purposes only.

The Board of Trustees Unit Financial Statement for September 2021 was reviewed for information purposes only.

**The regular meeting of the Board of Trustees adjourned at 11:42 a.m.**

**Adjournment:**

**Attest:**

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Patti Atkins  
Chair

Date

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Jacob Paschal  
Secretary

Date

|   |  |
|---|--|
| <b>Agenda Item:</b> Community Resources Report<br><br><b>Committee:</b> Program | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None                                      |  |
| <b>Supporting Documentation:</b><br><br>Community Resources Report              |  |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>                   |  |

# Community Resources Report

## October 29, 2021 – January 27, 2022

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### Volunteer Hours:

| Location     | October       | November      | December      |
|--------------|---------------|---------------|---------------|
| Conroe       | 193.82        | 177.71        | 190           |
| Cleveland    | 0             | 0             | 0             |
| Liberty      | 44            | 40            | 40.5          |
| Huntsville   | 5.5           | 2             | 0             |
| <b>Total</b> | <b>243.32</b> | <b>219.71</b> | <b>230.50</b> |

### COMMUNITY ACTIVITIES:

|          |  |               |
|----------|--|---------------|
| 10/29/21 | Walker County Juvenile Probation Staffing Meeting  | Huntsville    |
| 10/29/21 | Milam Elementary School Trunk or Treat   | Montgomery    |
| 10/29/21 | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                      | Montgomery    |
| 11/1/21  | Montgomery County Homeless Coalition Board Meeting - Virtual                                   | Conroe        |
| 11/1/21  | Social Determinants of Health Meeting  | The Woodlands |
| 11/3/21  | Covid-19 Senior Center of Walker County Presentation   | Huntsville    |
| 11/3/21  | Military Veteran Peer Network Mentor Jail Meeting – Virtual                                    | Conroe        |
| 11/3/21  | Conroe Noon Lions Club Luncheon  | Conroe        |
| 11/3/21  | HHSC Outpatient Competency Restoration Program (OCR) Rule Workgroup Meeting – Virtual          | Conroe        |
| 11/4/21  | Magnolia Chamber of Commerce Networking Afternoon  | Magnolia      |
| 11/4/21  | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                      | Montgomery    |
| 11/4/21  | Behavioral Health Suicide Prevention Task Force Meeting – Trauma and Grief Workgroup - Virtual | Spring        |
| 11/5/21  | Veterans Stand Down Committee Meeting  | Liberty       |
| 11/5/21  | East Texas Transitional Conference for IDD – Virtual   | Conroe        |
| 11/6/21  | Del Webb Veterans Group Meeting  | Conroe        |
| 11/6/21  | Out of the Darkness Greater Houston Area Suicide Awareness Walk                                | The Woodlands |
| 11/6/21  | Society of Samaritans Carefest Event   | Montgomery    |
| 11/8/21  | Basic Certification for Veteran Peer Support   | Liberty       |
| 11/8/21  | Better Living for Texans Committee Meeting   | Conroe        |
| 11/9/21  | Assisting Victims Resist and Escape Human Trafficking (AVERT) – Virtual                        | Conroe        |
| 11/10/21 | Conroe Chamber of Commerce Morning Mingle  | Conroe        |
| 11/10/21 | Montgomery County Veterans Treatment Court – Virtual   | Conroe        |
| 11/10/21 | Conroe Noon Lions Club Luncheon  | Conroe        |
| 11/10/21 | Montgomery County Child Fatality Review Team – Virtual   | Conroe        |
| 11/11/21 | Veteran Memorial Celebration   | Conroe        |



|          |  |               |
|----------|--|---------------|
| 11/11/21 | Veterans Day Gala  | Huntsville    |
| 11/11/21 | Tarkington ISD School Health Advisory Committee Meeting – Virtual                                | Tarkington    |
| 11/12/21 | Vietnam Veteran Appreciation Celebration   | Liberty       |
| 11/12/21 | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                        | Montgomery    |
| 11/13/21 | Salvation Army Health Clinic   | Conroe        |
| 11/15/21 | Suicide Prevention ASK+ Training   | Huntsville    |
| 11/16/21 | Behavioral Healthcare Suicide Prevention Taskforce Military Connected Subgroup Meeting – Virtual | Conroe        |
| 11/16/21 | Montgomery County Community Resource Coordination Group Meeting – Virtual                        | Conroe        |
| 11/17/21 | Montgomery County Veterans Treatment Court – Virtual   | Conroe        |
| 11/17/21 | Conroe Noon Lions Club Luncheon  | Conroe        |
| 11/17/21 | Willis ISD Collaborative Meeting – Virtual   | Willis        |
| 11/18/21 | Montgomery County Homeless Coalition Community Meeting   | Conroe        |
| 11/19/21 | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                        | Montgomery    |
| 11/22/21 | Basic Certification Training for Veteran Peer Support – Virtual                                  | Conroe        |
| 11/22/21 | Family and Community Health Advisory Board Meeting   | Liberty       |
| 11/23/21 | Walker County Community Resource Coordination Group Meeting – Virtual                            | Huntsville    |
| 11/24/21 | Conroe Noon Lions Club Luncheon  | Conroe        |
| 11/24/21 | Montgomery County Veterans Treatment Court – Virtual   | Conroe        |
| 11/24/21 | Montgomery County Jail Military Veteran Mentor Meeting-Virtual                                   | Conroe        |
| 11/25/21 | Camp Valor Peer Group Meeting  | Conroe        |
| 11/30/21 | Walker County Community Resource Coordination Group Meeting – Virtual                            | Huntsville    |
| 12/1/21  | University of St. Thomas Max Planning Meeting-Veteran Entrepreneur Bootcamp                      | Conroe        |
| 12/2/21  | Behavioral Health Suicide Prevention Task Force Meeting – Trauma and Grief Workgroup – Virtual   | Spring        |
| 12/3/21  | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                        | Montgomery    |
| 12/3/21  | Basic Certification Training for Veteran Peer Support  | Liberty       |
| 12/3/21  | Texas Securing Access to Lethal Means-Veterans Leader Workshop                                   | Conroe        |
| 12/4/21  | HEARTS Museum Veterans Breakfast   | Huntsville    |
| 12/8/21  | Fatherhood Summit  | The Woodlands |
| 12/8/21  | Liberty ISD Student Health Advisory Committee Meeting  | Liberty       |
| 12/8/21  | Liberty County Community Resource Coordination Group Meeting – Virtual                           | Liberty       |
| 12/10/21 | Montgomery County Veterans Treatment Court Emergency Fund Meeting                                | Conroe        |

|          |  |            |
|----------|--|------------|
| 12/14/21 | Veteran Benefit Screening and Enrollment   | Cleveland  |
| 12/14/21 | Montgomery County Community Resource Coordination Group Meeting – Virtual                      | Conroe     |
| 12/15/21 | Covid-19 Presentation at Parkview Place Senior Apartments                                      | Walker     |
| 12/15/21 | Texas Veterans Commission Veterans Mental Health Conference Day 3-Virtual                      | Conroe     |
| 12/15/21 | Tarkington ISD School Health Advisory Committee Meeting – Virtual                              | Tarkington |
| 12/16/21 | Weekly Groups at Splendora ISD Disciplinary Alternative Education Program                      | Montgomery |
| 12/17/21 | Cleveland ISD Diagnostician Meeting – Virtual  | Cleveland  |
| 12/18/21 | Bonterra Veteran Group Adopt a Vet Christmas Shopping and Meeting                              | Conroe     |
| 12/29/21 | Collaboration Meeting with MET Inc Veteran Parent Workshop                                     | Cleveland  |
| 1/6/22   | Behavioral Health Suicide Prevention Task Force Meeting – Trauma and Grief Workgroup - Virtual | Spring     |
| 1/7/22   | Liberty County Veterans Treatment Court First Graduation Meeting                               | Liberty    |
| 1/7/22   | Liberty County Veteran Roundup Committee Meeting   | Liberty    |
| 1/10/22  | University of St. Thomas Max Planning Meeting-Veteran Entrepreneur Bootcamp - Virtual          | Conroe     |
| 1/11/22  | Del Webb Veterans Group Monthly Meeting  | Conroe     |
| 1/12/22  | Montgomery County Veterans Treatment Court - Virtual   | Conroe     |
| 1/13/22  | Behavioral Health Suicide Prevention Task Force – Major Mental Health Workgroup – Virtual      | Conroe     |
| 1/14/22  | Montgomery County Hospital District – Community Need for Mobile Clinic Meeting                 | Conroe     |
| 1/15/22  | Liberty County Veterans Treatment Court First Graduation Celebration                           | Liberty    |
| 1/18/22  | Better Living for Texans Committee Meeting   | Conroe     |
| 1/18/22  | Montgomery County Community Resource Coordination Group Meeting – Virtual                      | Conroe     |
| 1/20/22  | Drive-Thru Resource Fair Kingwood Pines  | New Caney  |
| 1/25/22  | Walker County Community Resource Coordination Group Meeting – Virtual                          | Huntsville |
| 1/26/22  | Montgomery County Child Fatality Review Team – Virtual   | Conroe     |
| 1/26/22  | Liberty County Community Resource Coordination Group Meeting – Virtual                         | Liberty    |
| 1/27/22  | Starting the Year Off Right-City of Cleveland Resource Fair                                    | Cleveland  |
| 1/27/22  | Creighton Elementary Parent Night  | Conroe     |

**UPCOMING ACTIVITIES:**

|         |   |            |
|---------|---|------------|
| 1/28/22 | Walker County Juvenile Justice Staffing Meeting   | Huntsville |
| 2/1/22  | Liberty/Dayton Chamber of Commerce Meeting  | Liberty    |
| 2/3/22  | Cleveland Chamber of Commerce Luncheon  | Cleveland  |
| 2/3/22  | Behavioral Health Suicide Prevention Task Force Meeting – Trauma and Grief Workgroup - Virtual        | Spring     |
| 2/5/22  | Liberty County Veterans Breakfast   | Cleveland  |
| 2/7/22  | Veteran Mentor Training – Suicide Prevention  | Liberty    |
| 2/8/22  | Huntsville ISD Student Health Advisory Committee Meeting – Virtual                                    | Huntsville |
| 2/8/22  | Family and Community Coalition of Montgomery County – Virtual   | Conroe     |
| 2/9/22  | Montgomery County Veterans Treatment Court – Virtual  | Conroe     |
| 2/10/22 | San Jacinto Elementary Community Resource Event   | Conroe     |
| 2/12/22 | Veteran Wellness Workshop – “Visualizing Your Goals”  | Conroe     |
| 2/15/22 | Montgomery County Community Resource Coordination Group Meeting – Virtual                             | Conroe     |
| 2/17/22 | Mental Health First Aid – Veterans  | Liberty    |
| 2/18/22 | Behavioral Health Care Suicide Prevention Task Force – Military Connected Quarterly Meeting – Virtual | Conroe     |
| 2/18/22 | Military Veteran Peer Network Quarterly Meeting   | Conroe     |
| 2/23/22 | Montgomery County Veterans Treatment Court – Virtual  | Conroe     |
| 2/23/22 | Liberty County Community Resource Coordination Group Meeting – Virtual                                | Liberty    |
| 2/24/22 | Texas Veterans Commission Monthly Meeting   | Conroe     |
| 2/25/22 | Walker County Juvenile Justice Staffing   | Huntsville |

|  |  |
|--|--|
| <b>Agenda Item:</b> Consumer Services Reports for October, November & December 2021<br><br><b>Committee:</b> Program | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None   |  |
| <b>Supporting Documentation:</b><br><br>Consumer Services Reports for October, November & December 2021              |  |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>  |  |

## Consumer Services Report October 2021

| Consumer Services  | Montgomery County | Cleveland  | Liberty    | Walker County | Total       |
|--|-------------------|------------|------------|---------------|-------------|
| <b>Crisis Services, MH Adults/Children</b>               |                   |            |            |               |             |
| Persons Screened, Intakes, Other Crisis Services         | 779               | 62         | 25         | 70            | 936         |
| Transitional Services (LOC 5)                            | 0                 | 0          | 0          | 0             | 0           |
| Psychiatric Emergency Treatment Center (PETC) Served     | 29                | 4          | 3          | 5             | 41          |
| Psychiatric Emergency Treatment Center (PETC) Bed Days   | 179               | 25         | 8          | 13            | 225         |
| Adult Contract Hospital Admissions                       | 47                | 4          | 2          | 1             | 54          |
| Child and Youth Contract Hospital Admissions             | 11                | 1          | 0          | 2             | 14          |
| Total State Hospital Admissions                          | 0                 | 0          | 0          | 0             | 0           |
| <b>Routine Services, MH Adults/Children</b>              |                   |            |            |               |             |
| Adult Service Packages (LOC 1-4, FEP)                    | 1416              | 140        | 108        | 114           | 1778        |
| Adult Medication Services                                | 1016              | 92         | 74         | 125           | 1307        |
| Child Service Packages (LOC 1-4, YC, YES, TAY, RTC, FEP) | 675               | 68         | 14         | 56            | 813         |
| Child Medication Services                                | 275               | 28         | 9          | 28            | 340         |
| TCOOMMI (Adult Only)                                     | 106               | 14         | 10         | 11            | 141         |
| Adult Jail Diversions                                    | 0                 | 0          | 0          | 0             | 0           |
| <b>Persons Served by Program, IDD</b>                    |                   |            |            |               |             |
| Number of New Enrollments for IDD Services               | 5                 | 1          | 0          | 0             | 6           |
| Service Coordination                                     | 728               | 37         | 45         | 69            | 879         |
| <b>Persons Enrolled in Programs, IDD</b>                 |                   |            |            |               |             |
| Center Waiver Services (HCS, Supervised Living)          | 23                | 3          | 15         | 17            | 58          |
| <b>Substance Abuse Services</b>                          |                   |            |            |               |             |
| Children and Youth Prevention Services                   | 886               | 0          | 21         | 14            | 921         |
| Youth Substance Abuse Treatment Services/COPSD           | 17                | 0          | 0          | 0             | 17          |
| Adult Substance Abuse Treatment Services/COPSD           | 44                | 2          | 0          | 3             | 49          |
| <b>Waiting/Interest Lists as of Month End</b>            |                   |            |            |               |             |
| Home and Community Based Services Interest List          | 1702              | 131        | 153        | 196           | 2182        |
| <b>SAMHSA Grant Served by County</b>                     |                   |            |            |               |             |
| SAMHSA CCBHC Served                                      | 0                 | 0          | 0          | 0             | 0           |
| SAMHSA CMHC Served                                       | 0                 | 0          | 0          | 0             | 0           |
| <b>October Served by County</b>                          |                   |            |            |               |             |
| Adult Mental Health Services                             | 1843              | 172        | 119        | 225           | 2359        |
| Child Mental Health Services                             | 990               | 92         | 22         | 80            | 1184        |
| Intellectual and Developmental Disabilities Services     | 808               | 47         | 61         | 81            | 997         |
| <b>Total Served by County</b>                            | <b>3641</b>       | <b>311</b> | <b>202</b> | <b>386</b>    | <b>4540</b> |
| <b>September Served by County</b>                        |                   |            |            |               |             |
| Adult Mental Health Services                             | 1803              | 177        | 103        | 216           | 2299        |
| Child Mental Health Services                             | 1018              | 89         | 30         | 71            | 1208        |
| Intellectual and Developmental Disabilities Services     | 823               | 45         | 60         | 80            | 1008        |
| <b>Total Served by County</b>                            | <b>3644</b>       | <b>311</b> | <b>193</b> | <b>367</b>    | <b>4515</b> |



## Consumer Services Report November 2021

| Consumer Services  | Montgomery County | Cleveland  | Liberty    | Walker County | Total       |
|--|-------------------|------------|------------|---------------|-------------|
| <b>Crisis Services, MH Adults/Children</b>               |                   |            |            |               |             |
| Persons Screened, Intakes, Other Crisis Services         | 732               | 63         | 44         | 81            | <b>920</b>  |
| Transitional Services (LOC 5)                            | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Psychiatric Emergency Treatment Center (PETC) Served     | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Psychiatric Emergency Treatment Center (PETC) Bed Days   | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Adult Contract Hospital Admissions                       | 59                | 2          | 4          | 6             | <b>71</b>   |
| Child and Youth Contract Hospital Admissions             | 5                 | 2          | 0          | 3             | <b>10</b>   |
| Total State Hospital Admissions                          | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>Routine Services, MH Adults/Children</b>              |                   |            |            |               |             |
| Adult Service Packages (LOC 1-4, FEP)                    | 1341              | 141        | 102        | 114           | <b>1698</b> |
| Adult Medication Services                                | 1018              | 94         | 63         | 115           | <b>1290</b> |
| Child Service Packages (LOC 1-4, YC, YES, TAY, RTC, FEP) | 657               | 74         | 15         | 60            | <b>806</b>  |
| Child Medication Services                                | 265               | 23         | 9          | 15            | <b>312</b>  |
| TCOOMMI (Adult Only)                                     | 99                | 13         | 12         | 13            | <b>137</b>  |
| Adult Jail Diversions                                    | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>Persons Served by Program, IDD</b>                    |                   |            |            |               |             |
| Number of New Enrollments for IDD Services               | 4                 | 0          | 0          | 0             | <b>4</b>    |
| Service Coordination                                     | 679               | 36         | 37         | 66            | <b>818</b>  |
| <b>Persons Enrolled in Programs, IDD</b>                 |                   |            |            |               |             |
| Center Waiver Services (HCS, Supervised Living)          | 23                | 2          | 15         | 17            | <b>57</b>   |
| <b>Substance Abuse Services</b>                          |                   |            |            |               |             |
| Children and Youth Prevention Services                   | 886               | 0          | 21         | 14            | <b>921</b>  |
| Youth Substance Abuse Treatment Services/COPSD           | 18                | 0          | 0          | 0             | <b>18</b>   |
| Adult Substance Abuse Treatment Services/COPSD           | 42                | 2          | 0          | 4             | <b>48</b>   |
| <b>Waiting/Interest Lists as of Month End</b>            |                   |            |            |               |             |
| Home and Community Based Services Interest List          | 1697              | 131        | 152        | 196           | <b>2176</b> |
| <b>SAMHSA Grant Served by County</b>                     |                   |            |            |               |             |
| SAMHSA CCBHC Served                                      | 0                 | 0          | 0          | 0             | <b>0</b>    |
| SAMHSA CMHC Served                                       | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>November Served by County</b>                         |                   |            |            |               |             |
| Adult Mental Health Services                             | 1777              | 169        | 124        | 223           | <b>2293</b> |
| Child Mental Health Services                             | 959               | 102        | 28         | 78            | <b>1167</b> |
| Intellectual and Developmental Disabilities Services     | 794               | 46         | 53         | 76            | <b>969</b>  |
| <b>Total Served by County</b>                            | <b>3530</b>       | <b>317</b> | <b>205</b> | <b>377</b>    | <b>4429</b> |
| <b>October Served by County</b>                          |                   |            |            |               |             |
| Adult Mental Health Services                             | 1843              | 172        | 119        | 225           | <b>2359</b> |
| Child Mental Health Services                             | 990               | 92         | 22         | 80            | <b>1184</b> |
| Intellectual and Developmental Disabilities Services     | 808               | 47         | 61         | 81            | <b>997</b>  |
| <b>Total Served by County</b>                            | <b>3641</b>       | <b>311</b> | <b>202</b> | <b>386</b>    | <b>4540</b> |

## Consumer Services Report December 2021

| Consumer Services  | Montgomery County | Cleveland  | Liberty    | Walker County | Total       |
|--|-------------------|------------|------------|---------------|-------------|
| <b>Crisis Services, MH Adults/Children</b>               |                   |            |            |               |             |
| Persons Screened, Intakes, Other Crisis Services         | 656               | 49         | 37         | 62            | <b>804</b>  |
| Transitional Services (LOC 5)                            | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Psychiatric Emergency Treatment Center (PETC) Served     | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Psychiatric Emergency Treatment Center (PETC) Bed Days   | 0                 | 0          | 0          | 0             | <b>0</b>    |
| Adult Contract Hospital Admissions                       | 55                | 3          | 3          | 4             | <b>65</b>   |
| Child and Youth Contract Hospital Admissions             | 7                 | 4          | 0          | 1             | <b>12</b>   |
| Total State Hospital Admissions                          | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>Routine Services, MH Adults/Children</b>              |                   |            |            |               |             |
| Adult Service Packages (LOC 1-4, FEP)                    | 1120              | 137        | 82         | 71            | <b>1410</b> |
| Adult Medication Services                                | 859               | 85         | 46         | 108           | <b>1098</b> |
| Child Service Packages (LOC 1-4, YC, YES, TAY, RTC, FEP) | 507               | 64         | 22         | 61            | <b>654</b>  |
| Child Medication Services                                | 224               | 31         | 6          | 23            | <b>284</b>  |
| TCOOMMI (Adult Only)                                     | 96                | 12         | 21         | 10            | <b>139</b>  |
| Adult Jail Diversions                                    | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>Persons Served by Program, IDD</b>                    |                   |            |            |               |             |
| Number of New Enrollments for IDD Services               | 4                 | 0          | 0          | 1             | <b>5</b>    |
| Service Coordination                                     | 623               | 32         | 37         | 63            | <b>755</b>  |
| <b>Persons Enrolled in Programs, IDD</b>                 |                   |            |            |               |             |
| Center Waiver Services (HCS, Supervised Living)          | 23                | 2          | 15         | 17            | <b>57</b>   |
| <b>Substance Abuse Services</b>                          |                   |            |            |               |             |
| Children and Youth Prevention Services                   | 886               | 0          | 21         | 14            | <b>921</b>  |
| Youth Substance Abuse Treatment Services/COPSD           | 15                | 0          | 0          | 0             | <b>15</b>   |
| Adult Substance Abuse Treatment Services/COPSD           | 32                | 3          | 0          | 1             | <b>36</b>   |
| <b>Waiting/Interest Lists as of Month End</b>            |                   |            |            |               |             |
| Home and Community Based Services Interest List          | 1695              | 130        | 152        | 196           | <b>2173</b> |
| <b>SAMHSA Grant Served by County</b>                     |                   |            |            |               |             |
| SAMHSA CCBHC Served                                      | 27                | 0          | 0          | 0             | <b>27</b>   |
| SAMHSA CMHC Served                                       | 0                 | 0          | 0          | 0             | <b>0</b>    |
| <b>December Served by County</b>                         |                   |            |            |               |             |
| Adult Mental Health Services                             | 1532              | 147        | 97         | 196           | <b>1972</b> |
| Child Mental Health Services                             | 781               | 90         | 29         | 78            | <b>978</b>  |
| Intellectual and Developmental Disabilities Services     | 718               | 41         | 53         | 74            | <b>886</b>  |
| <b>Total Served by County</b>                            | <b>3031</b>       | <b>278</b> | <b>179</b> | <b>348</b>    | <b>3836</b> |
| <b>November Served by County</b>                         |                   |            |            |               |             |
| Adult Mental Health Services                             | 1777              | 169        | 124        | 223           | <b>2293</b> |
| Child Mental Health Services                             | 959               | 102        | 28         | 78            | <b>1167</b> |
| Intellectual and Developmental Disabilities Services     | 794               | 46         | 53         | 76            | <b>969</b>  |
| <b>Total Served by County</b>                            | <b>3530</b>       | <b>317</b> | <b>205</b> | <b>377</b>    | <b>4429</b> |

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|--|--|
| <b>Agenda Item:</b> Program Updates<br><br><b>Committee:</b> Program | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None                           |  |
| <b>Supporting Documentation:</b><br><br>Program Updates              |  |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>        |  |

# **Program Updates**

## **October 28, 2021 – January 27, 2022**

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### **Crisis Services**

1. The CSU has been temporarily closed since November 1, 2021. All the remaining CSU nursing staff, including RNs, LVNs, and PNAs, were relocated to either outpatient clinics or remained at the PETC assisting with clients presenting for crisis assessments and those discharging from contract hospitals.
2. We continue to see increasing numbers of children and adolescents presenting for crisis services. We are seeing more suicide attempts by youth this year than in previous years and more children in this area seeking crisis services who are placed in group homes or who have moved in with relatives in our area.
3. We have also had a continued increase in involuntary clients brought to the PETC for assessment by law enforcement officers from all three counties. Of the individuals who presented to the PETC in December, 35% were brought by law enforcement as involuntary. In January thus far, it has been 30%.
4. We have had two recent incidents involving clients assaulting police officers at the PETC. We continue to work with law enforcement to minimize the violence and aggression at our facility to keep both staff and our clients safe.
5. Staff shortages are affecting our community partners, including the area medical hospitals and the private psychiatric hospitals. Clients are having to wait longer periods of time for acceptance to an inpatient facility. At times, entire departments of our contract hospitals are out with COVID, placing additional stress on an already burdened system.
6. Since the close of the CSU, we are experiencing an increase in the number of bed days for private psychiatric hospital placement. While we are not admitting more clients when compared to the same time period last year, they are staying in private contract beds longer. We are refocusing efforts on interventions that can prevent contract bed admissions.
7. We continue to have a nighttime MCOT position that has been vacant for over four months with no viable applicants, but all other MCOT and CAS positions are filled. Currently, staff are expressing interest in transferring to alternate positions at the PETC or within Tri-County so we expect to see some vacancies soon.

### **MH Adult Services**

1. One of our Advanced Practicing Registered Nurses (APN) was injured in an accident over the Christmas break and will be out of the office for an extended time. We also have a psychiatrist position open and covering for both of these prescribers with current staff has been challenging. We are in contact with a telehealth company that may be able to provide coverage for the APN role in the next week or so.
2. We continue to see less medications being covered by Patient Assistance Programs. Our agency has been over six million dollars in PAP value in the past, but this year were only at 4.5 million dollars in volume. If our indigent patients cannot receive PAP medications, the Center is required to purchase appropriate medication for them.

3. In the Adult Outpatient Program, changes have been made to the routine counseling program, which has moved under the AOP umbrella, as well as hired a counselor to take over this caseload. With this change, the counseling program will now be included with the other routine outpatient levels of care, which will allow for more fluid transitions between treatment programs.
4. In quarter one, the AOP Routine Assessment team provided a screening for mental health services to 669 individuals, which is slightly higher than this time last year. Over the last few months, the team has noticed an increase in new depressive symptoms, restlessness, anger and irritability, and familial conflict, resulting in more reports of housing instability and suicidal ideation.
5. In the rural clinics, the teams are welcoming three new staff, including two support specialists at the front desk and a field-based clinician. Each of these positions plays a vital role in service provision in the clinics and are anticipated to promote a welcoming environment.

### **MH Child and Youth Services**

1. In order to ensure skills training is available to all of our clients despite staffing shortages, we are in the process of developing office-based groups in the evenings for clients who are not currently assigned to a field-based Mental Health Specialist. We are also reassigning clients to field-based staff so that clients with the highest needs and risk factors receive more services.
2. We have a great need for more bilingual Child and Youth Mental Health Specialists. We have lost many bilingual employees over the past year, creating obstacles in serving the many Spanish speaking families on our caseload. We are thankful for our Spanish Interpreter and our Bilingual Family Partner, who are both very busy helping to fill these gaps.
3. The Child and Youth intake team continues to be extremely busy. They work very well together and are making adjustments as they diligently work to accommodate new requests for services as timely as possible.

### **Criminal Justice Services**

1. The Jail Liaison continues to provide screening and continuity of care to ensure appropriate treatment is provided to address mental health needs. In November and December, the Jail Liaison provided screening and discharge planning with 274 individuals, facilitating connections to needed treatment.
2. The Outpatient Competency Restoration program is currently seeking a Program Coordinator and Legal Rehabilitative Skills Trainer.
3. The TCOOMMI program has observed an increase in the number of referrals received from parole, allowing the program to remain in compliance with contracted numbers served requirements. Probation referrals, which have been low throughout the pandemic, have increased in Liberty County.



## **Substance Use Disorder Services**

1. In September, the Adult Substance Use Disorder Treatment program introduced a Medication Assisted Treatment program as part of the substance use disorder treatment array. This short-term treatment option is available for individuals who need additional support to maintain abstinence from alcohol and/or tobacco and involves the use of FDA-approved medications, in addition to substance use counseling and behavioral interventions. Since opening, the program has steadily received referrals and is currently serving seven individuals.
2. Youth Substance Use Disorder treatment services is celebrating the highest successful completion rate in several years, with 66.67% of all individuals who are discharged completing all recommended treatment steps and achieving their recovery goals.
3. Referrals for both adult and youth programming are down, however this trend is in alignment with previous years and is anticipated to increase over the coming weeks.
4. The majority of the Prevention Team was quarantined again in January, delaying the start of new groups for the second half of the year. This delay, along with Spring Break and school testing schedules, creates an obstacle for completing these groups by the end of the school year. We are working with the curriculum developers and HHSC to offer a slightly abbreviated program to allow for successful completion.
5. The Prevention Team has been focused on creating ways to increase Prevention Presentations and Activities in our community for both youth and adults. They plan to include our own staff in activities related to behavioral health promotion as self-care and wellness is important for everyone, especially healthcare providers.
6. Five out of our six team members have now passed the certification exam to become Certified Prevention Specialists. It is very rare for a prevention program to have more than one CPS on board, and that person is usually the program manager. We are fortunate to have a very trained and competent prevention team.

## **IDD Services**

1. Great news, IDD Authority has begun receiving some applications for open positions again.
2. IDD Authority has begun monthly meetings with providers to offer updates and information.
3. IDD Services is preparing for transition to Texas Medicaid & Healthcare Partnership "TMHP" effective March 1, 2022. IDD Services has used the state CARE system for claim submission, submission and approval of plans, levels of need, etc. since at least 1987. This is a major transition for both the authority and the provider side. Training for this transition is scheduled in February.
4. IDD Provider Services continues to search for ways to attract applicants. We currently have almost half of our IDD direct care positions vacant. One group home has no filled positions as of January 16<sup>th</sup>. Salary appears to be the most significant barrier to filling positions or people are just not applying for jobs.
5. We continue to keep all Day Habilitation sites open. We are not yet operating at pre-COVID levels due to staff shortages and not yet using the vans to pick up participants.

6. IDD Provider Services has seen an increase in COVID cases and/or on monitoring protocol due to exposure with consumers, employees and contractors.

## **Support Services**

### **1. Quality Management (QM):**

- a. The Administrator of Quality Management has completed the Program Survey for Texas Home Living Service Coordination including a review of items from the Corrective Action Plan (CAP) initiated following the last Health and Human Services Commission (HHSC) Authority Audit. Findings were mostly positive and the program sample reviewed was found to be in compliance with the CAP.
- b. On November 17, 2021, staff were notified by HHSC of a CAP Review following our previous Substance Use Program Audit. Staff prepared and submitted requested documents over the next few weeks and received notification on December 16, 2021 that all elements of the CAP were in compliance and there were no findings during the review.
- c. On November 19, 2021, staff were notified by HHSC of a CAP Review following our previous Comprehensive Quality Management Audit. Staff prepared and submitted requested documents over the next few weeks and received notification on December 20, 2021 that all elements of the CAP were in compliance and there were no findings.
- d. Staff prepared and submitted 20 Charts to Superior with records dating back to January 2021.
- e. Staff prepared and submitted 14 charts to Amerigroup with records dating back to January 2020.
- f. Staff prepared and submitted one chart to Cigna HealthSpring with records dating back to January 2020.
- g. Staff prepared and submitted one chart to Aetna with records dating back to January 2020.

### **2. Utilization Management (UM):**

- a. The Quality Management Department currently has one vacancy for a Quality and Utilization Specialist and is in the process of conducting interviews as applications come in.
- b. Staff reviewed 10% of all Center discharges for October and November to ensure appropriateness and that proper notifications were provided. Follow up with staff and managers is provided as needed to ensure quality improvement where indicated.
- c. The Care Coordination Data Analyst, made possible through the new SAMHSA grant, has begun reviewing the risk stratification tool and making recommendations for follow up to the treatment team and care coordination team.

**3. Training:**

- a. The Clinical Trainer is now trained as a Train the Trainer in Psychological First Aid.
- b. The Training Department is currently working on improvements to our onboarding process to include new and improved checklists as well as maintaining copies of all on the job training to better assist supervisors during times of turnover.

**4. Veteran Services and Veterans Counseling/Crisis:**

- a. Veterans counseling services continues to grow as it remains very successful for veterans and their family members who are struggling with various mental health issues. The department is working with our grantor, University of Texas San Antonio, to add an additional therapist to assist with our waiting list. In addition, our team is also engaging in many community events in order to bring awareness to free counseling and case management services for veterans in the local community. We have initiated a new monthly program called Coffee with Counselors in which we present on current mental health trends, provide psychoeducation and offer suicide prevention tips for veteran peers.
- b. The Texans United for Free (TUFF) Foundation donated \$5,000 to the Veterans Program to assist homeless veterans with housing and basic needs. In addition, we are very excited to report that the Liberty County Veterans Treatment Court had its first graduation on January 11, 2022.

**5. Planning and Network Advisory Committee(s) (MH and IDD PNACs):**

- a. The MH PNAC met on November 3, 2021 and reviewed program services, Center updates and financial information. The committee participated in Annual Training and discussed current membership as we are currently seeking a new member at this time.
- b. The IDD PNAC met on December 1, 2021 and reviewed program services, performance outcomes and discussed Center updates and needs. The group discussed the current Board goal to hold three community events to educate persons about the IDD System of Care, Transition Plans and Waiver services and had positive feedback about the goal. Recommendations were made to advertise the events through various community support groups and through social media whenever possible. The committee discussed current IDD PNAC membership which is at 10 and in compliance with the performance contract. The group did discuss a desire to seek younger parents to join the committee and discussed some of the barriers to volunteering that typically exist for many of these parents.

**Community Activities**

- 1. Senate Bill 476, which was passed by the Texas Legislature, mandates that every county in Texas create a Sexual Assault Response Team (SART). The Montgomery County Commissioners Court Approved this team on November 9, 2021 and includes the Chief Administrator – Bret Ligon, District Attorney; Conroe Police Department Chief Jeff Christy; Montgomery County Sheriff's Department Lt. Jermaine Jenkins; Montgomery County District Attorney Prosecutor of Sexual Assault Cases Tiana Sanford; Memorial Hermann Forensic Nurse Director Jaime Ferrell; Tri-County Behavioral Healthcare Director of Strategic Development Catherine Prestigiovanni; and the Presiding Officer/Agency, Loren

Jones of the Montgomery County Women's Center. The team will meet quarterly and evaluate established protocols, policies, procedures and resources to ensure that sexual assault investigations are investigated in a thorough and timely matter, in an effort to decrease the trauma for sexual assault victims. Walker County is also in the process of forming their SART and one of our staff will be participating in this group as well.

2. The Mental Health First Aid Department remains extremely busy. We have 10 area schools scheduled to be trained so far this year with over 454 teachers already registered, and six Veterans Mental Health First Aid trainings scheduled throughout 2022.

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| <b>Agenda Item:</b> Year to Date FY 2022 Goals and Objectives Progress Report<br><br><b>Committee:</b> Program   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>The Management Team met on August 13, 2021 to update the five-year strategic plan and to develop the goals for FY 2022. The strategic plan and related goals were approved by the Board of Trustees at the September 2021 Board meeting. Subsequently, the Management Team developed objectives for each of the goals.<br><br>These goals are in addition to the contractual requirements of the Center's contracts with the Health and Human Services Commission or other contractors.<br><br>This report shows progress year to date for Fiscal Year 2022. |   |
| <b>Supporting Documentation:</b><br><br>FY 2022, Year to Date Goals and Objectives Progress Report   |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>  |   |



# Year-to-Date Progress Report

*September 1, 2021 – January 27, 2022*

## Goal #1 – Clinical Excellence

### Objective 1:

**A consultant will be hired to work with Center staff to create a Peer Development Plan. The plan will be shared with the Board of Trustees by February 28, 2022.**

- Staff have had conversations with the Texas Council of Community Centers' Director of Recovery Based Services, Janet Paleo, and have met with a statewide Peer led advisory committee. Ms. Paleo is not sure that we need a contract at this point, but we are still discussing next steps.

### Objective 2:

**Staff will increase access to services by establishing a Child and Youth Clinic in East Montgomery County using Montgomery County American Rescue Plan Act funds by March 31, 2022.**

- Staff have located and purchased a 15,000 square foot building in Porter. Approximately 6,000 square feet of the facility will be modified for the Child and Youth clinic. A large portion of the remainder of the building will be leased out to a company that provides physical health rehab for children.
- An RFP for construction to build out the Child and Youth portion of the facility has been published and there were 10 contractors that responded. MJR Services has been awarded the bid which came in below Board-approved budget. Construction is expected to begin the first of February and be completed by mid-March.
- Furniture has been ordered for the facility, but the lead time has been extended by the manufacturer and it may not be available in time for a March 31<sup>st</sup> grand opening.
- Staff have been working with a telehealth placement company to find a part-time prescriber for the Porter clinic and although there have been some promising leads, nothing has been secured yet.
- Next steps include determining staff positions that will be transferred to this clinic, posting new positions and mapping out the clinical workflow.

### Objective 3:

**Staff will rewrite all Crisis Stabilization Unit and Crisis Services procedures in FY 2022.**

- Staff held regular meetings during the first Quarter of FY 21 to review rules and guidelines for Crisis services and established a list of current and needed procedures. Following the unexpected closure of the Crisis Stabilization Unit (CSU), procedures related to the CSU are currently pending at this time. Staff are in the process of revising current procedures related to MCOT and Crisis training.

- One of the issues on the table is a consideration of whether we will be able to reopen the CSU facility at this time due to staffing issues. A team is reviewing alternate services that could be provided within that facility and plans a conversation with the Health and Human Services Commission.

## Objective 4:

**Tri-County will successfully implement the SAMHSA CCBCHC Expansion grant by ensuring all proposed services are being provided by March 31, 2022.**

- The CCBHC Expansion grant from SAMHSA has been moving along well and our evaluation partners, SAE Associates, are pleased with the organization of our program and progress to date.
- Jennifer Kennedy, LCSW-S, an internal transfer, has filled the CCBHC-E Program Director role and has been approved by SAMHSA for this role.
- Staff have hired most of the expanded therapy staff for the grant and have started providing services to persons who have been waiting for therapy. We are still looking for therapists that are willing to work at the Liberty County facilities.
- Staff have hired one of the staff for the Cleveland ISD school clinic, but are still working on adding additional staff.
- Staff are continuing to try to hire rural substance abuse treatment staff.
- SAMHSA reports that staffing issues are nationwide and many grant partners are struggling to fill critical positions.

## Goal #2 – Intellectual and Developmental Disabilities

### Objective 1:

**IDD services will hold at least three (3) community events to educate persons about the IDD System of Care, Transition Plans and Waiver services which may be available in our communities by May 31, 2022. These events may be virtual, as needed.**

- Staff attended a meeting on November 8, 2021 with the CISD Parent Resource Center to identify needs and formulate plans for educating persons about the IDD system of Care, Transition Plans and Waiver Services available in our communities. A follow up meeting was held on December 1, 2021 with the IDD PNAC to brainstorm ideas and means of communication with stakeholders. Initial plans include the creation of educational videos on selected topics that can be added to our website and shared with the community through multiple means including via social media, transition fairs, and other community partners who frequently work with the IDD population.

### Goal #3 – Professional Facilities

#### Objective 1:

**Remodel of the Liberty Service Facility will be completed by May 31, 2022.**

- The remodel of the Liberty Service Facility is underway with the office expansion portion of the project essentially complete. The team is currently working on moving the front desk/reception area and adding security doors throughout the clinic. This project is currently on schedule to be completed before the May 31<sup>st</sup> deadline.

### Goal #4 – Information Technology

#### Objective 1:

**Staff will issue a Request for Proposals for a new Electronic Health Record in Fiscal Year 2022, review and score each of the qualified responses and make a recommendation to the Board of Trustees by March 31, 2022.**

- A Request for Proposals for a new Electronic Health Record was issued on December 31, 2021 and 12 software companies have expressed interest in submitting a proposal.
- Staff responded in writing to 126 questions that were received related to the RFP on January 14<sup>th</sup>.
- The responses to the RFP are due January 31, 2022 at 5 p.m. Staff anticipate bringing a recommendation for Electronic Health Record purchase at the March 24<sup>th</sup> Board meeting.

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| <b>Agenda Item:</b> 1 <sup>st</sup> Quarter FY 2022 Corporate Compliance and Quality Management Report<br><br><b>Committee:</b> Program   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br><p>The Health and Human Service Commission's Performance Contract Notebook has a requirement that the Quality Management Department provide "routine" reports to the Board of Trustees about "Quality Management Program activities."</p> <p>Although Quality Management Program activities have been included in the program updates, it was determined that it might be appropriate, in light of this contract requirement, to provide more details regarding these activities.</p> <p>Since the Corporate Compliance Program and Quality Management Program activities are similar in nature, the decision was made to incorporate the Quality Management Program activities into the Quarterly Corporate Compliance Report to the Board and to format this item similar to the program updates. The Corporate Compliance and Quality Management Report for the 1<sup>st</sup> Quarter of FY 2022 are included in this Board packet.</p> |   |
| <b>Supporting Documentation:</b><br><br>1 <sup>st</sup> Quarter FY 2022 Corporate Compliance and Quality Management Report  |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |

# **Corporate Compliance and Quality Management Report**

## **1st Quarter, FY 2022**

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### **Corporate Compliance Activities**

#### **A. Key Statistics:**

There were three compliance concerns reported in the 1st Quarter. The three concerns were investigated or reviewed to completion and listed below:

1. A client reported that TCBHC staff billed for services that had not been provided to them. This concern was investigated and was confirmed. The staff resigned prior to finalizing the investigation. Payback was made in the amount of \$1,238.78.
2. The second report alleged that there were concerns about an employee's work product. The investigation confirmed the staff had service times that overlapped with the documentation of services provided to others. The staff received retraining and a written warning. Payback was made in the amount of \$944.00.
3. Lastly, Quality Management reported they found incomplete and inappropriate service documentation in a client's chart. An in-depth chart review was conducted and payback was made in the amount of \$188.51. The staff received retraining and a written warning.

#### **B. Committee Activities:**

The Corporate Compliance Committee met on November 17, 2021. The Committee reviewed the following:

1. A final summary of the FY21 4th Quarter investigations;
2. FY22 1<sup>st</sup> Quarter updates;
3. An annual review of the Corporate Compliance Action Plan; and
4. Trending concerns.

### **Quality Management Initiatives**

#### **A. Key Statistics:**

1. Staff reviewed and submitted eight record requests, totaling 77 charts.
2. Staff conducted several internal audits including progress note reviews, discharge documentation, authorization override requests for clinically complex individuals, and use of the co-occurring psychiatric and substance use modifier.
3. Staff conducted a quality assurance review of the Center's automated appointment reminder system as a part of the Continuous Quality Improvement Committee efforts to remove barriers and improve show rates for the Center medication clinics.

**B. Reviews/Audits:**

1. Staff prepared and submitted two record requests totaling 20 charts to Amerigroup dating back to January 2020.
2. Staff prepared and submitted one record request totaling 26 charts to Wellcare TexasPlus dating back to January 2020.
3. Staff prepared and submitted two record requests totaling 10 charts to Cigna HealthSpring dating back to January 2020.
4. Staff prepared and submitted one record request totaling 20 charts to Superior dating back to January 2021.
5. Staff prepared and submitted one record request totaling one chart to Aetna dating back to January 2020.
6. Staff reviewed 143 notes that used the Co-Occurring Psychiatric and Substance Use Disorder (COPSD) modifier to ensure that the intervention was used appropriately. This review indicated that the majority of staff utilizing this code are using it correctly. Follow up was made with supervisors as appropriate to initiate additional education and training as needed.
7. Staff reviewed 109 discharges that occurred in Q1 and communicated areas that were needing improvement to supervisory staff.
8. Staff reviewed 46 November cancellations made through the Centers automated reminder system, Televox, as a part of continued efforts to improve the show rate for pharmacological management appointments as a part of the Continuous Quality Improvement Program goals. Individual charts were reviewed to gain insight into barriers that may be impacting show rates as well as follow up steps occurring after cancellations.
9. Staff reviewed 38 progress notes in various Center programs for quality assurance utilizing audit tools developed to ensure compliance with documentation standards, fidelity, and best practices.

**C. Other Quality Management Activities:**

1. The Junior Utilization Management Committee continued to analyze the Risk Stratification Data to identify and follow up on high risk individuals who could benefit from enhanced Care Coordination. The Care Coordination Data Analyst position was filled as a part of the SAMHSA grant in early November and following initial training, has begun sending referrals to the Care Coordination Team.
2. The Continuous Quality Improvement (CQI) Committee met on October 29, 2021 to review the FY 21 Annual Reports and discuss plans for the FY 22 goals.

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| <b>Agenda Item:</b> 2 <sup>nd</sup> Quarter FY 2022 Corporate Compliance Training<br><br><b>Committee:</b> Program  | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>As part of the Center's Corporate Compliance Program, training is developed each quarter for distribution to staff by their supervisors.<br><br>This training is included in the packet for ongoing education of the Tri-County Board of Trustees on Corporate Compliance issues. |   |
| <b>Supporting Documentation:</b><br><br>2 <sup>nd</sup> Quarter FY 2022 Corporate Compliance Training   |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |

# COMPLIANCE NEWSLETTER

FY22, Quarter 2

## YOUR CORPORATE COMPLIANCE TEAM

Amy Foerster  
Chief Compliance Officer  
amyf@tcbhc.org

Heather Hensley  
Administrator of Compliance  
heatherh@tcbhc.org

Ashley Bare  
HR Manager  
ashleyba@tcbhc.org



## Compliance is Everyone's Responsibility!

Corporate Compliance programs are mandated for healthcare organizations. TCBHC's Compliance program is founded on a set of internal policies and procedures that were put into place in order to comply with the laws and regulations that govern the services we provide.

### Benefits of TCBHC's Compliance Program

- Commitment to honest and responsible behavior
- Act to prevent, identify, and correct behavior that is unlawful or against the rules
- Avoids potential for fraud, waste, and abuse
- Encourages employees to report potential issues
- Promotes quality care and safety of the individuals we serve

TCBHC takes very seriously its obligation to comply with the rules and standards of conduct that govern us.

Don't hesitate to contact TCBHC's Compliance Team if something doesn't seem right!

We must all be committed to honest and responsible conduct. We owe this to the individuals we serve, to the communities we serve, and to ourselves.

## NEWSLETTER HIGHLIGHTS

Compliance is Everyone's Responsibility!

Benefits of TCBHC's Compliance Program

How to Report Compliance Concerns

Your Corporate Compliance Team



## REPORT Compliance Concerns

Hotline:  
866-243-9252

- REPORTS ARE KEPT CONFIDENTIAL AND MAY BE MADE ANONYMOUSLY.
- REPORTS MAY BE MADE WITHOUT FEAR OF REPRISAL OR PENALTIES.
- REPORT TO YOUR SUPERVISOR, OR ANY COMPLIANCE TEAM MEMBER ANY CONCERNS OF FRAUD, ABUSE, OR OTHER WRONG DOING.



|  |   |
|--|---|
| <b>Agenda Item:</b> Medicaid 1115 Transformation Waiver Project Status Report<br><br><b>Committee:</b> Program   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br><p>Delivery System Reform Incentive Payment (DSRIP) 1115 reporting of Category A was completed and submitted before October 31, 2021. Included also was a Provider Summary giving an overview of our DSRIP program's current progress and report on Category B. Category A has no payment associated with it, but is required to be completed to receive funding for Categories B-D. All reports were approved for payment in December 2021.</p> <p>Category A: Reporting covered an update of our Core Activities, Alternate Payment Methodologies, and Collaborative Activities. Category A also included a Cost and Savings Analysis. This report showed the costs of treating hypertension within the Integrated Healthcare program as a percentage to the entire program costs. Treatment of high blood pressure has been a targeted core activity and ongoing measure since the inception of the 1115.</p> <p>Category B: In October, we reported achievement of 98.51% Medicaid and Low-Income Uninsured (MLIU) Performing Provider Population (PPP). The PPP is the total number of unique individuals who received a face-to-face, televideo or telephone encounter within our defined system in DY10 (10/1/20-9/30/21). Funding is tied to maintaining a 94.66% rate of Medicaid, low income and/or uninsured clients. HHSC reviewed this metric and found we submitted documentation to support achievement. The metric was approved and eligible for payment of \$592,902.86 by January 31, 2022.</p> <p>Category C: The Cat C template was submitted with no changes to the amended report submitted in June of 2021. A delayed payment of \$1,303,195.82 will be included in the January 2022 payment.</p> <p>The DSRIP program was not continued into DY 11 (Calendar 2022), so Category C reporting requirements ended on December 31, 2021. Some of the services that have been associated with DSRIP will be continued using SAMHSA Community Mental Health Centers grant funding. The last reporting for DSRIP will be in April of 2022 and our last DSRIP payments will be received in July of 2022.</p> |   |
| <b>Supporting Documentation:</b><br><br>Medicaid 1115 Transformation Waiver Project Status Report  |   |
| <b>Recommended Action:</b><br>For Information Only   |   |

**Tri-County Behavioral Healthcare**  
**Medicaid 1115 Transformation Waiver**  
**DY10 10/1/2020 - 09/30/2021**

**DY10 Balance** Source: Internal Reporting / HHSC Reports

**\$5,039,674.31**



On Target to Meet DY8 Outcomes



In Process

**Status Update: January 2022 - DY11 Round1**

Not Started / Not Achieved

| Category A - DY 10 Round 2   |   |  |                                  | Progress Towards Goals   | Status    | DY 10 Valuation  |
|--|---|--|----------------------------------|--|-----------|--|
| Core Activities  | Integrated Physical & Behavioral Health Care Services                                       | Prevention & Wellness  | Intensive Evaluation & Diversion | Updates evaluating core activities, secondary drivers, & change ideas in the online system. No additional core activities will be added. |           | \$0 Required October 2021 Report submitted for payment of B-D and approved by HHSC |
| Alternative Payment Models   |   |  |                                  | No APMs/VBP arrangements with a Medicaid payer were made in DY10.  |           |  |
| Costs & Savings  | HHSC to choose C & S tool   |  |                                  | Status update on progress of completing the Cost & Savings analysis.   |           |  |
| Collaborative Activities   | Attended 2 Learning Collaboratives  |  |                                  | Report on at least 1 Learning Collaborative meetings attended.   |           |  |
| Category B - DY 10 Round 2   |   |  |                                  | Progress Towards Goals   | Status    | DY 10 Valuation  |
| Performing Provider Population DY10  |   | MLIU   |                                  | October 2021 Reporting   |           | \$592,902.86   |
| 10/1/ 2020 - 9/30/2021   |   |  |                                  |  | Less IGT  | \$195,657.94   |
|  |   |  |                                  | Payment to be made 1/31/2022   | Jan-22    | \$397,244.92   |
| # of unique persons who received a face-to-face or virtual service within our defined system |   | 98.51% unique persons in the system who insurance coverage was Medicaid, Low Income(< 200% FPL), and uninsured |                                  | Data entered in online reporting system - October 2021 reporting only.   |           | To be paid by 1/31/2022  |
| Category D - DY10 Round 1  |   |  |                                  | Progress Towards Goals   | Status    | DY 10 Payment  |
| Effective Crisis Response  | % of individuals receiving crisis services who avoid inpatient admission after a crisis.    |  |                                  |  |           | DY10 July 2021 Payment   |
| Crisis Follow-up   | % of individuals receiving crisis services who receive f/up services w/in a period of time. |  |                                  |  |           | Reported in April 2021/Paid  |
| Community Tenure   | % of individuals who successfully avoid psychiatric inpatient care.                         |  |                                  |  | Valuation | \$889,354.29   |
| Reduction in Juvenile Justice Involvement  | % of youth who demonstrate improved indicators of juvenile justice involvement.             |  |                                  |  | Less IGT  | \$284,504.44   |
| Adult Jail Diversion   | % adults who demonstrate improvement on indicators of criminal justice involvement.         |  |                                  |  | Achieved  | \$604,849.85   |




Tri-County Behavioral Healthcare  
Medicaid 1115 Transformation Waiver







DY10 - 10/1/2020 - 09/30/2021

Status Update: January 2022 - DY9 PY3 October 2021 Reporting

Measures based on Calendar Year 2020

Source: Internal Reporting / HHSC Reports

-  On Target to Meet DY10 Outcomes
-  In Process
-  Not Started / Not Achieved

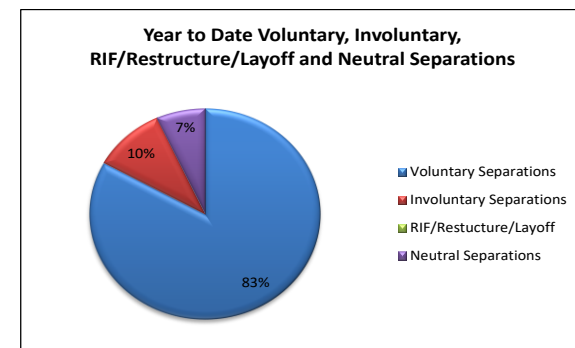
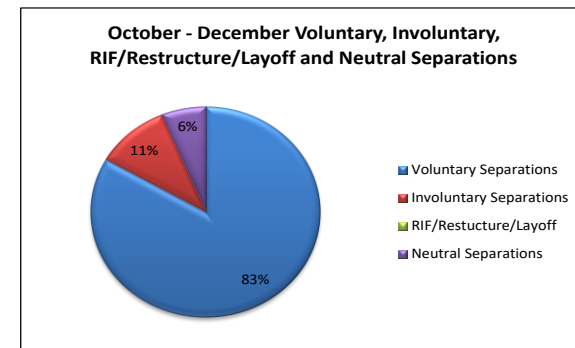
| Category C - DY10 Round 1 |   | DY9/PY3 Goal | Rate & Goal as of 12/31/20<br>(Estimate) | Status  | DY 9 PY3 Valuation<br>Amount / Oct 2021<br>Submission |
|---------------------------|---|--------------|--|---|---|
| M1-103                    | Controlling High Blood Pressure               | 56.67%       | 63.16%                                   |  |   |
| M1-105                    | Tobacco Cessation Assessment/Intervention     | 54.28%       | 99.66%                                   |  | \$651,597.91  |
| M1-115                    | Diabetes Care: HbA1c >9% (Neg Directionality) | 44.65%       | 24.83%                                   |  |   |
| M1-147                    | BMI/Intervention                              | 81.43%       | 99.93%                                   |  | \$651,597.91  |
| M1-207                    | Diabetes Care: HBP <140/90                    | 66.34%       | 73.83%                                   |  |   |
| M1-261                    | Substance Use Assessment                      | 95.93%       | 97.04%                                   |  |   |
| Total Cat C               |   |              |  |   | \$1,303,195.82  |
| Less IGT @ 31.99% Cat C   |   |              |  |   | \$430,054.62  |
| Achieved Cat C Net        |   |              |  |   | \$873,141.20  |
| Cat B & C Total           |   |              |  |   | 1/31/2022 Est. Net Payment: \$1,270,386.12            |

|  |  |
|--|--|
| <b>Agenda Item:</b> Personnel Reports for October through December 2021<br><br><b>Committee:</b> Executive | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None   |  |
| <b>Supporting Documentation:</b><br><br>Personnel Reports for October through December 2021                |  |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>  |  |

| Personnel Report Year to Date 2021                              |  |  |
|---|--|--|
| Total applications received in October - December = 434         |  |  |
| Total applications received over same time period in 2020 = 525 |  |  |
| Total applications received over same time period in 2019 = 834 |  |  |
| Total New Hires Year to Date = 27                               |  |  |
| Total New Hires Year to Date FY21 = 48                          |  |  |
| Total New Hires Year to Date FY20 = 64                          |  |  |
| Total Budgeted FTE Positions = 472.25                           |  |  |
| Total Budgeted FTE Positions FY21 = 438.15                      |  |  |
| Vacant FTE Positions = 161.67                                   |  |  |
| Vacant FTE Positions FY21 = 62.25                               |  |  |

| Oct - Dec Turnover - FY22 compared to FY21 | FY22 | FY21 |
|--|------|------|
| Number of Active Employees                 | 319  | 382  |
| Number of October - December Separations   | 48   | 25   |
| Number of Separations YTD                  | 59   | 33   |
| Year to Date Turnover Rate                 | 18%  | 9%   |
| October Turnover Rate                      | 15%  | 7%   |

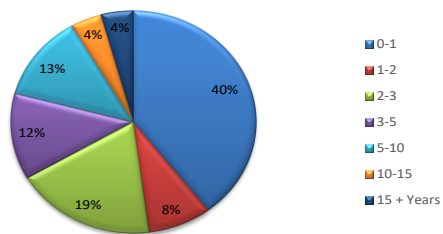
| Separations by Reason               | Oct - Dec Separations | FY22 YTD  |
|-------------------------------------|-----------------------|-----------|
| Better Pay                          | 5                     | 5         |
| Commute                             | 1                     | 2         |
| Death                               | 0                     | 0         |
| Dissatisfaction with Supervisor/Job | 0                     | 0         |
| Family                              | 2                     | 3         |
| Another job                         | 14                    | 20        |
| Lack of Opportunity for Advancement | 1                     | 1         |
| Relocating                          | 5                     | 5         |
| Retirement                          | 1                     | 1         |
| Return to School                    | 5                     | 6         |
| Schedule                            | 2                     | 2         |
| Uncomfortable with Job Duties       | 1                     | 1         |
| Health                              | 3                     | 3         |
| RIF/Restructure/Layoff              | 0                     | 0         |
| Neutral Termination                 | 3                     | 4         |
| Involuntarily Terminated            | 5                     | 6         |
| <b>Total Separations</b>            | <b>48</b>             | <b>59</b> |



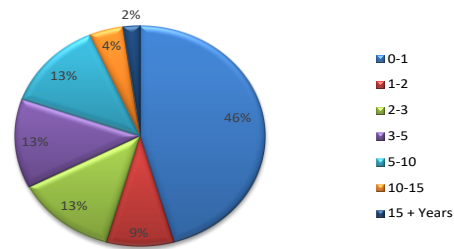
| Management Team          | # of Employees | Oct - Dec Separations | Year to Date Separations | % Oct - Dec | % YTD |
|--------------------------|----------------|-----------------------|--------------------------|-------------|-------|
| Evan Roberson            | 32             | 4                     | 5                        | 13%         | 16%   |
| Millie McDuffey          | 33             | 1                     | 1                        | 3%          | 3%    |
| Amy Foerster             | 4              | 1                     | 1                        | 25%         | 25%   |
| Tanya Bryant             | 9              | 1                     | 1                        | 11%         | 11%   |
| Sara Bradfield           | 81             | 8                     | 9                        | 10%         | 11%   |
| Melissa Zemencsik        | 59             | 15                    | 17                       | 25%         | 29%   |
| Catherine Prestigiovanni | 12             | 4                     | 4                        | 33%         | 33%   |
| PETC                     | 30             | 8                     | 14                       | 27%         | 47%   |
| Kelly Shropshire         | 31             | 4                     | 4                        | 13%         | 13%   |
| Kathy Foster             | 20             | 2                     | 3                        | 10%         | 15%   |
| Kenneth Barfield         | 8              | 0                     | 0                        | 0%          | 0%    |
| <b>Total</b>             | <b>319</b>     | <b>48</b>             | <b>59</b>                |             |       |

| Separation by EEO Category   | # of Employees | Oct - Dec Separations | Year to Date Separations | % Oct - Dec | % Year to Date |
|--|----------------|-----------------------|--------------------------|-------------|----------------|
| Supervisors & Managers   | 28             | 3                     | 3                        | 11%         | 11%            |
| Medical (MD,DO, LVN, RN, APN, PA, Psychologist)                    | 36             | 10                    | 12                       | 28%         | 33%            |
| Professionals (QMHP)   | 92             | 18                    | 22                       | 20%         | 24%            |
| Professionals (QIDP)   | 21             | 4                     | 4                        | 19%         | 19%            |
| Licensed Staff (LCDC, LPC...)                                      | 30             | 4                     | 4                        | 13%         | 13%            |
| Business Services (Accounting)                                     | 12             | 0                     | 0                        | 0%          | 0%             |
| Central Administration (HR, IT, Executive Director)                | 17             | 1                     | 1                        | 6%          | 6%             |
| Program Support (Financial Counselors, QA, Training, Med. Records) | 52             | 6                     | 7                        | 12%         | 13%            |
| Nurse Technicians/Aides  | 10             | 2                     | 5                        | 20%         | 50%            |
| Service/Maintenance  | 7              | 0                     | 0                        | 0%          | 0%             |
| Direct Care (HCS, Respite, Life Skills)                            | 14             | 0                     | 1                        | 0%          | 7%             |
| <b>Total</b>   | <b>319</b>     | <b>48</b>             | <b>59</b>                |             |                |

**Oct - Dec Separations by Tenure**



**Year to Date Separations by Tenure**



|  |  |
|--|--|
| <b>Agenda Item:</b> Texas Council Risk Management Fund Claims Summaries as of December 2021<br><br><b>Committee:</b> Executive | <b>Board Meeting Date:</b><br><br>January 22, 2022 |
| <b>Background Information:</b><br><br>None   |  |
| <b>Supporting Documentation:</b><br><br>Texas Council Risk Management Fund Claims Summaries as of December 2021                |  |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>  |  |

## TCRMF Claims Summary YTD 2021



|      | Worker's Comp Claims | Liability Claims | Property Claims |
|------|----------------------|------------------|-----------------|
| 2018 | \$8,202.00           | \$13,108.00      | \$6,126.00      |
| 2019 | \$10,826.00          | \$29,330.00      | \$0.00          |
| 2020 | \$24,836.00          | \$0.00           | \$0.00          |
| 2021 | \$6,789.00           | \$12,527.00      | \$20,074.00     |
| 2022 | \$0.00               | \$182.00         | \$0.00          |



|   |   |
|---|---|
| <b>Agenda Item:</b> Texas Council Quarterly Board Meeting Update<br><br><b>Committee:</b> Executive   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>The Texas Council has requested that Center representatives give updates to Trustees regarding their quarterly Board meeting. A verbal update will be given by Sharon Walker. |   |
| <b>Supporting Documentation:</b><br><br>Texas Council Staff Report  |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |

|   |   |
|---|---|
| <b>Agenda Item:</b> Approve October 2021 Financial Statements<br><br><b>Committee:</b> Business | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None  |   |
| <b>Supporting Documentation:</b><br><br>October 2021 Financial Statements                       |   |
| <b>Recommended Action:</b><br><br><b>Approve October 2021 Financial Statements</b>              |   |

## October 2021 Financial Summary

Revenues for October 2021 were \$3,109,429 and operating expenses were \$2,851,557 resulting in a gain in operation of \$257,873. Capital Expenditures and Extraordinary Expenses for October were \$69,927 resulting in a gain of \$187,945. Total revenues were 98.68% of the monthly budgeted revenues and total expenses were 92.95% of the monthly budgeted expenses (difference of 5.73%).

Year to date revenues are \$5,786,157 and operating expenses are \$5,345,399 leaving excess operating revenues of \$440,758. YTD Capital Expenditures and Extraordinary Expenses are \$143,528 resulting in a gain YTD of \$297,229. Total revenues are 97.92% of the YTD budgeted revenues and total expenses are 93.01% of the YTD budgeted expenses (difference of 4.90%).

### **REVENUES**

YTD Revenue items that are below the budget by more than \$10,000:

| <b>Revenue Source</b>                  | <b>YTD Revenue</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|--|--------------------|-------------------|--------------------|--------------------|
| HHSC – COPSD                           | 2,107              | 16,643            | 12.66%             | 14,536             |
| DSHS Outpatient Competency Restoration | 23,601             | 37,334            | 63.22%             | 13,733             |

**HHSC - COPSD** – As we have seen since COVID started, this program is mostly a face to face program and service delivery has been difficult to provide while COVID numbers have been high in our service area. We hope to see revenue numbers improve as COVID cases continue to decrease.

**DSHS Outpatient Competency Restoration** – This program is a cost reimbursement program that has had a vacant position for over a year. The vacancy resulted in lower expenses, and therefore, revenue is also lower than budgeted.

### **EXPENSES**

YTD Individual line expense items that exceed the YTD budget by more than \$10,000:

| <b>Expense Source</b> | <b>YTD Expenses</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|-----------------------|---------------------|-------------------|--------------------|--------------------|
| No items to report    |                     |                   |                    |                    |

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended October 2021**

|   | <b>TOTALS COMBINED<br/>FUNDS<br/>October 2021</b> | <b>TOTALS<br/>COMBINED FUNDS<br/>September 2021</b> | <b>Increase<br/>(Decrease)</b> |
|---|---|---|--------------------------------|
| <b>ASSETS</b>   |   |   |                                |
| <b>CURRENT ASSETS</b>                                       |   |   |                                |
| Imprest Cash Funds  | 3,037   | 3,037   | -                              |
| Cash on Deposit-General Fund                                | 10,188,228  | 12,191,566  | (2,003,338)                    |
| Cash on Deposit-Debt Fund                                   | -   | -   | -                              |
| Accounts Receivable   | 3,435,572   | 3,516,983   | (81,412)                       |
| Inventory   | 3,017   | 2,808   | 209                            |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>13,629,854</b>                                 | <b>15,714,394</b>                                   | <b>(2,084,541)</b>             |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                                 | <b>18,541,959</b>                                   | <b>-</b>                       |
| <b>OTHER ASSETS</b>   | <b>249,913</b>                                    | <b>260,188</b>                                      | <b>(10,275)</b>                |
| <b>TOTAL ASSETS</b>   | <b>\$ 32,421,726</b>                              | <b>\$ 34,516,542</b>                                | <b>\$ (2,094,815)</b>          |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |   |   |                                |
| <b>CURRENT LIABILITIES</b>                                  | <b>1,268,243</b>                                  | <b>1,426,803</b>                                    | <b>(158,561)</b>               |
| <b>NOTES PAYABLE</b>  | <b>738,448</b>                                    | <b>738,448</b>                                      | <b>-</b>                       |
| <b>DEFERRED REVENUE</b>                                     | <b>2,300,540</b>                                  | <b>4,430,907</b>                                    | <b>(2,130,368)</b>             |
| <b>LONG-TERM LIABILITIES FOR</b>                            |   |   |                                |
| Line of Credit - Tradition Bank                             | -   | -   | -                              |
| Note Payable Prosperity Bank                                | -   | -   | -                              |
| First Financial loan tied to CD                             | -   | -   | -                              |
| First Financial Construction Loan                           | 10,625,892  | 10,668,011  | (42,119)                       |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |   |   |                                |
| General Fund  | 297,229   | 109,284   | 187,945                        |
| <b>FUND EQUITY</b>  |   |   |                                |
| <b>RESTRICTED</b>   |   |   |                                |
| Net Assets Reserved for Debt Service                        | (10,625,892)                                      | (10,668,011)  | 42,119                         |
| Reserved for Debt Retirement                                |   |   | -                              |
| <b>COMMITTED</b>  |   |   |                                |
| Net Assets-Property and Equipment                           | 18,541,959  | 18,541,959  | -                              |
| Reserved for Vehicles & Equipment Replacement               | 613,712   | 613,712   | -                              |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000   | 2,500,000   | -                              |
| Reserved for Board Initiatives                              | 1,500,000   | 1,500,000   | -                              |
| Reserved for 1115 Waiver Programs                           | 502,677   | 502,677   | -                              |
| <b>ASSIGNED</b>   |   |   |                                |
| Reserved for Workers' Compensation                          | 274,409   | 274,409   | -                              |
| Reserved for Current Year Budgeted Reserve                  | 12,334  | 6,167   | 6,167                          |
| Reserved for Insurance Deductibles                          | 100,000   | 100,000   | -                              |
| Reserved for Accrued Paid Time Off                          | (738,448)   | (738,448)   | -                              |
| <b>UNASSIGNED</b>   |   |   |                                |
| Unrestricted and Undesignated                               | 4,510,623   | 4,510,623   | (0)                            |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 32,421,726</b>                              | <b>\$ 34,516,542</b>                                | <b>\$ (2,094,816)</b>          |

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended October 2021**

|   | <b>General<br/>Operating<br/>Funds</b> | <b>Memorandum Only<br/>Final<br/>August 2020</b> |
|---|--|--|
| <b>ASSETS</b>   |  |  |
| <b>CURRENT ASSETS</b>                                       |  |  |
| Imprest Cash Funds  | 3,037                                  | 3,900  |
| Cash on Deposit-General Fund                                | 10,188,228                             | 9,613,744  |
| Cash on Deposit-Debt Fund                                   | -                                      | -  |
| Accounts Receivable   | 3,435,572                              | 2,166,985  |
| Inventory   | 3,017                                  | 3,732  |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>13,629,854</b>                      | <b>11,788,361</b>                                |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                      | <b>18,775,157</b>                                |
| <b>OTHER ASSETS</b>   | <b>249,913</b>                         | <b>169,253</b>                                   |
|   | <b>\$ 32,421,726</b>                   | <b>\$ 30,732,771</b>                             |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |  |  |
| <b>CURRENT LIABILITIES</b>                                  | <b>1,268,243</b>                       | <b>1,542,782</b>                                 |
| <b>NOTES PAYABLE</b>  | <b>738,448</b>                         | <b>719,395</b>                                   |
| <b>DEFERRED REVENUE</b>                                     | <b>2,300,540</b>                       | <b>424,724</b>                                   |
| <b>LONG-TERM LIABILITIES FOR</b>                            |  |  |
| Line of Credit - Tradition Bank                             | -                                      | -  |
| Note Payable Prosperity Bank                                | -                                      | -  |
| First Financial loan tied to CD                             | -                                      | -  |
| First Financial Construction Loan                           | 10,625,892                             | 11,200,154                                       |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |  |  |
| General Fund  | 297,229                                | 849,344  |
| <b>FUND EQUITY</b>  |  |  |
| <b>RESTRICTED</b>   |  |  |
| Net Assets Reserved for Debt service-Restricted             | (10,625,892)                           | (11,200,154)                                     |
| Reserved for Debt Retirement                                | -                                      | -  |
| <b>COMMITTED</b>  |  |  |
| Net Assets-Property and Equipment-Committed                 | 18,541,959                             | 18,775,157                                       |
| Reserved for Vehicles & Equipment Replacement               | 613,712                                | 613,712  |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000                              | 2,500,000  |
| Reserved for Board Initiatives                              | 1,500,000                              | 1,500,000  |
| Reserved for 1115 Waiver Programs                           | 502,677                                | 502,677  |
| <b>ASSIGNED</b>   |  |  |
| Reserved for Workers' Compensation-Assigned                 | 274,409                                | 274,409  |
| Reserved for Current Year Budgeted Reserve -Assigned        | 12,334                                 | -  |
| Reserved for Insurance Deductibles-Assigned                 | 100,000                                | 100,000  |
| Reserved for Accrued Paid Time Off                          | (738,448)                              | (719,395)  |
| <b>UNASSIGNED</b>   |  |  |
| Unrestricted and Undesignated                               | 4,510,623                              | 3,649,967  |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 32,421,726</b>                   | <b>\$ 30,732,771</b>                             |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**For the Month Ended October 2021**  
**and Year To Date as of October 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>October 2021</b> | <b>YTD<br/>October 2021</b> |
|---|----------------------------------|-----------------------------|
| Local Revenue Sources   | 91,866                           | 153,494                     |
| Earned Income   | 1,095,287                        | 2,095,303                   |
| General Revenue-Contract  | 1,922,276                        | 3,537,360                   |
| <b>TOTAL INCOME</b>   | <b>\$ 3,109,429</b>              | <b>\$ 5,786,157</b>         |
| <b>EXPENSES:</b>  |                                  |                             |
| Salaries  | 1,791,012                        | 3,219,512                   |
| Employee Benefits   | 339,559                          | 641,746                     |
| Medication Expense  | 49,484                           | 100,437                     |
| Travel-Board/Staff  | 19,609                           | 35,060                      |
| Building Rent/Maintenance   | 21,786                           | 35,350                      |
| Consultants/Contracts   | 424,930                          | 879,511                     |
| Other Operating Expenses  | 205,176                          | 433,784                     |
| <b>TOTAL EXPENSES</b>   | <b>\$ 2,851,557</b>              | <b>\$ 5,345,399</b>         |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 257,873</b>                | <b>\$ 440,758</b>           |
| <b>CAPITAL EXPENDITURES</b>   |                                  |                             |
| Capital Outlay-FF&E, Automobiles, Building  | 260                              | 4,193                       |
| Capital Outlay-Debt Service   | 69,667                           | 139,335                     |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 69,927</b>                 | <b>\$ 143,528</b>           |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 2,921,484</b>              | <b>\$ 5,488,927</b>         |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 187,945</b>                | <b>\$ 297,229</b>           |

|   |               |                |
|---|---------------|----------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |               |                |
| Debt Service  | 69,667        | 139,335        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>69,667</b> | <b>139,335</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**Year to Date as of October 2021**

|   | YTD<br>October 2021       | APPROVED<br>BUDGET      | Increase<br>(Decrease)    |
|---|---------------------------|-------------------------|---------------------------|
| <b>INCOME:</b>  |                           |                         |                           |
| Local Revenue Sources   | 153,494                   | 144,773                 | 8,721                     |
| Earned Income   | 2,095,303                 | 2,187,801               | (92,498)                  |
|   | 3,537,360                 | 3,576,626               | (39,266)                  |
| <b>TOTAL INCOME</b>   | <b>\$ 5,786,157</b>       | <b>\$ 5,909,200</b>     | <b>\$ (123,043)</b>       |
| <b>EXPENSES:</b>  |                           |                         |                           |
| Salaries  | 3,219,512                 | 3,476,015               | (256,503)                 |
| Employee Benefits   | 641,746                   | 692,114                 | (50,368)                  |
| Medication Expense  | 100,437                   | 109,708                 | (9,271)                   |
| Travel-Board/Staff  | 35,060                    | 67,340                  | (32,280)                  |
| Building Rent/Maintenance   | 35,350                    | 33,758                  | 1,592                     |
| Consultants/Contracts   | 879,511                   | 933,133                 | (53,622)                  |
| Other Operating Expenses  | 433,784                   | 425,815                 | 7,969                     |
| <b>TOTAL EXPENSES</b>   | <b>\$ 5,345,399</b>       | <b>\$ 5,737,883</b>     | <b>\$ (392,484)</b>       |
| <br><b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <br><b>\$ 440,758</b>     | <br><b>\$ 171,317</b>   | <br><b>\$ 269,441</b>     |
| <br><b>CAPITAL EXPENDITURES</b>   |                           |                         |                           |
| Capital Outlay-FF&E, Automobiles  | 4,193                     | 23,965                  | (19,772)                  |
| Capital Outlay-Debt Service   | 139,335                   | 139,338                 | (3)                       |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 143,528</b>         | <b>\$ 163,303</b>       | <b>\$ (19,775)</b>        |
| <br><b>GRAND TOTAL EXPENDITURES</b>   | <br><b>\$ 5,488,927</b>   | <br><b>\$ 5,901,186</b> | <br><b>\$ (412,259)</b>   |
| <br><br><b>Excess (Deficiency) of Revenues and Expenses</b>                             | <br><br><b>\$ 297,229</b> | <br><br><b>\$ 8,014</b> | <br><br><b>\$ 289,215</b> |

|   |                |                |            |
|---|----------------|----------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |                |                |            |
| Debt Service  | 139,335        | 139,338        | (3)        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>139,335</b> | <b>139,338</b> | <b>(3)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**For the Month Ended October 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>October 2021</b> | <b>APPROVED<br/>BUDGET</b> | <b>Increase<br/>(Decrease)</b> |
|---|----------------------------------|----------------------------|--------------------------------|
| Local Revenue Sources   | 91,866                           | 77,818                     | 14,048                         |
| Earned Income   | 1,095,287                        | 1,148,194                  | (52,907)                       |
| General Revenue-Contract  | 1,922,276                        | 1,925,037                  | (2,761)                        |
| <b>TOTAL INCOME</b>   | <b>\$ 3,109,429</b>              | <b>\$ 3,151,049</b>        | <b>\$ (41,620)</b>             |
| <b>EXPENSES:</b>  |                                  |                            |                                |
| Salaries  | 1,791,012                        | 1,925,532                  | (134,520)                      |
| Employee Benefits   | 339,559                          | 371,872                    | (32,313)                       |
| Medication Expense  | 49,484                           | 49,854                     | (370)                          |
| Travel-Board/Staff  | 19,609                           | 33,866                     | (14,257)                       |
| Building Rent/Maintenance   | 21,786                           | 16,879                     | 4,907                          |
| Consultants/Contracts   | 424,930                          | 446,711                    | (21,781)                       |
| Other Operating Expenses  | 205,176                          | 211,354                    | (6,178)                        |
| <b>TOTAL EXPENSES</b>   | <b>\$ 2,851,557</b>              | <b>\$ 3,056,068</b>        | <b>\$ (204,511)</b>            |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 257,873</b>                | <b>\$ 94,981</b>           | <b>\$ 162,892</b>              |
| <b>CAPITAL EXPENDITURES</b>   |                                  |                            |                                |
| Capital Outlay-FF&E, Automobiles  | 260                              | 17,298                     | (17,038)                       |
| Capital Outlay-Debt Service   | 69,667                           | 69,669                     | (2)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 69,927</b>                 | <b>\$ 86,967</b>           | <b>\$ (17,040)</b>             |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 2,921,484</b>              | <b>\$ 3,143,035</b>        | <b>\$ (221,551)</b>            |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 187,945</b>                | <b>\$ 8,014</b>            | <b>\$ 179,931</b>              |

|   |               |               |            |
|---|---------------|---------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |               |               |            |
| Debt Service  | 69,667        | 69,669        | (2)        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>69,667</b> | <b>69,669</b> | <b>(2)</b> |



**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With YTD October 2020 Comparative Data**  
**Year to Date as of October 2021**

| <b>INCOME:</b>  | <b>YTD<br/>October 2021</b> | <b>YTD<br/>October 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------|-----------------------------|--------------------------------|
| Local Revenue Sources   | 153,494                     | 708,127                     | (554,633)                      |
| Earned Income   | 2,095,303                   | 2,236,066                   | (140,763)                      |
| General Revenue-Contract  | 3,537,360                   | 3,214,302                   | 323,058                        |
| <b>TOTAL INCOME</b>   | <b>\$ 5,786,157</b>         | <b>\$ 6,158,495</b>         | <b>\$ (372,338)</b>            |
| <b>EXPENSES:</b>  |                             |                             |                                |
| Salaries  | 3,219,512                   | 3,325,392                   | (105,880)                      |
| Employee Benefits   | 641,746                     | 677,396                     | (35,650)                       |
| Medication Expense  | 100,437                     | 129,007                     | (28,570)                       |
| Travel-Board/Staff  | 35,060                      | 16,239                      | 18,821                         |
| Building Rent/Maintenance   | 35,350                      | 45,940                      | (10,590)                       |
| Consultants/Contracts   | 879,511                     | 807,540                     | 71,971                         |
| Other Operating Expenses  | 433,784                     | 393,964                     | 39,820                         |
| <b>TOTAL EXPENSES</b>   | <b>\$ 5,345,399</b>         | <b>\$ 5,395,476</b>         | <b>\$ (50,079)</b>             |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 440,758</b>           | <b>\$ 763,019</b>           | <b>\$ (322,259)</b>            |
| <b>CAPITAL EXPENDITURES</b>   |                             |                             |                                |
| Capital Outlay-FF&E, Automobiles  | 4,193                       | 504,110                     | (499,917)                      |
| Capital Outlay-Debt Service   | 139,335                     | 139,340                     | (5)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 143,528</b>           | <b>\$ 643,450</b>           | <b>\$ (499,922)</b>            |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 5,488,927</b>         | <b>\$ 6,038,926</b>         | <b>\$ (549,999)</b>            |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 297,229</b>           | <b>\$ 119,566</b>           | <b>\$ 177,662</b>              |

|   |                |                |            |
|---|----------------|----------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |                |                |            |
| Debt Service  | 139,335        | 139,340        | (5)        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>139,335</b> | <b>139,340</b> | <b>(5)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With October 2020 Comparative Data**  
**For the Month Ended October 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>October 2021</b> | <b>MONTH OF<br/>October 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|----------------------------------|----------------------------------|--------------------------------|
| Local Revenue Sources   | 91,866                           | 116,929                          | (25,063)                       |
| Earned Income   | 1,095,287                        | 1,122,925                        | (27,638)                       |
| General Revenue-Contract  | 1,922,276                        | 1,790,269                        | 132,007                        |
| <b>TOTAL INCOME</b>   | <b>\$ 3,109,429</b>              | <b>\$ 3,030,123</b>              | <b>\$ 79,306</b>               |
| Salaries  | 1,791,012                        | 1,862,385                        | (71,373)                       |
| Employee Benefits   | 339,559                          | 363,236                          | (23,677)                       |
| Medication Expense  | 49,484                           | 63,411                           | (13,927)                       |
| Travel-Board/Staff  | 19,609                           | 10,849                           | 8,760                          |
| Building Rent/Maintenance   | 21,786                           | 24,092                           | (2,306)                        |
| Consultants/Contracts   | 424,930                          | 415,040                          | 9,890                          |
| Other Operating Expenses  | 205,176                          | 191,927                          | 13,249                         |
| <b>TOTAL EXPENSES</b>   | <b>\$ 2,851,557</b>              | <b>\$ 2,930,938</b>              | <b>\$ (79,383)</b>             |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 257,873</b>                | <b>\$ 99,185</b>                 | <b>\$ 158,690</b>              |
| <b>CAPITAL EXPENDITURES</b>   |                                  |                                  |                                |
| Capital Outlay-FF&E, Automobiles  | 260                              | 7,591                            | (7,331)                        |
| Capital Outlay-Debt Service   | 69,667                           | 69,670                           | (3)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 69,927</b>                 | <b>\$ 77,261</b>                 | <b>\$ (7,334)</b>              |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 2,921,484</b>              | <b>\$ 3,008,199</b>              | <b>\$ (86,715)</b>             |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 187,945</b>                | <b>\$ 21,921</b>                 | <b>\$ 166,023</b>              |

**Debt Service and Fixed Asset Fund:**

|   |               |               |            |
|---|---------------|---------------|------------|
| Debt Service  | 69,667        | 69,670        | (3)        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>69,667</b> | <b>69,670</b> | <b>(3)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With September 2021 Comparative Data**  
**For the Month Ended October 2021**

|   | <u>MONTH OF</u><br><u>October 2021</u> | <u>MONTH OF</u><br><u>September 2021</u> | <u>Increase</u><br><u>(Decrease)</u> |
|---|--|--|--------------------------------------|
| <b>INCOME:</b>  |  |  |                                      |
| Local Revenue Sources   | 91,866                                 | 61,628                                   | 30,238                               |
| Earned Income   | 1,095,287                              | 1,000,015                                | 95,272                               |
| General Revenue-Contract  | 1,922,276                              | 1,615,084                                | 307,191                              |
| <b>TOTAL INCOME</b>   | <b>\$ 3,109,429</b>                    | <b>\$ 2,676,728</b>                      | <b>\$ 432,702</b>                    |
| <b>EXPENSES:</b>  |  |  |                                      |
| Salaries  | 1,791,012                              | 1,428,500                                | 362,512                              |
| Employee Benefits   | 339,559                                | 302,187                                  | 37,373                               |
| Medication Expense  | 49,484                                 | 50,953                                   | (1,469)                              |
| Travel-Board/Staff  | 19,609                                 | 15,450                                   | 4,159                                |
| Building Rent/Maintenance   | 21,786                                 | 13,564                                   | 8,222                                |
| Consultants/Contracts   | 424,930                                | 454,581                                  | (29,651)                             |
| Other Operating Expenses  | 205,176                                | 228,609                                  | (23,433)                             |
| <b>TOTAL EXPENSES</b>   | <b>\$ 2,851,557</b>                    | <b>\$ 2,493,843</b>                      | <b>\$ 357,714</b>                    |
| <br><b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <br><b>\$ 257,873</b>                  | <br><b>\$ 182,885</b>                    | <br><b>\$ 74,988</b>                 |
| <br><b>CAPITAL EXPENDITURES</b>   |  |  |                                      |
| Capital Outlay-FF&E, Automobiles  | 260                                    | 3,933                                    | (3,673)                              |
| Capital Outlay-Debt Service   | 69,667                                 | 69,667                                   | -                                    |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 69,927</b>                       | <b>\$ 73,601</b>                         | <b>\$ (3,673)</b>                    |
| <br><b>GRAND TOTAL EXPENDITURES</b>   | <br><b>\$ 2,921,484</b>                | <br><b>\$ 2,567,443</b>                  | <br><b>\$ 354,041</b>                |
| <br><br><b>Excess (Deficiency) of Revenues and Expenses</b>                             | <br><br><b>\$ 187,945</b>              | <br><br><b>\$ 109,284</b>                | <br><br><b>\$ 78,661</b>             |

**Debt Service and Fixed Asset Fund:**

|   |               |               |          |
|---|---------------|---------------|----------|
| Debt Service  | 69,667        | 69,667        | -        |
| <b>Excess(Deficiency) of revenues over Expenses</b> | <b>69,667</b> | <b>69,667</b> | <b>-</b> |

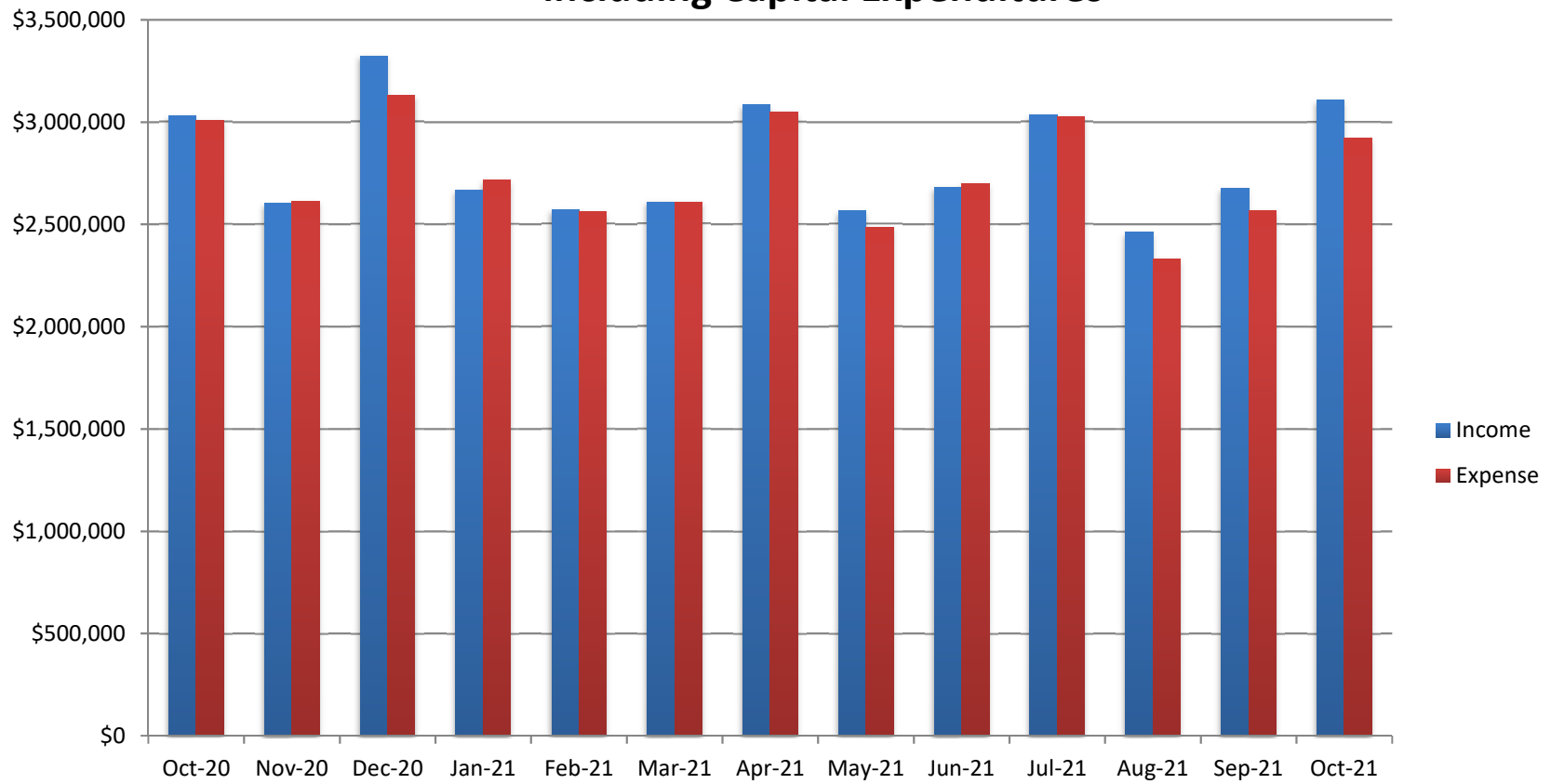
**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary by Service Type**  
**Compared to Budget**  
**Year To Date as of October 2021**

|   | YTD<br>Mental<br>Health<br>October 2021 | YTD<br>IDD<br>October 2021 | YTD<br>Other<br>Services<br>October 2021 | YTD<br>Agency<br>Total<br>October 2021 | YTD<br>Approved<br>Budget<br>October 2021 | Increase<br>(Decrease) |
|---|---|----------------------------|--|--|---|------------------------|
| <b>INCOME:</b>  |   |                            |  |  |   |                        |
| Local Revenue Sources   | 192,870                                 | (68,710)                   | 29,334                                   | 153,494                                | 144,773                                   | 8,721                  |
| Earned Income   | 794,747                                 | 668,606                    | 631,949                                  | 2,095,303                              | 2,187,801                                 | (92,498)               |
| General Revenue-Contract  | 3,014,229                               | 306,872                    | 216,260                                  | 3,537,360                              | 3,576,626                                 | (39,266)               |
| <b>TOTAL INCOME</b>   | <b>\$ 4,001,846</b>                     | <b>\$ 906,768</b>          | <b>\$ 877,543</b>                        | <b>\$ 5,786,157</b>                    | <b>\$ 5,909,200</b>                       | <b>\$ (123,043)</b>    |
| <b>EXPENSES:</b>  |   |                            |  |  |   |                        |
| Salaries  | 2,099,115                               | 466,328                    | 654,069                                  | 3,219,512                              | 3,476,015                                 | (256,503)              |
| Employee Benefits   | 416,733                                 | 94,593                     | 130,420                                  | 641,746                                | 692,114                                   | (50,368)               |
| Medication Expense  | 83,332                                  |                            | 17,104                                   | 100,437                                | 109,708                                   | (9,271)                |
| Travel-Board/Staff  | 18,199                                  | 8,514                      | 8,347                                    | 35,060                                 | 67,340                                    | (32,280)               |
| Building Rent/Maintenance   | 26,866                                  | 4,145                      | 4,339                                    | 35,350                                 | 33,758                                    | 1,592                  |
| Consultants/Contracts   | 706,715                                 | 144,735                    | 28,060                                   | 879,511                                | 933,133                                   | (53,622)               |
| Other Operating Expenses  | 252,539                                 | 104,214                    | 77,031                                   | 433,784                                | 425,815                                   | 7,969                  |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,603,499</b>                     | <b>\$ 822,529</b>          | <b>\$ 919,370</b>                        | <b>\$ 5,345,398</b>                    | <b>\$ 5,737,883</b>                       | <b>\$ (392,483)</b>    |
| <b>Excess(Deficiency) of Revenues over Expenses before Capital Expenditures</b> | <b>\$ 398,347</b>                       | <b>\$ 84,239</b>           | <b>\$ (41,827)</b>                       | <b>\$ 440,759</b>                      | <b>\$ 171,317</b>                         | <b>\$ 269,440</b>      |
| <b>CAPITAL EXPENDITURES</b>   |   |                            |  |  |   |                        |
| Capital Outlay-FF&E, Automobiles  | 4,193                                   | -                          | -  | 4,193                                  | 23,965                                    | (19,772)               |
| Capital Outlay-Debt Service   | 94,748                                  | 20,900                     | 23,687                                   | 139,335                                | 139,338                                   | (3)                    |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 98,941</b>                        | <b>\$ 20,900</b>           | <b>\$ 23,687</b>                         | <b>\$ 143,528</b>                      | <b>\$ 163,303</b>                         | <b>\$ (19,775)</b>     |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,702,440</b>                     | <b>\$ 843,429</b>          | <b>\$ 943,057</b>                        | <b>\$ 5,488,926</b>                    | <b>\$ 5,901,186</b>                       | <b>\$ (412,258)</b>    |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                             | <b>\$ 299,406</b>                       | <b>\$ 63,339</b>           | <b>\$ (65,514)</b>                       | <b>\$ 297,229</b>                      | <b>\$ 8,014</b>                           | <b>\$ 289,215</b>      |
| <b>Debt Service and Fixed Asset Fund:</b>                                       |   |                            |  |  |   |                        |
| Debt Service  | 94,748                                  | 20,900                     | 23,687                                   | 139,335                                | 139,338                                   | (44,590)               |
| <b>Excess (Deficiency) of Revenues over Expenses</b>                            | <b>94,748</b>                           | <b>20,900</b>              | <b>23,687</b>                            | <b>139,335</b>                         | <b>139,338</b>                            | <b>(44,590)</b>        |

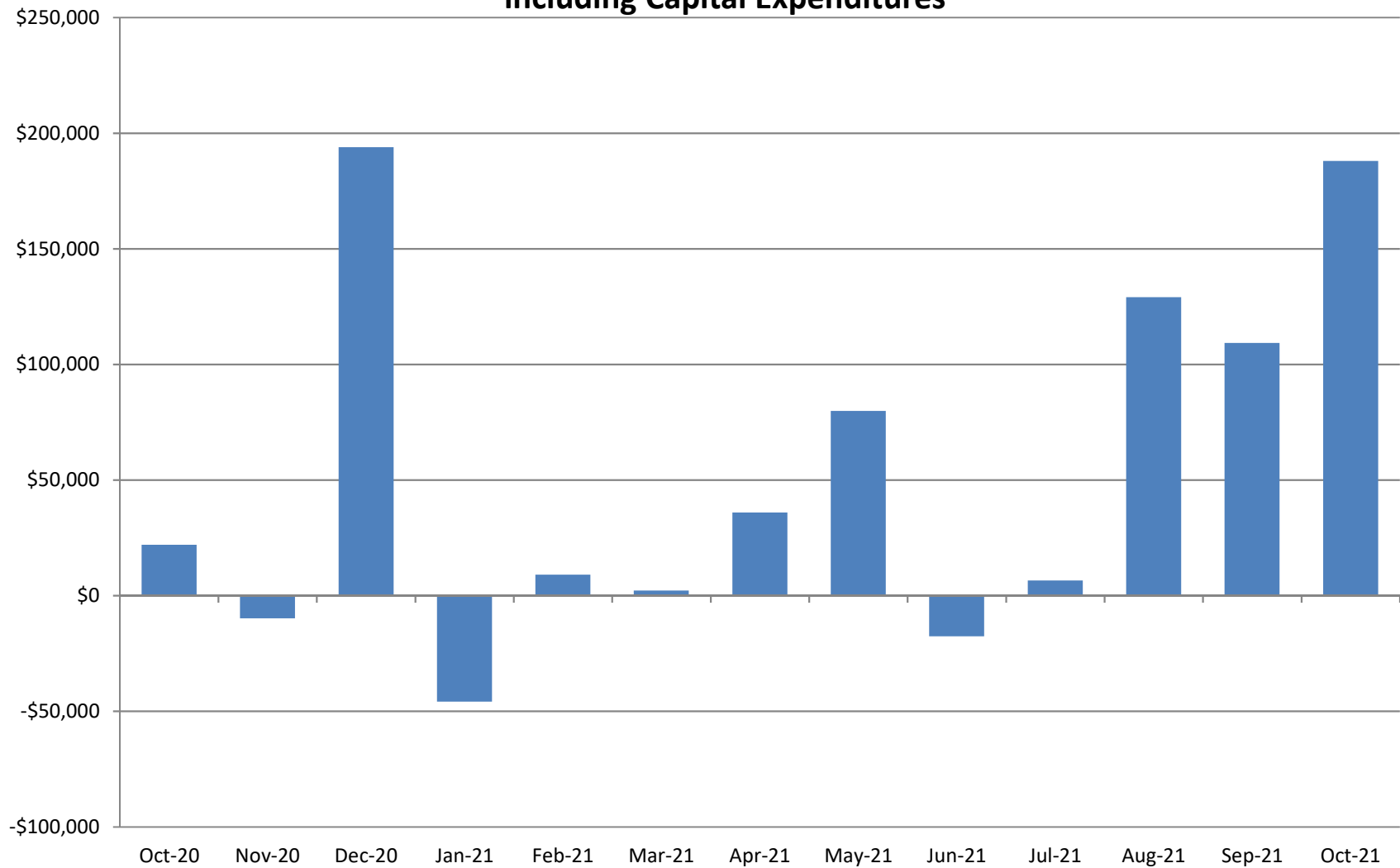
# TRI-COUNTY BEHAVIORAL HEALTHCARE

## Income and Expense

### including Capital Expenditures



**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Income after Expense**  
**including Capital Expenditures**



|   |   |
|---|---|
| <b>Agenda Item:</b> Approve November 2021 Financial Statements                      | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Committee:</b> Business  |   |
| <b>Background Information:</b><br><br>None  |   |
| <b>Supporting Documentation:</b><br><br>November 2021 Financial Statements          |   |
| <b>Recommended Action:</b><br><br><b>Approve November 2021 Financial Statements</b> |   |

## November 2021 Financial Summary

Revenues for November 2021 were \$3,430,379 and operating expenses were \$3,246,808 resulting in a gain in operation of \$183,570. Capital Expenditures and Extraordinary Expenses for November were \$101,860 resulting in a gain of \$81,710. Total revenues were 121.37% of the monthly budgeted revenues and total expenses were 120.54% of the monthly budgeted expenses (difference of .83%).

Year to date revenues are \$9,216,535 and operating expenses are \$8,592,208 leaving excess operating revenues of \$624,328. YTD Capital Expenditures and Extraordinary Expenses are \$245,389 resulting in a gain YTD of \$378,939. Total revenues are 105.50% of the YTD budgeted revenues and total expenses are 101.82% of the YTD budgeted expenses (difference of 3.68%).

### **REVENUES**

YTD Revenue items that are below the budget by more than \$10,000:

| <b>Revenue Source</b>                  | <b>YTD Revenue</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|--|--------------------|-------------------|--------------------|--------------------|
| Medicaid - PASRR                       | 15,559             | 31,164            | 49.93%             | 15,605             |
| DSHS Outpatient Competency Restoration | 34,745             | 54,909            | 63.28%             | 20,164             |

**Medicaid – PASRR** – This program is a cost reimbursement program that has had a vacant position for over a year. The vacancy resulted in lower expenses, and therefore, revenue is also lower than budgeted.

**DSHS Outpatient Competency Restoration** – This program is a cost reimbursement program that has had a vacant position for over a year and now has a second vacancy. These vacancies have resulted in lower expenses and therefore revenue is also lower than budgeted.

### **EXPENSES**

YTD Individual line expense items that exceed the YTD budget by more than \$10,000:

| <b>Expense Source</b> | <b>YTD Expenses</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|-----------------------|---------------------|-------------------|--------------------|--------------------|
| Building Improvements | 31,487              | 0                 | 0                  | 31,487             |



|                          |         |         |         |         |
|--------------------------|---------|---------|---------|---------|
| Contract – Non-Clinical  | 276,302 | 202,785 | 136.25% | 73,517  |
| Payroll – ARPA Retention | 364,060 | 0       | 0       | 364,060 |

**Building Improvements** – This line item reflects some facility modifications that were made to increase security for staff at the Cleveland and Liberty locations. These modifications were approved last fiscal year and will be completed this fiscal year. This line will be adjusted to include these expenses on the first budget revision.

**Contract – Non-Clinical** – This line item includes expenses incurred for the SAMHSA – CMHC grant that was awarded after the start of the fiscal year as well as some other expenses related to the purchase of the new Porter facility that was approved to purchase at the last Board meeting. This line item will also be adjusted to include the new program and facility purchase on the first budget revision.

**Payroll – ARPA Retention Incentive** – This is a new line item that was funded after the start of the fiscal year. This expense line is offset by the new revenue line for the funds awarded from Montgomery County from the American Rescue Plan Act. These funds pay for premium pay or recruitment incentives for essential workers to offer additional support to those who have and will continue to bear the greatest health risks because of their service in critical frontline positions to serve our clients. We will reflect these new lines in the next budget revision.

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended November 2021**

|   | <b>TOTALS COMBINED<br/>FUNDS<br/>November 2021</b> | <b>TOTALS COMBINED<br/>FUNDS<br/>October 2021</b> | <b>Increase<br/>(Decrease)</b> |
|---|--|---|--------------------------------|
| <b>ASSETS</b>   |  |   |                                |
| <b>CURRENT ASSETS</b>                                       |  |   |                                |
| Imprest Cash Funds  | 2,698  | 3,037   | (338)                          |
| Cash on Deposit - General Fund                              | 8,462,148  | 10,188,228  | (1,726,080)                    |
| Cash on Deposit - Debt Fund                                 | -  | -   | -                              |
| Accounts Receivable   | 3,994,426  | 3,435,572   | 558,854                        |
| Inventory   | 2,959  | 3,017   | (58)                           |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>12,462,231</b>                                  | <b>13,629,854</b>                                 | <b>(1,167,622)</b>             |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                                  | <b>18,541,959</b>                                 | <b>-</b>                       |
| <b>OTHER ASSETS</b>   | <b>265,308</b>                                     | <b>249,913</b>                                    | <b>15,395</b>                  |
| <b>TOTAL ASSETS</b>   | <b>\$ 31,269,499</b>                               | <b>\$ 32,421,726</b>                              | <b>\$ (1,152,226)</b>          |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |  |   |                                |
| <b>CURRENT LIABILITIES</b>                                  | <b>1,669,766</b>                                   | <b>1,268,243</b>                                  | <b>401,523</b>                 |
| <b>NOTES PAYABLE</b>  | <b>738,448</b>                                     | <b>738,448</b>                                    | <b>-</b>                       |
| <b>DEFERRED REVENUE</b>                                     | <b>858,853</b>                                     | <b>2,300,540</b>                                  | <b>(1,441,687)</b>             |
| <b>LONG-TERM LIABILITIES FOR</b>                            |  |   |                                |
| First Financial Conroe Building Loan                        | 10,584,587   | 10,625,892  | (41,306)                       |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |  |   |                                |
| General Fund  | 378,939  | 297,229   | 81,710                         |
| <b>FUND EQUITY</b>  |  |   |                                |
| <b>RESTRICTED</b>   |  |   |                                |
| Net Assets Reserved for Debt Service                        | (10,584,587)                                       | (10,625,892)                                      | 41,306                         |
| Reserved for Debt Retirement                                |  |   | -                              |
| <b>COMMITTED</b>  |  |   |                                |
| Net Assets - Property and Equipment                         | 18,541,959   | 18,541,959  | -                              |
| Reserved for Vehicles & Equipment Replacement               | 613,712  | 613,712   | -                              |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000  | 2,500,000   | -                              |
| Reserved for Board Initiatives                              | 1,500,000  | 1,500,000   | -                              |
| Reserved for 1115 Waiver Programs                           | 502,677  | 502,677   | -                              |
| <b>ASSIGNED</b>   |  |   |                                |
| Reserved for Workers' Compensation                          | 274,409  | 274,409   | -                              |
| Reserved for Current Year Budgeted Reserve                  | 18,501   | 12,334  | 6,167                          |
| Reserved for Insurance Deductibles                          | 100,000  | 100,000   | -                              |
| Reserved for Accrued Paid Time Off                          | (738,448)  | (738,448)   | -                              |
| <b>UNASSIGNED</b>   |  |   |                                |
| Unrestricted and Undesignated                               | 4,310,682  | 4,510,623   | (199,941)                      |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 31,269,498</b>                               | <b>\$ 32,421,726</b>                              | <b>\$ (1,152,227)</b>          |

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended November 2021**

|   | <b>General<br/>Operating<br/>Funds</b> | <b>Memorandum Only<br/><br/>Final<br/>August 2020</b> |
|---|--|---|
| <b>ASSETS</b>   |  |   |
| <b>CURRENT ASSETS</b>                                       |  |   |
| Imprest Cash Funds  | 2,698                                  | 3,900   |
| Cash on Deposit - General Fund                              | 8,462,148                              | 9,613,744   |
| Cash on Deposit - Debt Fund                                 | -                                      | -   |
| Accounts Receivable   | 3,994,426                              | 2,166,985   |
| Inventory   | 2,959                                  | 3,732   |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>12,462,231</b>                      | <b>11,788,361</b>                                     |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                      | <b>18,775,157</b>                                     |
| <b>OTHER ASSETS</b>   | <b>265,308</b>                         | <b>169,253</b>  |
|   | <b>\$ 31,269,499</b>                   | <b>\$ 30,732,771</b>                                  |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |  |   |
| <b>CURRENT LIABILITIES</b>                                  | 1,669,766                              | 1,542,782   |
| <b>NOTES PAYABLE</b>  | 738,448                                | 719,395   |
| <b>DEFERRED REVENUE</b>                                     | 858,853                                | 424,724   |
| <b>LONG-TERM LIABILITIES FOR</b>                            |  |   |
| First Financial Conroe Building Loan                        | 10,584,587                             | 11,200,154  |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |  |   |
| General Fund  | 378,939                                | 849,344   |
| <b>FUND EQUITY</b>  |  |   |
| <b>RESTRICTED</b>   |  |   |
| Net Assets Reserved for Debt Service - Restricted           | (10,584,587)                           | (11,200,154)  |
| Reserved for Debt Retirement                                | -                                      | -   |
| <b>COMMITTED</b>  |  |   |
| Net Assets - Property and Equipment - Committed             | 18,541,959                             | 18,775,157  |
| Reserved for Vehicles & Equipment Replacement               | 613,712                                | 613,712   |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000                              | 2,500,000   |
| Reserved for Board Initiatives                              | 1,500,000                              | 1,500,000   |
| Reserved for 1115 Waiver Programs                           | 502,677                                | 502,677   |
| <b>ASSIGNED</b>   |  |   |
| Reserved for Workers' Compensation - Assigned               | 274,409                                | 274,409   |
| Reserved for Current Year Budgeted Reserve - Assigned       | 18,501                                 | -   |
| Reserved for Insurance Deductibles - Assigned               | 100,000                                | 100,000   |
| Reserved for Accrued Paid Time Off                          | (738,448)                              | (719,395)   |
| <b>UNASSIGNED</b>   |  |   |
| Unrestricted and Undesignated                               | 4,310,682                              | 3,649,967   |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 31,269,498</b>                   | <b>\$ 30,732,771</b>                                  |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**For the Month Ended November 2021**  
**and Year To Date as of November 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>November 2021</b> | <b>YTD<br/>November 2021</b> |
|---|-----------------------------------|------------------------------|
| Local Revenue Sources   | 218,731                           | 372,225                      |
| Earned Income   | 1,506,129                         | 3,601,432                    |
| General Revenue - Contract  | 1,705,519                         | 5,242,878                    |
| <b>TOTAL INCOME</b>   | <b>\$ 3,430,379</b>               | <b>\$ 9,216,535</b>          |
| <br>  |                                   |                              |
| <b>EXPENSES:</b>  |                                   |                              |
| Salaries  | 1,861,548                         | 5,081,060                    |
| Employee Benefits   | 330,806                           | 972,552                      |
| Medication Expense  | 48,725                            | 149,162                      |
| Travel - Board/Staff  | 21,101                            | 56,161                       |
| Building Rent/Maintenance   | 5,272                             | 40,622                       |
| Consultants/Contracts   | 781,238                           | 1,660,749                    |
| Other Operating Expenses  | 198,117                           | 631,901                      |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,246,808</b>               | <b>\$ 8,592,208</b>          |
| <br>  |                                   |                              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 183,570</b>                 | <b>\$ 624,328</b>            |
| <br>  |                                   |                              |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                              |
| Capital Outlay - FF&E, Automobiles, Building  | 32,193                            | 36,386                       |
| Capital Outlay - Debt Service   | 69,667                            | 209,002                      |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 101,860</b>                 | <b>\$ 245,389</b>            |
| <br>  |                                   |                              |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,348,669</b>               | <b>\$ 8,837,596</b>          |
| <br>  |                                   |                              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 81,710</b>                  | <b>\$ 378,939</b>            |

|  |               |                |
|--|---------------|----------------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |                |
| Debt Service   | 69,667        | 209,002        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>209,002</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**Year to Date as of November 2021**

|   | YTD<br>November 2021 | APPROVED<br>BUDGET  | Increase<br>(Decrease) |
|---|----------------------|---------------------|------------------------|
| <b>INCOME:</b>  |                      |                     |                        |
| Local Revenue Sources   | 372,225              | 203,503             | 168,722                |
| Earned Income   | 3,601,432            | 3,250,651           | 350,781                |
|   | 5,242,878            | 5,281,898           | (39,020)               |
| <b>TOTAL INCOME</b>   | <b>\$ 9,216,535</b>  | <b>\$ 8,736,052</b> | <b>\$ 480,483</b>      |
| <b>EXPENSES:</b>  |                      |                     |                        |
| Salaries  | 5,081,060            | 4,916,379           | 164,681                |
| Employee Benefits   | 972,552              | 1,021,541           | (48,989)               |
| Medication Expense  | 149,162              | 159,562             | (10,400)               |
| Travel - Board/Staff  | 56,161               | 72,825              | (16,664)               |
| Building Rent/Maintenance   | 40,622               | 50,637              | (10,015)               |
| Consultants/Contracts   | 1,660,749            | 1,618,215           | 42,534                 |
| Other Operating Expenses  | 631,901              | 630,421             | 1,480                  |
| <b>TOTAL EXPENSES</b>   | <b>\$ 8,592,208</b>  | <b>\$ 8,469,580</b> | <b>\$ 122,628</b>      |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 624,328</b>    | <b>\$ 266,472</b>   | <b>\$ 357,856</b>      |
| <b>CAPITAL EXPENDITURES</b>   |                      |                     |                        |
| Capital Outlay - FF&E, Automobiles, Building  | 36,386               | 632                 | 35,754                 |
| Capital Outlay - Debt Service   | 209,002              | 209,007             | (5)                    |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 245,389</b>    | <b>\$ 209,639</b>   | <b>\$ 35,750</b>       |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 8,837,596</b>  | <b>\$ 8,679,219</b> | <b>\$ 158,377</b>      |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 378,939</b>    | <b>\$ 56,833</b>    | <b>\$ 322,106</b>      |

|   |                |                |            |
|---|----------------|----------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |                |                |            |
| Debt Service  | 209,002        | 209,007        | (5)        |
| <b>Excess(Deficiency) of Revenues over Expenses</b> | <b>209,002</b> | <b>209,007</b> | <b>(5)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**For the Month Ended November 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>November 2021</b> | <b>APPROVED<br/>BUDGET</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------------|----------------------------|--------------------------------|
| Local Revenue Sources   | 218,731                           | 58,730                     | 160,001                        |
| Earned Income   | 1,506,129                         | 1,062,305                  | 443,824                        |
| General Revenue-Contract  | 1,705,519                         | 1,705,272                  | 247                            |
| <b>TOTAL INCOME</b>   | <b>\$ 3,430,379</b>               | <b>\$ 2,826,307</b>        | <b>\$ 604,072</b>              |
| <b>EXPENSES:</b>  |                                   |                            |                                |
| Salaries  | 1,861,548                         | 1,440,364                  | 421,184                        |
| Employee Benefits   | 330,806                           | 329,427                    | 1,379                          |
| Medication Expense  | 48,725                            | 49,854                     | (1,129)                        |
| Travel - Board/Staff  | 21,101                            | 5,485                      | 15,616                         |
| Building Rent/Maintenance   | 5,272                             | 16,879                     | (11,607)                       |
| Consultants/Contracts   | 781,238                           | 685,082                    | 96,156                         |
| Other Operating Expenses  | 198,117                           | 204,606                    | (6,489)                        |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,246,808</b>               | <b>\$ 2,731,697</b>        | <b>\$ 515,111</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 183,570</b>                 | <b>\$ 94,610</b>           | <b>\$ 88,960</b>               |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                            |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 32,193                            | (23,333)                   | 55,526                         |
| Capital Outlay - Debt Service   | 69,667                            | 69,669                     | (2)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 101,860</b>                 | <b>\$ 46,336</b>           | <b>\$ 55,524</b>               |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,348,669</b>               | <b>\$ 2,778,033</b>        | <b>\$ 570,636</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 81,710</b>                  | <b>\$ 48,274</b>           | <b>\$ 33,436</b>               |

|  |               |               |            |
|--|---------------|---------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |               |            |
| Debt Service   | 69,667        | 69,669        | (2)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,669</b> | <b>(2)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With YTD November 2020 Comparative Data**  
**Year to Date as of November 2021**

| <b>INCOME:</b>  | <b>YTD<br/>November 2021</b> | <b>YTD<br/>November 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|------------------------------|------------------------------|--------------------------------|
| Local Revenue Sources   | 372,225                      | 819,143                      | (446,918)                      |
| Earned Income   | 3,601,432                    | 3,296,644                    | 304,788                        |
| General Revenue-Contract  | 5,242,878                    | 4,646,367                    | 596,511                        |
| <b>TOTAL INCOME</b>   | <b>\$ 9,216,535</b>          | <b>\$ 8,762,154</b>          | <b>\$ 454,381</b>              |
| <b>EXPENSES:</b>  |                              |                              |                                |
| Salaries  | 5,081,060                    | 4,819,405                    | 261,655                        |
| Employee Benefits   | 972,552                      | 994,533                      | (21,981)                       |
| Medication Expense  | 149,162                      | 193,713                      | (44,551)                       |
| Travel - Board/Staff  | 56,161                       | 29,535                       | 26,626                         |
| Building Rent/Maintenance   | 40,622                       | 63,218                       | (22,596)                       |
| Consultants/Contracts   | 1,660,749                    | 1,214,514                    | 446,235                        |
| Other Operating Expenses  | 631,901                      | 623,609                      | 8,292                          |
| <b>TOTAL EXPENSES</b>   | <b>\$ 8,592,208</b>          | <b>\$ 7,938,525</b>          | <b>\$ 653,681</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 624,328</b>            | <b>\$ 823,629</b>            | <b>\$ (199,299)</b>            |
| <b>CAPITAL EXPENDITURES</b>   |                              |                              |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 36,386                       | 504,884                      | (468,498)                      |
| Capital Outlay - Debt Service   | 209,002                      | 209,010                      | (8)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 245,389</b>            | <b>\$ 713,894</b>            | <b>\$ (468,505)</b>            |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 8,837,596</b>          | <b>\$ 8,652,419</b>          | <b>\$ 185,177</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 378,939</b>            | <b>\$ 109,732</b>            | <b>\$ 269,206</b>              |

**Debt Service and Fixed Asset Fund:**

|  |                |                |            |
|--|----------------|----------------|------------|
| Debt Service   | 209,002        | 209,010        | (8)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>209,002</b> | <b>209,010</b> | <b>(8)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With November 2020 Comparative Data**  
**For the Month ending November 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>November 2021</b> | <b>MONTH OF<br/>November 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------------|-----------------------------------|--------------------------------|
| Local Revenue Sources   | 218,731                           | 111,016                           | 107,715                        |
| Earned Income   | 1,506,129                         | 1,060,578                         | 445,551                        |
| General Revenue-Contract  | 1,705,519                         | 1,432,064                         | 273,455                        |
| <b>TOTAL INCOME</b>   | <b>\$ 3,430,379</b>               | <b>\$ 2,603,658</b>               | <b>\$ 826,721</b>              |
| Salaries  | 1,861,548                         | 1,494,013                         | 367,535                        |
| Employee Benefits   | 330,806                           | 317,137                           | 13,669                         |
| Medication Expense  | 48,725                            | 64,707                            | (15,982)                       |
| Travel - Board/Staff  | 21,101                            | 13,296                            | 7,805                          |
| Building Rent/Maintenance   | 5,272                             | 17,279                            | (12,007)                       |
| Consultants/Contracts   | 781,238                           | 406,974                           | 374,264                        |
| Other Operating Expenses  | 198,117                           | 229,645                           | (31,528)                       |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,246,808</b>               | <b>\$ 2,543,049</b>               | <b>\$ 703,757</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 183,570</b>                 | <b>\$ 60,609</b>                  | <b>\$ 122,963</b>              |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                                   |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 32,193                            | 774                               | 31,419                         |
| Capital Outlay - Debt Service   | 69,667                            | 69,670                            | (3)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 101,860</b>                 | <b>\$ 70,444</b>                  | <b>\$ 31,416</b>               |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,348,669</b>               | <b>\$ 2,613,493</b>               | <b>\$ 735,176</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 81,710</b>                  | <b>\$ (9,838)</b>                 | <b>\$ 91,547</b>               |

**Debt Service and Fixed Asset Fund:**

|  |               |               |            |
|--|---------------|---------------|------------|
| Debt Service   | 69,667        | 69,670        | (3)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,670</b> | <b>(3)</b> |



**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With October 2021 Comparative Data**  
**For the Month Ended November 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>November 2021</b> | <b>MONTH OF<br/>October 2021</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------------|----------------------------------|--------------------------------|
| Local Revenue Sources   | 218,731                           | 91,866                           | 126,865                        |
| Earned Income   | 1,506,129                         | 1,095,287                        | 410,842                        |
| General Revenue-Contract  | 1,705,519                         | 1,922,276                        | (216,757)                      |
| <b>TOTAL INCOME</b>   | <b>\$ 3,430,379</b>               | <b>\$ 3,109,429</b>              | <b>\$ 320,949</b>              |
| <b>EXPENSES:</b>  |                                   |                                  |                                |
| Salaries  | 1,861,548                         | 1,791,012                        | 70,536                         |
| Employee Benefits   | 330,806                           | 339,559                          | (8,753)                        |
| Medication Expense  | 48,725                            | 49,484                           | (758)                          |
| Travel - Board/Staff  | 21,101                            | 19,609                           | 1,492                          |
| Building Rent/Maintenance   | 5,272                             | 21,786                           | (16,514)                       |
| Consultants/Contracts   | 781,238                           | 424,930                          | 356,308                        |
| Other Operating Expenses  | 198,117                           | 205,176                          | (7,059)                        |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,246,808</b>               | <b>\$ 2,851,557</b>              | <b>\$ 395,252</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 183,570</b>                 | <b>\$ 257,873</b>                | <b>\$ (74,302)</b>             |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                                  |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 32,193                            | 260                              | 31,933                         |
| Capital Outlay - Debt Service   | 69,667                            | 69,667                           | -                              |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 101,860</b>                 | <b>\$ 69,927</b>                 | <b>\$ 31,933</b>               |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,348,669</b>               | <b>\$ 2,921,484</b>              | <b>\$ 427,185</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 81,710</b>                  | <b>\$ 187,945</b>                | <b>\$ (106,235)</b>            |

|  |               |               |          |
|--|---------------|---------------|----------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |               |          |
| Debt Service   | 69,667        | 69,667        | -        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,667</b> | <b>-</b> |

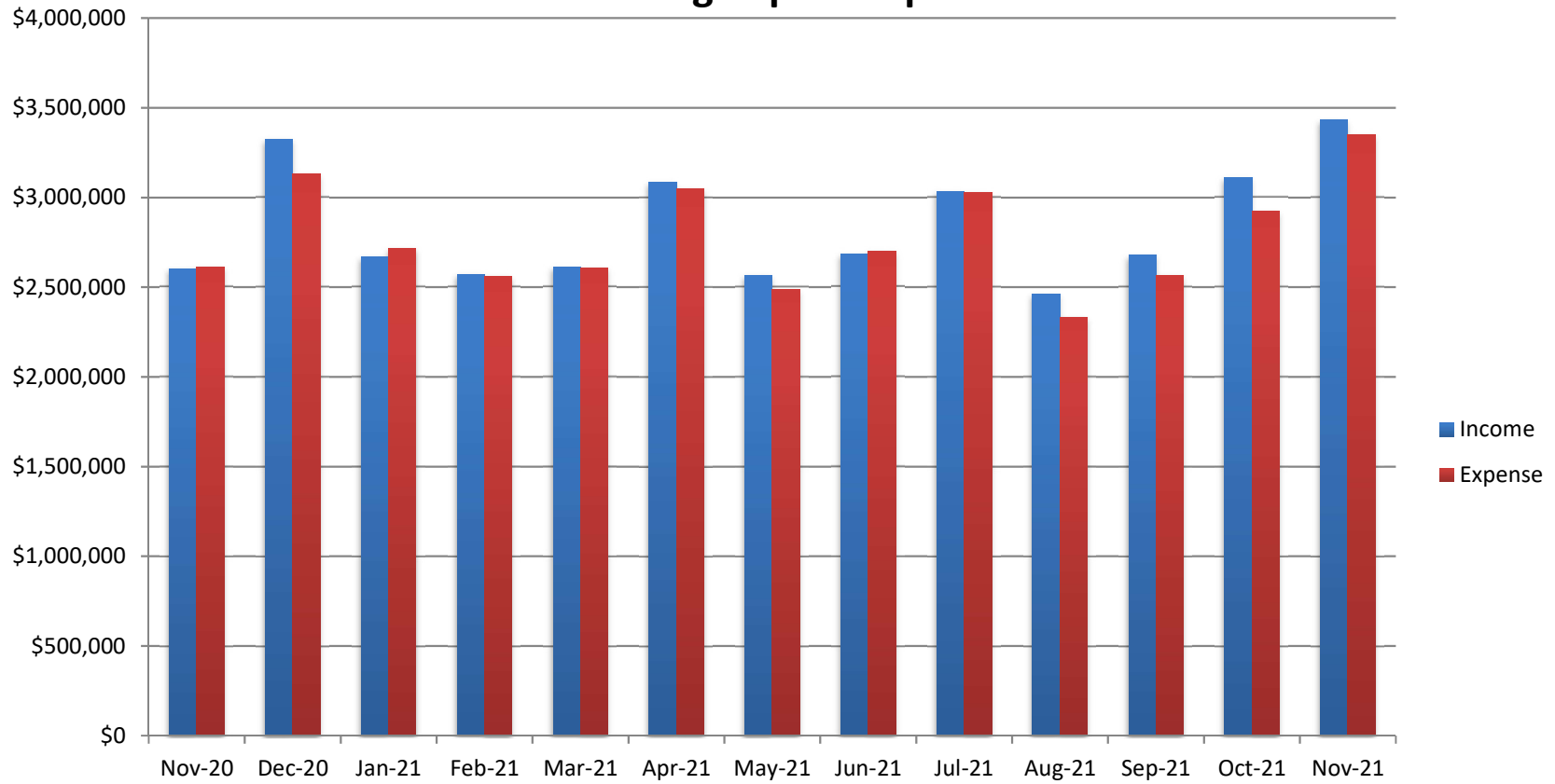
**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary by Service Type**  
**Compared to Budget**  
**Year To Date as of November 2021**

|   | YTD<br>Mental<br>Health<br>November 2021 | YTD<br>IDD<br>November 2021 | YTD<br>Other<br>Services<br>November 2021 | YTD<br>Agency<br>Total<br>November 2021 | YTD<br>Approved<br>Budget<br>November 2021 | Increase<br>(Decrease) |
|---|--|-----------------------------|---|---|--|------------------------|
| <b>INCOME:</b>  |  |                             |   |   |  |                        |
| Local Revenue Sources   | 387,901                                  | (95,177)                    | 79,500                                    | 372,225                                 | 203,503                                    | 168,722                |
| Earned Income   | 1,090,684                                | 972,673                     | 1,538,074                                 | 3,601,432                               | 3,250,651                                  | 350,781                |
| General Revenue-Contract  | 4,542,674                                | 399,383                     | 300,822                                   | 5,242,878                               | 5,281,898                                  | (39,020)               |
| <b>TOTAL INCOME</b>   | <b>\$ 6,021,259</b>                      | <b>\$ 1,276,879</b>         | <b>\$ 1,918,396</b>                       | <b>\$ 9,216,535</b>                     | <b>\$ 8,736,052</b>                        | <b>\$ 480,483</b>      |
| <b>EXPENSES:</b>  |  |                             |   |   |  |                        |
| Salaries  | 3,037,840                                | 704,467                     | 1,338,754                                 | 5,081,060                               | 4,916,379                                  | 164,681                |
| Employee Benefits   | 604,040                                  | 140,376                     | 228,137                                   | 972,552                                 | 1,021,541                                  | (48,989)               |
| Medication Expense  | 124,388                                  |                             | 24,773                                    | 149,162                                 | 159,562                                    | (10,400)               |
| Travel - Board/Staff  | 28,541                                   | 14,309                      | 13,311                                    | 56,161                                  | 72,825                                     | (16,664)               |
| Building Rent/Maintenance   | 27,301                                   | 4,655                       | 8,666                                     | 40,622                                  | 50,637                                     | (10,015)               |
| Consultants/Contracts   | 1,296,384                                | 226,458                     | 137,907                                   | 1,660,749                               | 1,618,215                                  | 42,534                 |
| Other Operating Expenses  | 388,070                                  | 147,128                     | 96,704                                    | 631,901                                 | 630,421                                    | 1,480                  |
| <b>TOTAL EXPENSES</b>   | <b>\$ 5,506,564</b>                      | <b>\$ 1,237,393</b>         | <b>\$ 1,848,252</b>                       | <b>\$ 8,592,208</b>                     | <b>\$ 8,469,580</b>                        | <b>\$ 122,627</b>      |
| <b>Excess(Deficiency) of Revenues over Expenses before Capital Expenditures</b> | <b>\$ 514,695</b>                        | <b>\$ 39,486</b>            | <b>\$ 70,144</b>                          | <b>\$ 624,327</b>                       | <b>\$ 266,472</b>                          | <b>\$ 357,856</b>      |
| <b>CAPITAL EXPENDITURES</b>   |  |                             |   |   |  |                        |
| Capital Outlay - FF&E, Automobiles, Building                                    | 35,775                                   | 587                         | 24  | 36,386                                  | 632  | 35,754                 |
| Capital Outlay - Debt Service   | 142,121                                  | 31,350                      | 35,530                                    | 209,002                                 | 209,007                                    | (5)                    |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 177,896</b>                        | <b>\$ 31,937</b>            | <b>\$ 35,554</b>                          | <b>\$ 245,388</b>                       | <b>\$ 209,639</b>                          | <b>\$ 35,749</b>       |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 5,684,460</b>                      | <b>\$ 1,269,330</b>         | <b>\$ 1,883,806</b>                       | <b>\$ 8,837,596</b>                     | <b>\$ 8,679,219</b>                        | <b>\$ 158,376</b>      |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                             | <b>\$ 336,799</b>                        | <b>\$ 7,549</b>             | <b>\$ 34,590</b>                          | <b>\$ 378,939</b>                       | <b>\$ 56,833</b>                           | <b>\$ 322,107</b>      |
| <b>Debt Service and Fixed Asset Fund:</b>                                       |  |                             |   |   |  |                        |
| Debt Service  | 142,121                                  | 31,350                      | 35,530                                    | 209,002                                 | 209,007                                    | (66,886)               |
| <b>Excess (Deficiency) of Revenues over Expenses</b>                            | <b>142,121</b>                           | <b>31,350</b>               | <b>35,530</b>                             | <b>209,002</b>                          | <b>209,007</b>                             | <b>(66,886)</b>        |

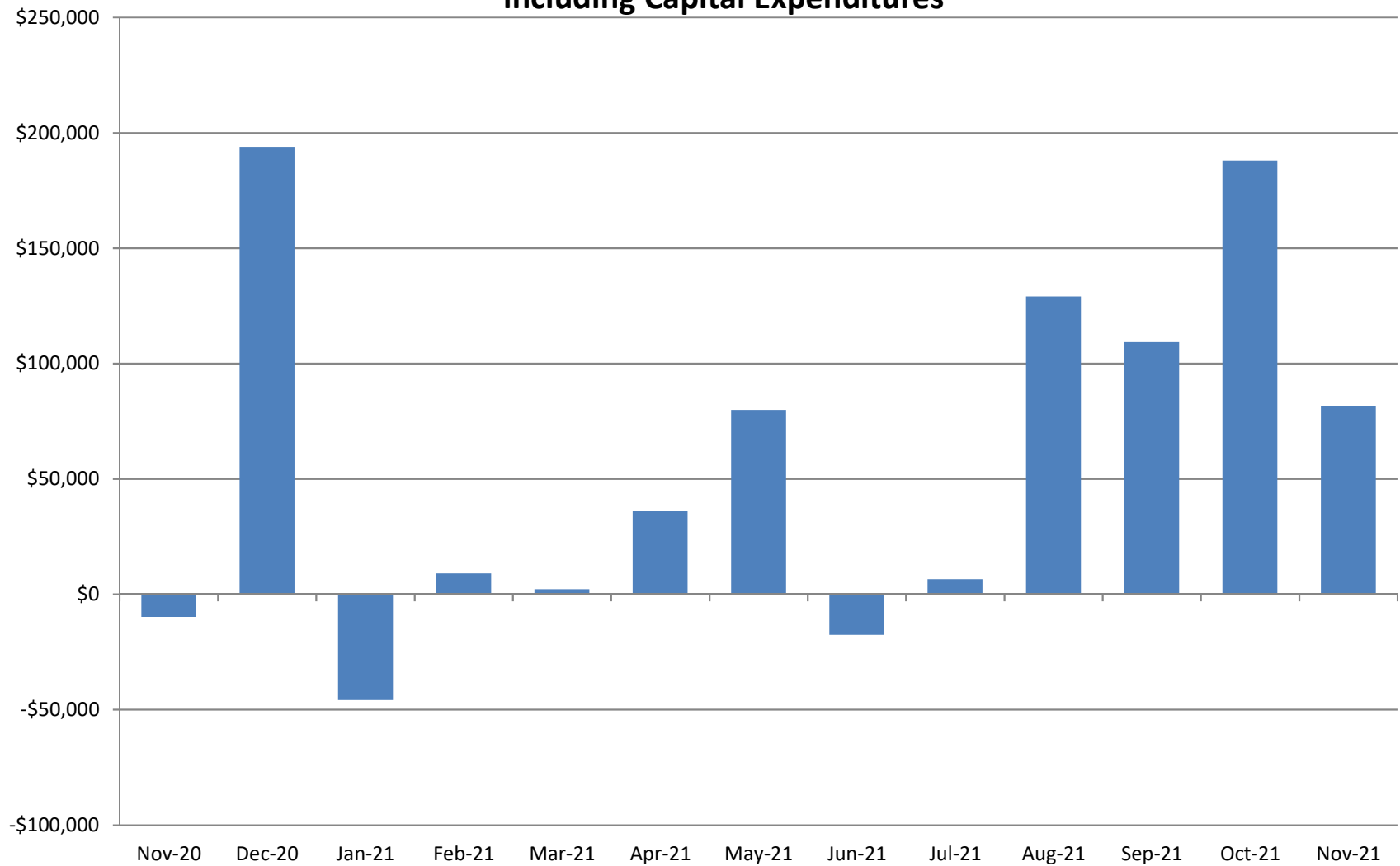
# TRI-COUNTY BEHAVIORAL HEALTHCARE

## Income and Expense

### including Capital Expenditures



**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Income after Expense**  
**including Capital Expenditures**



|  |   |
|--|---|
| <b>Agenda Item:</b> Approve December 2021 Financial Statements<br><br><b>Committee:</b> Business | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None   |   |
| <b>Supporting Documentation:</b><br><br>December 2021 Financial Statements                       |   |
| <b>Recommended Action:</b><br><br><b>Approve December 2021 Financial Statements</b>              |   |

## December 2021 Financial Summary

Revenues for December 2021 were \$3,400,003 and operating expenses were \$3,202,276 resulting in a gain in operation of \$197,728. Capital Expenditures and Extraordinary Expenses for December were \$127,883 resulting in a gain of \$69,845. Total revenues were 109.67% of the monthly budgeted revenues and total expenses were 106.54% of the monthly budgeted expenses (difference of 3.14%).

Year to date revenues are \$12,616,539 and operating expenses are \$11,794,483 leaving excess operating revenues of \$822,055. YTD Capital Expenditures and Extraordinary Expenses are \$373,271 resulting in a gain YTD of \$448,784. Total revenues are 106.71% of the YTD budgeted revenues and total expenses are 102.99% of the YTD budgeted expenses (difference of 3.73%).

### **REVENUES**

YTD Revenue items that are below the budget by more than \$10,000:

| <b>Revenue Source</b>                  | <b>YTD Revenue</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|--|--------------------|-------------------|--------------------|--------------------|
| Medicaid - PASRR                       | 20,641             | 45,552            | 45.31%             | 24,911             |
| HHSC - COPSD                           | 4,874              | 22,287            | 21.87%             | 17,413             |
| DSHS Outpatient Competency Restoration | 51,113             | 74,669            | 68.45%             | 23,556             |

**Medicaid – PASRR** – Since the beginning of COVID it has been difficult to provide PASRR services at nursing facilities and we have also had staff turnover that has affected the program revenue in this line item. We anticipate this trend will continue until the virus settles down.

**HHSC – COPSD** - As we have seen since COVID started, this program is mostly a face to face program and service delivery has been difficult to provide while COVID numbers have been high in our service area. This also happens to be a holiday month so we see more of a decrease in revenue than normal. We hope to see revenue numbers improve as COVID cases decrease and we move past the holiday months.

**DSHS Outpatient Competency Restoration** – This program is a cost reimbursement program that has had a vacant position for over a year. The vacancy resulted in lower expenses, and therefore, revenue is also lower than budgeted.

## **EXPENSES**

YTD Individual line expense items that exceed the YTD budget by more than \$10,000:

| <b>Expense Source</b>               | <b>YTD Expenses</b> | <b>YTD Budget</b> | <b>% of Budget</b> | <b>\$ Variance</b> |
|-------------------------------------|---------------------|-------------------|--------------------|--------------------|
| Building Improvements               | 31,487              | 0                 | 0                  | 31,487             |
| Contract – Non-Clinical             | 364,356             | 281,010           | 129.66%            | 83,346             |
| Fixed Asset – Furniture & Equipment | 62,044              | 310               | 200.14%            | 61,734             |
| Payroll – ARPA Retention            | 629,909             | 0                 | 0                  | 629,909            |
| TCBHC Retention Incentive           | 144,000             | 0                 | 0                  | 144,000            |

**Building Improvements** – This line item reflects some facility modifications that were made to increase security for staff at the Cleveland and Liberty locations. These modifications were approved last fiscal year and will be completed this fiscal year. This line will be adjusted to include these expenses on the first budget revision.

**Contract – Non-Clinical** – This line item includes expenses incurred for the SAMHSA – CMHC grant that was awarded after the start of the fiscal year as well as some other expenses related to the purchase of the new Porter facility that was approved to purchase at the last Board meeting. This line item will also be adjusted to include the new program and facility purchase on the first budget revision.

**Fixed Asset – Furniture & Equipment** – This item is the deposit for the purchase of the furniture ordered before the pricing went up for the Porter facility that was approved to purchase at the October 28<sup>th</sup> Board meeting.

**Payroll – ARPA Retention Incentive** – This line item that continues from last month and will get added at the budget revision, was funded after the start of the fiscal year. This expense line is offset by the new revenue line for the funds awarded from Montgomery County from the American Rescue Plan Act. These funds pay for premium pay or recruitment incentives for essential workers to offer additional support to those who have and will continue to bear the greatest health risks because of their service in critical frontline positions to serve our clients. We will reflect these new lines in the next budget revision.

**TCBHC Retention Incentive** – This is the expense line for the essential workers in the rural counties that compare to the positions that were funded by the Montgomery County ARPA funds as listed above. We have recently received funding from Walker County ARPA funds so this will be reduced going forward for positions located in that County. The remaining positions will be funded by agency lapse.



**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended December 2021**

|   | <b>TOTALS COMBINED<br/>FUNDS<br/>December 2021</b> | <b>TOTALS COMBINED<br/>FUNDS<br/>November 2021</b> | <b>Increase<br/>(Decrease)</b> |
|---|--|--|--------------------------------|
| <b>ASSETS</b>   |  |  |                                |
| <b>CURRENT ASSETS</b>                                       |  |  |                                |
| Imprest Cash Funds  | 1,748  | 2,698  | (950)                          |
| Cash on Deposit - General Fund                              | 9,485,453  | 8,462,148  | 1,023,305                      |
| Cash on Deposit - Debt Fund                                 | -  | -  | -                              |
| Accounts Receivable   | 5,312,379  | 3,994,426  | 1,317,953                      |
| Inventory   | 2,843  | 2,959  | (116)                          |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>14,802,423</b>                                  | <b>12,462,231</b>                                  | <b>2,340,191</b>               |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                                  | <b>18,541,959</b>                                  | <b>-</b>                       |
| <b>OTHER ASSETS</b>   | <b>222,997</b>                                     | <b>265,308</b>                                     | <b>(42,311)</b>                |
| <b>TOTAL ASSETS</b>   | <b>\$ 33,567,379</b>                               | <b>\$ 31,269,499</b>                               | <b>\$ 2,297,881</b>            |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |  |  |                                |
| <b>CURRENT LIABILITIES</b>                                  | 1,483,751  | 1,669,766  | (186,015)                      |
| <b>NOTES PAYABLE</b>  | 738,448  | 738,448  | -                              |
| <b>DEFERRED REVENUE</b>                                     | 3,266,737  | 858,853  | 2,407,884                      |
| <b>LONG-TERM LIABILITIES FOR</b>                            |  |  |                                |
| First Financial Conroe Building Loan                        | 10,542,256   | 10,584,587   | (42,331)                       |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |  |  |                                |
| General Fund  | 448,784  | 378,939  | 69,845                         |
| <b>FUND EQUITY</b>  |  |  |                                |
| <b>RESTRICTED</b>   |  |  |                                |
| Net Assets Reserved for Debt Service                        | (10,542,256)                                       | (10,584,587)                                       | 42,331                         |
| Reserved for Debt Retirement                                |  |  | -                              |
| <b>COMMITTED</b>  |  |  |                                |
| Net Assets - Property and Equipment                         | 18,541,959   | 18,541,959   | -                              |
| Reserved for Vehicles & Equipment Replacement               | 613,712  | 613,712  | -                              |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000  | 2,500,000  | -                              |
| Reserved for Board Initiatives                              | 1,500,000  | 1,500,000  | -                              |
| Reserved for 1115 Waiver Programs                           | 502,677  | 502,677  | -                              |
| <b>ASSIGNED</b>   |  |  |                                |
| Reserved for Workers' Compensation                          | 274,409  | 274,409  | -                              |
| Reserved for Current Year Budgeted Reserve                  | 24,668   | 18,501   | 6,167                          |
| Reserved for Insurance Deductibles                          | 100,000  | 100,000  | -                              |
| Reserved for Accrued Paid Time Off                          | (738,448)  | (738,448)  | -                              |
| <b>UNASSIGNED</b>   |  |  |                                |
| Unrestricted and Undesignated                               | 4,310,682  | 4,310,682  | 0                              |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 33,567,379</b>                               | <b>\$ 31,269,498</b>                               | <b>\$ 2,297,881</b>            |

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
CONSOLIDATED BALANCE SHEET  
For the Month Ended December 2021**

|   | <b>General<br/>Operating<br/>Funds</b> | <b>Memorandum Only<br/><br/>Final<br/>August 2020</b> |
|---|--|---|
| <b>ASSETS</b>   |  |   |
| <b>CURRENT ASSETS</b>                                       |  |   |
| Imprest Cash Funds  | 1,748                                  | 3,900   |
| Cash on Deposit - General Fund                              | 9,485,453                              | 9,613,744   |
| Cash on Deposit - Debt Fund                                 | -                                      | -   |
| Accounts Receivable   | 5,312,379                              | 2,166,985   |
| Inventory   | 2,843                                  | 3,732   |
| <b>TOTAL CURRENT ASSETS</b>                                 | <b>14,802,423</b>                      | <b>11,788,361</b>                                     |
| <b>FIXED ASSETS</b>   | <b>18,541,959</b>                      | <b>18,775,157</b>                                     |
| <b>OTHER ASSETS</b>   | <b>222,997</b>                         | <b>169,253</b>  |
|   | <b>\$ 33,567,379</b>                   | <b>\$ 30,732,771</b>                                  |
| <b>LIABILITIES, DEFERRED REVENUE, FUND BALANCES</b>         |  |   |
| <b>CURRENT LIABILITIES</b>                                  | <b>1,483,751</b>                       | <b>1,542,782</b>                                      |
| <b>NOTES PAYABLE</b>  | <b>738,448</b>                         | <b>719,395</b>  |
| <b>DEFERRED REVENUE</b>                                     | <b>3,266,737</b>                       | <b>424,724</b>  |
| <b>LONG-TERM LIABILITIES FOR</b>                            |  |   |
| First Financial Conroe Building Loan                        | 10,542,256                             | 11,200,154  |
| <b>EXCESS(DEFICIENCY) OF REVENUES<br/>OVER EXPENSES FOR</b> |  |   |
| General Fund  | 448,784                                | 849,344   |
| <b>FUND EQUITY</b>  |  |   |
| <b>RESTRICTED</b>   |  |   |
| Net Assets Reserved for Debt Service - Restricted           | (10,542,256)                           | (11,200,154)  |
| Reserved for Debt Retirement                                | -                                      | -   |
| <b>COMMITTED</b>  |  |   |
| Net Assets - Property and Equipment - Committed             | 18,541,959                             | 18,775,157  |
| Reserved for Vehicles & Equipment Replacement               | 613,712                                | 613,712   |
| Reserved for Facility Improvement & Acquisitions            | 2,500,000                              | 2,500,000   |
| Reserved for Board Initiatives                              | 1,500,000                              | 1,500,000   |
| Reserved for 1115 Waiver Programs                           | 502,677                                | 502,677   |
| <b>ASSIGNED</b>   |  |   |
| Reserved for Workers' Compensation - Assigned               | 274,409                                | 274,409   |
| Reserved for Current Year Budgeted Reserve - Assigned       | 24,668                                 | -   |
| Reserved for Insurance Deductibles - Assigned               | 100,000                                | 100,000   |
| Reserved for Accrued Paid Time Off                          | (738,448)                              | (719,395)   |
| <b>UNASSIGNED</b>   |  |   |
| Unrestricted and Undesignated                               | 4,310,682                              | 3,649,967   |
| <b>TOTAL LIABILITIES/FUND BALANCE</b>                       | <b>\$ 33,567,379</b>                   | <b>\$ 30,732,771</b>                                  |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**For the Month Ended December 2021**  
**and Year To Date as of December 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>December 2021</b> | <b>YTD<br/>December 2021</b> |
|---|-----------------------------------|------------------------------|
| Local Revenue Sources   | 66,789                            | 439,014                      |
| Earned Income   | 1,437,261                         | 5,038,692                    |
| General Revenue - Contract  | 1,895,954                         | 7,138,833                    |
| <b>TOTAL INCOME</b>   | <b>\$ 3,400,003</b>               | <b>\$ 12,616,539</b>         |
| <br>  |                                   |                              |
| <b>EXPENSES:</b>  |                                   |                              |
| Salaries  | 2,024,687                         | 7,105,747                    |
| Employee Benefits   | 347,027                           | 1,319,579                    |
| Medication Expense  | 45,935                            | 195,097                      |
| Travel - Board/Staff  | 17,719                            | 73,880                       |
| Building Rent/Maintenance   | 25,962                            | 66,584                       |
| Consultants/Contracts   | 544,410                           | 2,205,159                    |
| Other Operating Expenses  | 196,536                           | 828,437                      |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,202,276</b>               | <b>\$ 11,794,483</b>         |
| <br>  |                                   |                              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 197,728</b>                 | <b>\$ 822,055</b>            |
| <br>  |                                   |                              |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                              |
| Capital Outlay - FF&E, Automobiles, Building  | 58,215                            | 94,602                       |
| Capital Outlay - Debt Service   | 69,667                            | 278,670                      |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 127,883</b>                 | <b>\$ 373,271</b>            |
| <br>  |                                   |                              |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,330,158</b>               | <b>\$ 12,167,754</b>         |
| <br>  |                                   |                              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 69,845</b>                  | <b>\$ 448,784</b>            |

|  |               |                |
|--|---------------|----------------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |                |
| Debt Service   | 69,667        | 278,670        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>278,670</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**Year to Date as of December 2021**

|   | YTD<br>December 2021      | APPROVED<br>BUDGET       | Increase<br>(Decrease)    |
|---|---------------------------|--------------------------|---------------------------|
| <b>INCOME:</b>  |                           |                          |                           |
| Local Revenue Sources   | 439,014                   | 262,996                  | 176,018                   |
| Earned Income   | 5,038,692                 | 4,374,508                | 664,184                   |
|   | 7,138,833                 | 7,185,377                | (46,544)                  |
| <b>TOTAL INCOME</b>   | <b>\$ 12,616,539</b>      | <b>\$ 11,822,881</b>     | <b>\$ 793,658</b>         |
| <b>EXPENSES:</b>  |                           |                          |                           |
| Salaries  | 7,105,747                 | 6,748,886                | 356,861                   |
| Employee Benefits   | 1,319,579                 | 1,380,556                | (60,977)                  |
| Medication Expense  | 195,097                   | 209,416                  | (14,319)                  |
| Travel - Board/Staff  | 73,880                    | 98,865                   | (24,985)                  |
| Building Rent/Maintenance   | 66,584                    | 67,516                   | (932)                     |
| Consultants/Contracts   | 2,205,159                 | 2,184,387                | 20,772                    |
| Other Operating Expenses  | 828,437                   | 846,126                  | (17,689)                  |
| <b>TOTAL EXPENSES</b>   | <b>\$ 11,794,483</b>      | <b>\$ 11,535,752</b>     | <b>\$ 258,731</b>         |
| <br><b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <br><b>\$ 822,055</b>     | <br><b>\$ 287,129</b>    | <br><b>\$ 534,926</b>     |
| <br><b>CAPITAL EXPENDITURES</b>   |                           |                          |                           |
| Capital Outlay - FF&E, Automobiles, Building  | 94,602                    | 632                      | 93,970                    |
| Capital Outlay - Debt Service   | 278,670                   | 278,676                  | (6)                       |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 373,271</b>         | <b>\$ 279,308</b>        | <b>\$ 93,963</b>          |
| <br><b>GRAND TOTAL EXPENDITURES</b>   | <br><b>\$ 12,167,754</b>  | <br><b>\$ 11,815,060</b> | <br><b>\$ 352,694</b>     |
| <br><br><b>Excess (Deficiency) of Revenues and Expenses</b>                             | <br><br><b>\$ 448,784</b> | <br><br><b>\$ 7,821</b>  | <br><br><b>\$ 440,963</b> |

|   |                |                |            |
|---|----------------|----------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>           |                |                |            |
| Debt Service  | 278,670        | 278,676        | (6)        |
| <b>Excess(Deficiency) of Revenues over Expenses</b> | <b>278,670</b> | <b>278,676</b> | <b>(6)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**Compared to Budget**  
**For the Month Ended December 2021**

| <b>INCOME:</b>           | <b>MONTH OF<br/>December 2021</b> | <b>APPROVED<br/>BUDGET</b> | <b>Increase<br/>(Decrease)</b> |
|--------------------------|-----------------------------------|----------------------------|--------------------------------|
| Local Revenue Sources    | 66,789                            | 59,493                     | 7,296                          |
| Earned Income            | 1,437,261                         | 1,123,857                  | 313,404                        |
| General Revenue-Contract | 1,895,954                         | 1,916,812                  | (20,858)                       |
| <b>TOTAL INCOME</b>      | <b>\$ 3,400,003</b>               | <b>\$ 3,100,162</b>        | <b>\$ 299,841</b>              |

|                           |                     |                     |                   |
|---------------------------|---------------------|---------------------|-------------------|
| <b>EXPENSES:</b>          |                     |                     |                   |
| Salaries                  | 2,024,687           | 1,822,507           | 202,180           |
| Employee Benefits         | 347,027             | 359,015             | (11,988)          |
| Medication Expense        | 45,935              | 49,854              | (3,919)           |
| Travel - Board/Staff      | 17,719              | 26,040              | (8,321)           |
| Building Rent/Maintenance | 25,962              | 16,879              | 9,083             |
| Consultants/Contracts     | 544,410             | 566,172             | (21,762)          |
| Other Operating Expenses  | 196,536             | 215,705             | (19,169)          |
| <b>TOTAL EXPENSES</b>     | <b>\$ 3,202,276</b> | <b>\$ 3,056,172</b> | <b>\$ 146,104</b> |

|   |                   |                  |                   |
|---|-------------------|------------------|-------------------|
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 197,728</b> | <b>\$ 43,990</b> | <b>\$ 153,738</b> |
|---|-------------------|------------------|-------------------|

|  |                   |                  |                  |
|--|-------------------|------------------|------------------|
| <b>CAPITAL EXPENDITURES</b>                  |                   |                  |                  |
| Capital Outlay - FF&E, Automobiles, Building | 58,215            | -                | 58,215           |
| Capital Outlay - Debt Service                | 69,667            | 69,669           | (2)              |
| <b>TOTAL CAPITAL EXPENDITURES</b>            | <b>\$ 127,883</b> | <b>\$ 69,669</b> | <b>\$ 58,214</b> |

|                                 |                     |                     |                   |
|---------------------------------|---------------------|---------------------|-------------------|
| <b>GRAND TOTAL EXPENDITURES</b> | <b>\$ 3,330,158</b> | <b>\$ 3,125,841</b> | <b>\$ 204,317</b> |
|---------------------------------|---------------------|---------------------|-------------------|

|   |                  |                    |                  |
|---|------------------|--------------------|------------------|
| <b>Excess (Deficiency) of Revenues and Expenses</b> | <b>\$ 69,845</b> | <b>\$ (25,679)</b> | <b>\$ 95,524</b> |
|---|------------------|--------------------|------------------|

|  |               |               |            |
|--|---------------|---------------|------------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |               |            |
| Debt Service   | 69,667        | 69,669        | (2)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,669</b> | <b>(2)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With YTD December 2020 Comparative Data**  
**Year to Date as of December 2021**

| <b>INCOME:</b>  | <b>YTD<br/>December 2021</b> | <b>YTD<br/>December 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|------------------------------|------------------------------|--------------------------------|
| Local Revenue Sources   | 439,014                      | 1,226,357                    | (787,343)                      |
| Earned Income   | 5,038,692                    | 4,375,280                    | 663,412                        |
| General Revenue-Contract  | 7,138,833                    | 6,484,096                    | 654,737                        |
| <b>TOTAL INCOME</b>   | <b>\$ 12,616,539</b>         | <b>\$ 12,085,733</b>         | <b>\$ 530,806</b>              |
| <b>EXPENSES:</b>  |                              |                              |                                |
| Salaries  | 7,105,747                    | 6,781,216                    | 324,531                        |
| Employee Benefits   | 1,319,579                    | 1,376,740                    | (57,161)                       |
| Medication Expense  | 195,097                      | 257,670                      | (62,573)                       |
| Travel - Board/Staff  | 73,880                       | 39,658                       | 34,222                         |
| Building Rent/Maintenance   | 66,584                       | 91,375                       | (24,791)                       |
| Consultants/Contracts   | 2,205,159                    | 1,626,849                    | 578,310                        |
| Other Operating Expenses  | 828,437                      | 821,331                      | 7,106                          |
| <b>TOTAL EXPENSES</b>   | <b>\$ 11,794,483</b>         | <b>\$ 10,994,837</b>         | <b>\$ 799,644</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 822,055</b>            | <b>\$ 1,090,896</b>          | <b>\$ (268,839)</b>            |
| <b>CAPITAL EXPENDITURES</b>   |                              |                              |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 94,602                       | 508,621                      | (414,019)                      |
| Capital Outlay - Debt Service   | 278,670                      | 278,680                      | (10)                           |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 373,271</b>            | <b>\$ 787,301</b>            | <b>\$ (414,030)</b>            |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 12,167,754</b>         | <b>\$ 11,782,138</b>         | <b>\$ 385,616</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 448,784</b>            | <b>\$ 303,592</b>            | <b>\$ 145,191</b>              |

**Debt Service and Fixed Asset Fund:**

|  |                |                |             |
|--|----------------|----------------|-------------|
| Debt Service   | 278,670        | 278,680        | (10)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>278,670</b> | <b>278,680</b> | <b>(10)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With December 2020 Comparative Data**  
**For the Month ending December 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>December 2021</b> | <b>MONTH OF<br/>Decembr 2020</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------------|----------------------------------|--------------------------------|
| Local Revenue Sources   | 66,789                            | 407,214                          | (340,425)                      |
| Earned Income   | 1,437,261                         | 1,078,636                        | 358,625                        |
| General Revenue-Contract  | 1,895,954                         | 1,837,729                        | 58,225                         |
| <b>TOTAL INCOME</b>   | <b>\$ 3,400,003</b>               | <b>\$ 3,323,579</b>              | <b>\$ 76,424</b>               |
| Salaries  | 2,024,687                         | 1,961,811                        | 62,876                         |
| Employee Benefits   | 347,027                           | 382,207                          | (35,180)                       |
| Medication Expense  | 45,935                            | 63,956                           | (18,021)                       |
| Travel - Board/Staff  | 17,719                            | 10,123                           | 7,596                          |
| Building Rent/Maintenance   | 25,962                            | 28,157                           | (2,195)                        |
| Consultants/Contracts   | 544,410                           | 412,335                          | 132,075                        |
| Other Operating Expenses  | 196,536                           | 197,722                          | (1,186)                        |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,202,276</b>               | <b>\$ 3,056,309</b>              | <b>\$ 145,965</b>              |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 197,728</b>                 | <b>\$ 267,270</b>                | <b>\$ (69,540)</b>             |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                                  |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 58,215                            | 3,737                            | 54,478                         |
| Capital Outlay - Debt Service   | 69,667                            | 69,670                           | (3)                            |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 127,883</b>                 | <b>\$ 73,407</b>                 | <b>\$ 54,476</b>               |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,330,158</b>               | <b>\$ 3,129,716</b>              | <b>\$ 200,442</b>              |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 69,845</b>                  | <b>\$ 193,860</b>                | <b>\$ (124,016)</b>            |

**Debt Service and Fixed Asset Fund:**

|  |               |               |            |
|--|---------------|---------------|------------|
| Debt Service   | 69,667        | 69,670        | (3)        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,670</b> | <b>(3)</b> |

**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary**  
**With November 2021 Comparative Data**  
**For the Month Ended December 2021**

| <b>INCOME:</b>  | <b>MONTH OF<br/>December 2021</b> | <b>MONTH OF<br/>November 2021</b> | <b>Increase<br/>(Decrease)</b> |
|---|-----------------------------------|-----------------------------------|--------------------------------|
| Local Revenue Sources   | 66,789                            | 218,731                           | (151,942)                      |
| Earned Income   | 1,437,261                         | 1,506,129                         | (68,869)                       |
| General Revenue-Contract  | 1,895,954                         | 1,705,519                         | 190,436                        |
| <b>TOTAL INCOME</b>   | <b>\$ 3,400,003</b>               | <b>\$ 3,430,379</b>               | <b>\$ (30,375)</b>             |
| <b>EXPENSES:</b>  |                                   |                                   |                                |
| Salaries  | 2,024,687                         | 1,861,548                         | 163,139                        |
| Employee Benefits   | 347,027                           | 330,806                           | 16,220                         |
| Medication Expense  | 45,935                            | 48,725                            | (2,790)                        |
| Travel - Board/Staff  | 17,719                            | 21,101                            | (3,382)                        |
| Building Rent/Maintenance   | 25,962                            | 5,272                             | 20,690                         |
| Consultants/Contracts   | 544,410                           | 781,238                           | (236,828)                      |
| Other Operating Expenses  | 196,536                           | 198,117                           | (1,581)                        |
| <b>TOTAL EXPENSES</b>   | <b>\$ 3,202,276</b>               | <b>\$ 3,246,808</b>               | <b>\$ (44,533)</b>             |
| <b>Excess(Deficiency) of Revenues over<br/>Expenses before Capital Expenditures</b> | <b>\$ 197,728</b>                 | <b>\$ 183,570</b>                 | <b>\$ 14,158</b>               |
| <b>CAPITAL EXPENDITURES</b>   |                                   |                                   |                                |
| Capital Outlay - FF&E, Automobiles, Building  | 58,215                            | 32,193                            | 26,022                         |
| Capital Outlay - Debt Service   | 69,667                            | 69,667                            | -                              |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 127,883</b>                 | <b>\$ 101,860</b>                 | <b>\$ 26,022</b>               |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 3,330,158</b>               | <b>\$ 3,348,669</b>               | <b>\$ (18,510)</b>             |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                                 | <b>\$ 69,845</b>                  | <b>\$ 81,710</b>                  | <b>\$ (11,865)</b>             |

|  |               |               |          |
|--|---------------|---------------|----------|
| <b>Debt Service and Fixed Asset Fund:</b>            |               |               |          |
| Debt Service   | 69,667        | 69,667        | -        |
| <b>Excess (Deficiency) of Revenues over Expenses</b> | <b>69,667</b> | <b>69,667</b> | <b>-</b> |



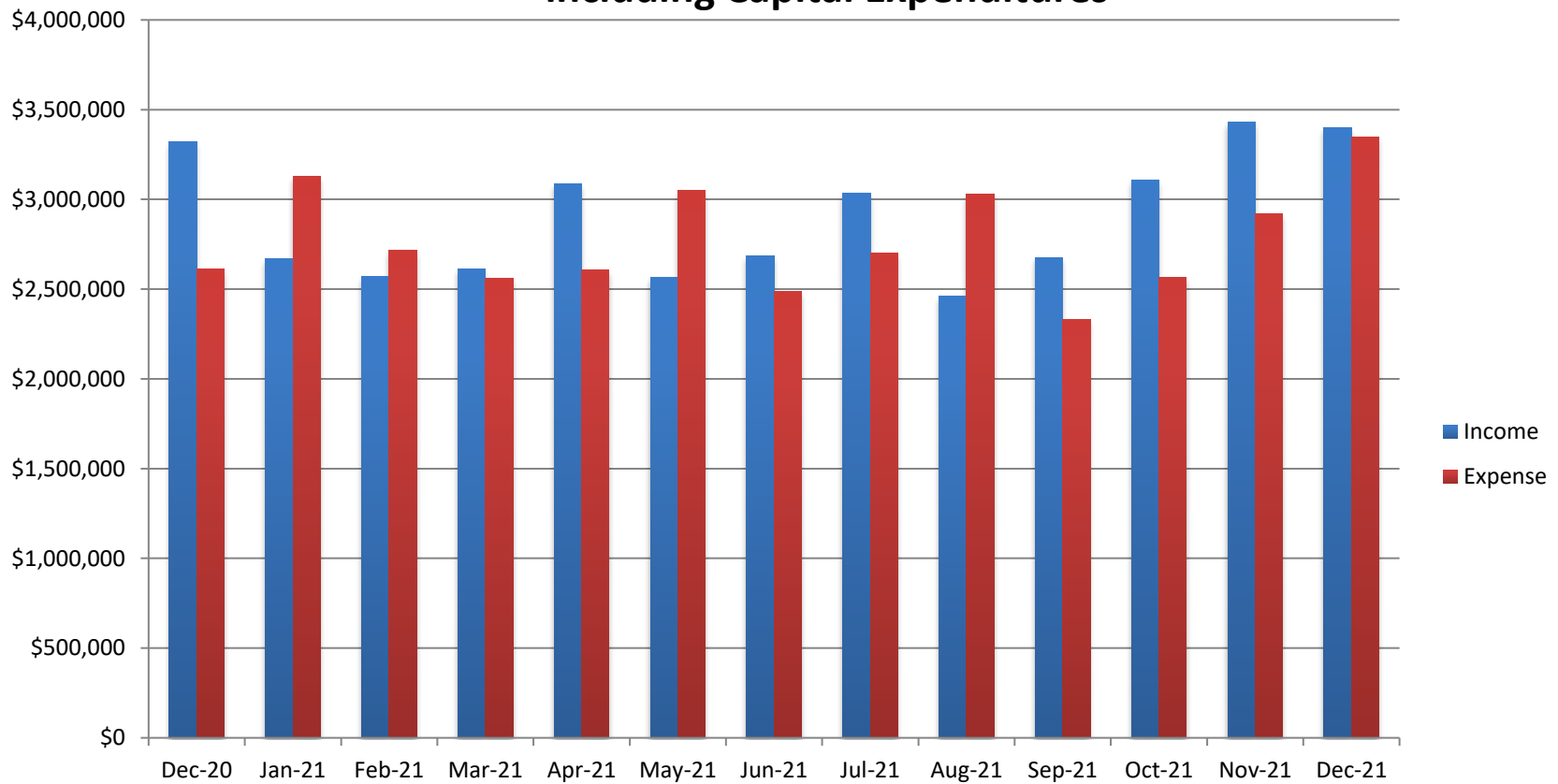
**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Revenue and Expense Summary by Service Type**  
**Compared to Budget**  
**Year To Date as of December 2021**

|   | YTD<br>Mental<br>Health<br>December 2021 | YTD<br>IDD<br>December 2021 | YTD<br>Other<br>Services<br>December 2021 | YTD<br>Agency<br>Total<br>December 2021 | YTD<br>Approved<br>Budget<br>December 2021 | Increase<br>(Decrease) |
|---|--|-----------------------------|---|---|--|------------------------|
| <b>INCOME:</b>  |  |                             |   |   |  |                        |
| Local Revenue Sources   | 441,653                                  | (111,874)                   | 109,234                                   | 439,014                                 | 262,996                                    | 176,018                |
| Earned Income   | 1,440,171                                | 1,106,445                   | 2,492,075                                 | 5,038,692                               | 4,374,508                                  | 664,184                |
| General Revenue-Contract  | 6,180,719                                | 559,232                     | 398,883                                   | 7,138,833                               | 7,185,377                                  | (46,544)               |
| <b>TOTAL INCOME</b>   | <b>\$ 8,062,543</b>                      | <b>\$ 1,553,803</b>         | <b>\$ 3,000,192</b>                       | <b>\$ 12,616,539</b>                    | <b>\$ 11,822,881</b>                       | <b>\$ 793,658</b>      |
| <b>EXPENSES:</b>  |  |                             |   |   |  |                        |
| Salaries  | 4,126,182                                | 977,027                     | 2,002,538                                 | 7,105,747                               | 6,748,886                                  | 356,861                |
| Employee Benefits   | 804,894                                  | 189,827                     | 324,857                                   | 1,319,579                               | 1,380,556                                  | (60,977)               |
| Medication Expense  | 162,438                                  |                             | 32,658                                    | 195,097                                 | 209,416                                    | (14,319)               |
| Travel - Board/Staff  | 36,382                                   | 20,304                      | 17,194                                    | 73,880                                  | 98,865                                     | (24,985)               |
| Building Rent/Maintenance   | 44,623                                   | 5,189                       | 16,772                                    | 66,584                                  | 67,516                                     | (932)                  |
| Consultants/Contracts   | 1,719,718                                | 300,247                     | 185,194                                   | 2,205,159                               | 2,184,387                                  | 20,772                 |
| Other Operating Expenses  | 507,876                                  | 194,220                     | 126,341                                   | 828,437                                 | 846,126                                    | (17,689)               |
| <b>TOTAL EXPENSES</b>   | <b>\$ 7,402,113</b>                      | <b>\$ 1,686,814</b>         | <b>\$ 2,705,554</b>                       | <b>\$ 11,794,484</b>                    | <b>\$ 11,535,752</b>                       | <b>\$ 258,731</b>      |
| <b>Excess(Deficiency) of Revenues over Expenses before Capital Expenditures</b> | <b>\$ 660,430</b>                        | <b>\$ (133,011)</b>         | <b>\$ 294,638</b>                         | <b>\$ 822,055</b>                       | <b>\$ 287,129</b>                          | <b>\$ 534,927</b>      |
| <b>CAPITAL EXPENDITURES</b>   |  |                             |   |   |  |                        |
| Capital Outlay - FF&E, Automobiles, Building                                    | 74,823                                   | 10,026                      | 9,752                                     | 94,602                                  | 632  | 93,970                 |
| Capital Outlay - Debt Service   | 189,496                                  | 41,801                      | 47,374                                    | 278,670                                 | 278,676                                    | (6)                    |
| <b>TOTAL CAPITAL EXPENDITURES</b>   | <b>\$ 264,319</b>                        | <b>\$ 51,827</b>            | <b>\$ 57,126</b>                          | <b>\$ 373,272</b>                       | <b>\$ 279,308</b>                          | <b>\$ 93,964</b>       |
| <b>GRAND TOTAL EXPENDITURES</b>   | <b>\$ 7,666,432</b>                      | <b>\$ 1,738,641</b>         | <b>\$ 2,762,680</b>                       | <b>\$ 12,167,756</b>                    | <b>\$ 11,815,060</b>                       | <b>\$ 352,695</b>      |
| <b>Excess (Deficiency) of Revenues and Expenses</b>                             | <b>\$ 396,111</b>                        | <b>\$ (184,838)</b>         | <b>\$ 237,512</b>                         | <b>\$ 448,784</b>                       | <b>\$ 7,821</b>                            | <b>\$ 440,963</b>      |
| <b>Debt Service and Fixed Asset Fund:</b>                                       |  |                             |   |   |  |                        |
| Debt Service  | 189,496                                  | 41,801                      | 47,374                                    | 278,670                                 | 278,676                                    | (89,180)               |
| <b>Excess (Deficiency) of Revenues over Expenses</b>                            | <b>189,496</b>                           | <b>41,801</b>               | <b>47,374</b>                             | <b>278,670</b>                          | <b>278,676</b>                             | <b>(89,180)</b>        |

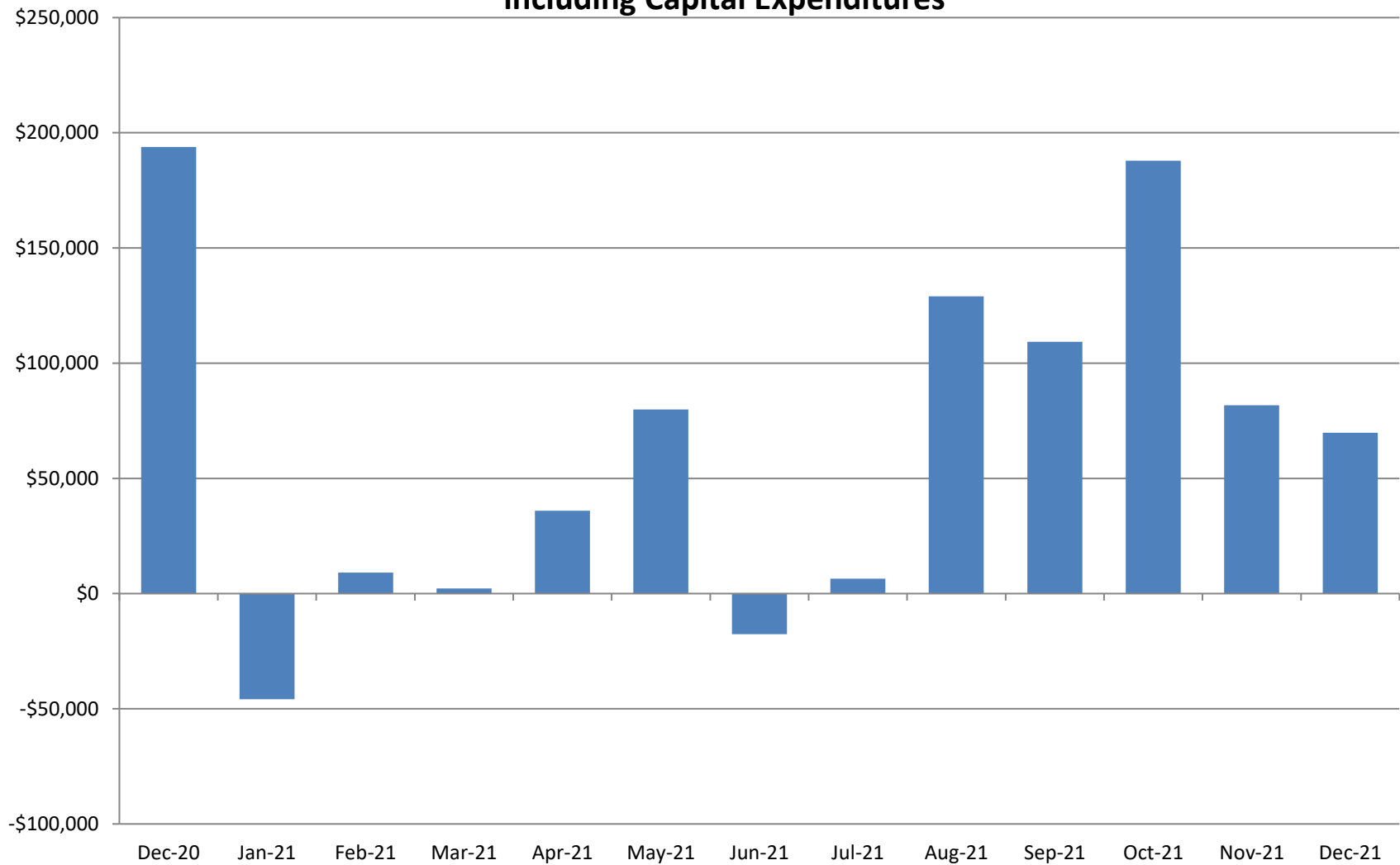
# TRI-COUNTY BEHAVIORAL HEALTHCARE

## Income and Expense

### including Capital Expenditures



**TRI-COUNTY BEHAVIORAL HEALTHCARE**  
**Income after Expense**  
**including Capital Expenditures**



|   |   |
|---|---|
| <b>Agenda Item:</b> Approve FY 2021 Independent Financial Audit<br><br><b>Committee:</b> Business   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>Eide Bailly, LLP audited Tri-County's Financial Statements for the fiscal year ending August 31, 2021. There were no material findings related to the financial statements. |   |
| <b>Supporting Documentation:</b><br><br>Copy of Preliminary Audited Financial Statements – Mailed to Board Members  |   |
| <b>Recommended Action:</b><br><br><b>Approve FY 2021 Independent Financial Audit</b>  |   |

|   |   |
|---|---|
| <b>Agenda Item:</b> Ratify Health and Human Services Commission COVID-19 Supplemental Grant Program, Contract No. HHS001108400037, Amendment No. 1<br><br><b>Committee:</b> Business  | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br><p>HHSC is using new federal dollars to provide funding for Outpatient Capacity Expansion. Initially this contract required a series of budget forms and tracking of individual clients to prove up expanded services, but after negotiation, the Centers were able to convince HHSC to allow use of the funds to address current or future workforce challenges without these associated service targets. Each Center has to request that the performance targets be waived in order to use the funds for workforce.</p> <p>The increase in Adult target that is required for Tri-County is 76 individuals and the increase in the Child and Youth target is 15 individuals. Both targets will be easily achieved by the Center.</p> <p>The contract increase is \$630,401 for FY 2022 and \$336,790 through March of 2023. HHSC anticipates additional funds to pay for these services after March of 2023, but they will come in an amendment.</p> <p>HHSC needed to receive the signed contract back before the January Board meeting, so the Executive Director has signed the agreement and is requesting ratification.</p> |   |
| <b>Supporting Documentation:</b><br><br><p>Contract will be available for review at the Board meeting.</p>  |   |
| <b>Recommended Action:</b><br><br><b>Ratify Health and Human Services Commission COVID-19 Supplemental Grant Program, Contract No. HHS001108400037, Amendment No. 1</b>   |   |

|  |  |
|--|--|
| <b>Agenda Item:</b> Ratify FY 2022 Lifetime Homecare Services Contract<br><br><b>Committee:</b> Business   | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br><p>Health and Human Services Commission (HHSC) requires each Center to provide or contract for out-of-home crisis respite services for persons with Intellectual and Developmental Disabilities. Crisis respite is a short-term service provided in a 24-hour supervised environment for individuals demonstrating a crisis that cannot be stabilized in their home. This service ultimately is to assist someone in maintaining community living in the least restrictive environment possible. HHSC provides funding for this service.</p> <p>The out-of-home crisis respite is required to be provided in a setting for which the state oversees. Lifetime Homecare Services is a Home and Community-based Services (HCS) provider that is willing to utilize space in their licensed homes for IDD crises.</p> <p>Lifetime Homecare Services receives \$2,000 per month to ensure space is available in their homes along with trained staff. Once someone is in their crisis respite, they receive \$300 per day which includes day habilitation services.</p> <p>The contract with Lifetime Homecare Services for FY 2022 is \$75,000.</p> |  |
| <b>Supporting Documentation:</b><br><br>Contract Available for Review at the Board Meeting   |  |
| <b>Recommended Action:</b><br><br><b>Ratify the FY 2022 Lifetime Homecare Services Contract for IDD Crisis Respite Services</b>  |  |

|  |  |
|--|--|
| <p><b>Agenda Item:</b> Ratify Health and Human Service Commission Contract No. HHS000994900001, Amendment No. 1, Intellectual and Developmental Disability Authority Services</p> <p><b>Committee:</b> Business</p>  | <p><b>Board Meeting Date</b></p> <p>January 27, 2022</p> |
| <p><b>Background Information:</b></p> <p>HHSC has amended the Intellectual and Developmental Disability Authority contract primarily because the Federal Money Follows the Person (MFP) funding for the year has not yet been approved by the Centers for Medicare and Medicaid Services. MFP pays for Enhanced Community Coordination staff that work with clients who have transitioned and/or been diverted from nursing facilities or State Supported Living Centers. MFP also pays for one-time supports to aid these clients in diversion. HHSC has identified a secondary funding source which allows them to fund MFP services until July 31, 2022 and will amend this contract again when funding for the month of August can be secured.</p> <p>Two performance measures for the Texas Home Living program were also added in this Amendment:</p> <ol style="list-style-type: none"> <li>1. Interest list population performance measure; and,</li> <li>2. Texas Home Living enrollment measure.</li> </ol> <p>In addition to these changes, several changes were made to contact structures, links were updated, etc.</p> <p>HHSC needed to receive the signed contract back before the January Board meeting, so the Executive Director has signed the agreement and is requesting ratification.</p> |  |
| <p><b>Supporting Documentation:</b></p> <p>Contract will be available for review at the Board meeting.</p>   |  |
| <p><b>Recommended Action:</b></p> <p><b>Ratify Health and Human Service Commission Contract No. HHS000994900001, Amendment No. 1, Intellectual and Developmental Disability Authority Services</b></p>   |  |

|  |  |
|--|--|
| <b>Agenda Item:</b> Reappoint Independence Communities, Inc. Board of Directors<br><br><b>Committee:</b> Business  | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>Mr. Morris Johnson serves on the Independence Communities, Inc. Board and his term expires in January 2022.<br><br>Mr. Johnson has been contacted and is willing to serve an additional two-year term, which would expire in January 2024. |  |
| <b>Supporting Documentation:</b><br><br>None   |  |
| <b>Recommended Action:</b><br><br><b>Reappoint Mr. Johnson to Serve on the Independence Communities, Inc. Board of Directors for an Additional Two-Year Term Expiring in January 2024</b>  |  |



|  |   |
|--|---|
| <p><b>Agenda Item:</b> Reappoint Montgomery Supported Housing, Inc. Board of Directors</p> <p><b>Committee:</b> Business</p>   | <p><b>Board Meeting Date:</b></p> <p>January 27, 2022</p> |
| <p><b>Background Information:</b></p> <p>Mrs. Jane Fetterman and Mrs. Barbara Duren serve on the Montgomery Supported Housing, Inc. Board and have a term expiring in January 2022.</p> <p>Mrs. Fetterman and Mrs. Duren have been contacted and are willing to serve an additional two-year term, which would expire in January 2024.</p> |   |
| <p><b>Supporting Documentation:</b></p> <p>None</p>  |   |
| <p><b>Recommended Action:</b></p> <p><b>Reappoint Mrs. Fetterman and Mrs. Duren to Serve on the Montgomery Supported Housing, Inc. Board of Directors for an Additional Two-Year Term Expiring in January 2024</b></p>   |   |

|  |  |
|--|--|
| <b>Agenda Item:</b> Reappoint Cleveland Supported Housing, Inc.<br>Board of Directors<br><br><b>Committee:</b> Business  | <b>Board Meeting Date:</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>Ms. Christine Shippey serves on the Cleveland Supported Housing, Inc. Board and her term expires in January 2022.<br><br>Ms. Shippey has been contacted and is willing to serve an additional two-year term, which would expire in January 2024. |  |
| <b>Supporting Documentation:</b><br><br>None   |  |
| <b>Recommended Action:</b><br><br><b>Reappoint Ms. Shippey to Serve on the Cleveland Supported Housing, Inc. Board of Directors for an Additional Two-Year Term Expiring in January 2024</b>   |  |

|  |   |
|--|---|
| <b>Agenda Item:</b> 1 <sup>st</sup> Quarter FY 2022 Quarterly Investment Report<br><br><b>Committee:</b> Business  | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>This report is provided to the Board of Trustees of Tri-County Services in accordance with Board Policy on fiscal management and in compliance with Chapter 2256: Subchapter A of the Public Funds Investment Act. |   |
| <b>Supporting Documentation:</b><br><br>Quarterly TexPool Investment Report<br><br>Quarterly Interest Report   |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>  |   |

## **QUARTERLY INVESTMENT REPORT TEXPOOL FUNDS**

**For the Period Ending November 30, 2021**

### **GENERAL INFORMATION**

This report is provided to the Board of Trustees of Tri-County Behavioral Healthcare in accordance with Board Policy on fiscal management and in compliance with Chapter 2256; Subchapter A of the Public Funds Investment Act.

Center funds for the period have been partially invested in the Texas Local Government Investment Pool (TexPool), organized in conformity with the Interlocal Cooperation Act, Chapter 791 of the Texas Government Code, and the Public Funds Investment Act, Chapter 2256 of the Texas Government Code. The Comptroller of Public Accounts is the sole officer, director, and shareholder of the Texas Treasury Safekeeping Trust Company which is authorized to operate TexPool. Pursuant to the TexPool Participation Agreement, administrative and investment services to TexPool are provided by Federated Investors, Inc. ("Federated"). The Comptroller maintains oversight of the services provided. In addition, the TexPool Advisory Board, composed equally of participants in TexPool and other persons who do not have a business relationship with TexPool, advise on investment policy and approves fee increases.

TexPool investment policy restricts investment of the portfolio to the following types of investments:

Obligations of the United States Government or its agencies and instrumentalities with a maximum final maturity of 397 days for fixed rate securities and 24 months for variable rate notes;

Fully collateralized repurchase agreements and reverse repurchase agreements with defined termination dates may not exceed 90 days unless the repurchase agreements have a provision that enables TexPool to liquidate the position at par with no more than seven days notice to the counterparty. The maximum maturity on repurchase agreements may not exceed 181 days. These agreements may be placed only with primary government securities dealers or a financial institution doing business in the State of Texas.

No-load money market mutual funds are registered and regulated by the Securities and Exchange Commission and rated AAA or equivalent by at least one nationally recognized rating service. The money market mutual fund must maintain a dollar weighted average stated maturity of 90 days or less and include in its investment objectives the maintenance of a stable net asset value of \$1.00.

TexPool is governed by the following specific portfolio diversification limitations;

100% of the portfolio may be invested in obligations of the United States.

100% of the portfolio may be invested in direct repurchase agreements for liquidity purposes.

Reverse repurchase agreements will be used primarily to enhance portfolio return within a limitation of up to one-third (1/3) of total portfolio assets.

No more than 15% of the portfolio may be invested in approved money market mutual funds.

The weighted average maturity of TexPool cannot exceed 60 days calculated using the reset date for variable rate notes and 90 days calculated using the final maturity date for variable rate notes.

The maximum maturity for any individual security in the portfolio is limited to 397 days for fixed rate securities and 24 months for variable rate notes.

TexPool seeks to maintain a net asset value of \$1.00 and is designed to be used for investment of funds which may be needed at any time.

## STATISTICAL INFORMATION

### Market Value for the Period

| Portfolio Summary                    | September                  | October                    | November                   |
|--------------------------------------|----------------------------|----------------------------|----------------------------|
| Uninvested Balance                   | (\$23,696.68)              | \$7,530.69                 | \$1,364.73                 |
| Accrual of Interest Income           | \$12,100,012.01            | \$16,531,004.34            | \$13,525,041.84            |
| Interest and Management Fees Payable | (\$507,331.66)             | (\$662,886.11)             | (\$688,543.99)             |
| Payable for Investments Purchased    | (\$59,812,816.34)          | (\$349,951,945.35)         | (\$111,728,212.96)         |
| Accrued Expense & Taxes              | (\$27,225.65)              | (\$81,810.75)              | (\$26,852.48)              |
| Repurchase Agreements                | \$7,190,278,607.00         | \$6,726,115,126.00         | \$8,024,258,623.00         |
| Mutual Fund Investments              | \$1,334,074,000.00         | \$1,369,074,000.00         | \$1,369,074,000.00         |
| Government Securities                | \$3,722,711,956.69         | \$4,134,320,956.71         | \$4,056,266,601.01         |
| U.S. Treasury Bills                  | \$6,299,884,381.41         | \$6,230,711,780.92         | \$4,580,829,902.53         |
| U.S. Treasury Notes                  | \$3,586,240,338.99         | \$3,993,981,383.65         | \$3,849,138,709.69         |
| <b>TOTAL</b>                         | <b>\$22,084,918,225.77</b> | <b>\$22,120,045,140.10</b> | <b>\$21,780,650,633.36</b> |

### Book Value for the Period

| Type of Asset                        | Beginning Balance          | Ending Balance             |
|--------------------------------------|----------------------------|----------------------------|
| Uninvested Balance                   | \$5.36                     | \$1,364.73                 |
| Accrual of Interest Income           | \$11,137,696.22            | \$13,525,041.84            |
| Interest and Management Fees Payable | (\$425,930.67)             | (\$688,543.99)             |
| Payable for Investments Purchased    | \$0.00                     | (\$111,728,212.96)         |
| Accrued Expenses & Taxes             | (\$27,399.41)              | (\$26,852.48)              |
| Repurchase Agreements                | \$8,278,948,315.00         | \$8,024,258,623.00         |
| Mutual Fund Investments              | \$1,370,074,000.00         | \$1,369,074,000.00         |
| Government Securities                | \$3,209,972,745.56         | \$4,055,096,073.87         |
| U.S. Treasury Bills                  | \$6,099,505,647.99         | \$4,581,010,158.65         |
| U.S. Treasury Notes                  | \$3,254,778,947.31         | \$3,849,817,778.84         |
| <b>TOTAL</b>                         | <b>\$22,223,964,027.36</b> | <b>\$21,780,339,431.50</b> |

### Portfolio by Maturity as of November 30, 2021

| 1 to 7 days | 8 to 90 day | 91 to 180 days | 181 + days |
|-------------|-------------|----------------|------------|
| 64.8%       | 20.6%       | 7.0%           | 7.6%       |

### Portfolio by Type of Investments as of November 30, 2021

| Treasuries | Repurchase Agreements | Agencies | Money Market Funds |
|------------|-----------------------|----------|--------------------|
| 38.5%      | 36.7%                 | 18.5%    | 6.3%               |

## SUMMARY INFORMATION

On a simple daily basis, the monthly average yield was .02% for September, 0.04% for October, and 0.04% for November.

As of the end of the reporting period, market value of collateral supporting the Repurchase Agreements was at least 102% of the Book Value.

The weighted average maturity of the fund as of November 30, 2021 was 44 days.

The net asset value as of November 30, 2021 was 1.00002.

The total amount of interest distributed to participants during the period was \$1,858,656.98.

TexPool interest rates did not exceed 90 Day T-Bill rates during the entire reporting period.

TexPool has a current money market fund rating of AAAm by Standard and Poor's.

During the reporting period, the total number of participants increased to 2,675.

Fund assets are safe kept at the State Street Bank in the name of TexPool in a custodial account.

During the reporting period, the investment portfolio was in full compliance with Tri-County Behavioral Healthcare's Investment Policy and with the Public Funds Investment Act.

Submitted by:

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Sheryl Baldwin  
Manager of Accounting / Investment Officer

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Date

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Millie McDuffey  
Chief Financial Officer / Investment Officer

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Date

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Evan Roberson  
Executive Director / Investment Officer

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Date

**TRI-COUNTY BEHAVIORAL HEALTHCARE  
QUARTERLY INTEREST EARNED REPORT  
FISCAL YEAR 2022  
As Of November 2021**

| BANK NAME                               | INTEREST EARNED |          |          |          |           |
|---|-----------------|----------|----------|----------|-----------|
|   | 1st QTR.        | 2nd QTR. | 3rd QTR. | 4th QTR. | YTD TOTAL |
| Alliance Bank - Central Texas CD        | \$ 315.07       |          |          |          | \$ 315.07 |
| First Liberty National Bank             | \$ 0.45         |          |          |          | \$ 0.45   |
| JP Morgan Chase (HB)                    | \$ 197.42       |          |          |          | \$ 197.42 |
| Prosperity Bank                         | \$ 26.03        |          |          |          | \$ 26.03  |
| Prosperity Bank CD (formerly Tradition) | \$ 5.10         |          |          |          | \$ 5.10   |
| TexPool Participants                    | \$ 5.42         |          |          |          | \$ 5.42   |
| Total Earned                            | \$ 549.49       | \$ -     | \$ -     | \$ -     | \$ 549.49 |
|   |                 |          |          |          |           |

|   |   |
|---|---|
| <b>Agenda Item:</b> Board of Trustees Unit Financial Statements as of October, November & December 2021<br><br><b>Committee:</b> Business | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>None  |   |
| <b>Supporting Documentation:</b><br><br>October, November & December 2021 Board of Trustees Unit Financial Statements                     |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |



## Unit Financial Statement

October 2021

|                                     | October 2021<br>Actuals | October 2021<br>Budgeted | Variance    | YTD<br>Actual | YTD<br>Budget | Variance      | Percent | Budget       |
|-------------------------------------|-------------------------|--------------------------|-------------|---------------|---------------|---------------|---------|--------------|
| Revenues                            |                         |                          |             |               |               |               |         |              |
| Allocated Revenue                   | \$ 2,029.00             | \$ 2,029.00              | \$ -        | \$ 4,058.00   | \$ 4,058.00   | \$ -          | 100.00% | \$ 24,350.00 |
| <b>Total Revenue</b>                | \$ 2,029.00             | \$ 2,029.00              | \$ -        | \$ 4,058.00   | \$ 4,058.00   | \$ -          | 100.00% | \$ 24,350.00 |
| Expenses                            |                         |                          |             |               |               |               |         |              |
| Insurance-Worker Compensation       | \$ 3.44                 | \$ 13.00                 | \$ (9.56)   | \$ 6.88       | \$ 26.00      | \$ (19.12)    | 26.46%  | \$ 150.00    |
| Legal Fees                          | \$ 1,500.00             | \$ 1,500.00              | \$ -        | \$ 3,000.00   | \$ 3,000.00   | \$ -          | 100.00% | \$ 18,000.00 |
| Travel - Local                      | \$ -                    | \$ 42.00                 | \$ (42.00)  | \$ -          | \$ 84.00      | \$ (84.00)    | 0.00%   | \$ 500.00    |
| Travel - Non-local mileage          | \$ -                    | \$ 208.00                | \$ (208.00) | \$ -          | \$ 416.00     | \$ (416.00)   | 0.00%   | \$ 2,500.00  |
| Travel - Non-local Hotel            | \$ -                    | \$ 167.00                | \$ (167.00) | \$ -          | \$ 334.00     | \$ (334.00)   | 0.00%   | \$ 2,000.00  |
| Travel - Meals                      | \$ -                    | \$ 100.00                | \$ (100.00) | \$ -          | \$ 200.00     | \$ (200.00)   | 0.00%   | \$ 1,200.00  |
| <b>Total Expenses</b>               | \$ 1,503.44             | \$ 2,030.00              | \$ (526.56) | \$ 3,006.88   | \$ 4,060.00   | \$ (1,053.12) | 74.06%  | \$ 24,350.00 |
| <b>Total Revenue minus Expenses</b> | \$ 525.56               | \$ (1.00)                | \$ 526.56   | \$ 1,051.12   | \$ (2.00)     | \$ 1,053.12   | 25.94%  | \$ -         |

# Unit Financial Statement

November 30, 2021

FY 2022

|                                     | November 2021<br>Actuals | November 2021<br>Budgeted | Variance    | YTD<br>Actual | YTD<br>Budget | Variance      | Percent | Budget       |
|-------------------------------------|--------------------------|---------------------------|-------------|---------------|---------------|---------------|---------|--------------|
| <b>Revenues</b>                     |                          |                           |             |               |               |               |         |              |
| Allocated Revenue                   | \$ 2,029.00              | \$ 2,029.00               | \$ -        | \$ 6,087.00   | \$ 6,087.00   | \$ -          | 100.00% | \$ 24,350.00 |
| <b>Total Revenue</b>                | \$ 2,029.00              | \$ 2,029.00               | \$ -        | \$ 6,087.00   | \$ 6,087.00   | \$ -          | 100.00% | \$ 24,350.00 |
| <b>Expenses</b>                     |                          |                           |             |               |               |               |         |              |
| Insurance-Worker Compensation       | \$ -                     | \$ 13.00                  | \$ (13.00)  | \$ 6.88       | \$ 39.00      | \$ (32.12)    | 17.64%  | \$ 150.00    |
| Legal Fees                          | \$ 1,500.00              | \$ 1,500.00               | \$ -        | \$ 4,500.00   | \$ 4,500.00   | \$ -          | 100.00% | \$ 18,000.00 |
| Travel - Local                      | \$ -                     | \$ 42.00                  | \$ (42.00)  | \$ -          | \$ 126.00     | \$ (126.00)   | 0.00%   | \$ 500.00    |
| Travel - Non-local mileage          | \$ -                     | \$ 208.00                 | \$ (208.00) | \$ -          | \$ 624.00     | \$ (624.00)   | 0.00%   | \$ 2,500.00  |
| Travel - Non-local Hotel            | \$ -                     | \$ 167.00                 | \$ (167.00) | \$ -          | \$ 501.00     | \$ (501.00)   | 0.00%   | \$ 2,000.00  |
| Travel - Meals                      | \$ -                     | \$ 100.00                 | \$ (100.00) | \$ -          | \$ 300.00     | \$ (300.00)   | 0.00%   | \$ 1,200.00  |
| <b>Total Expenses</b>               | \$ 1,500.00              | \$ 2,030.00               | \$ (530.00) | \$ 4,506.88   | \$ 6,090.00   | \$ (1,583.12) | 74.00%  | \$ 24,350.00 |
| <b>Total Revenue minus Expenses</b> | \$ 529.00                | \$ (1.00)                 | \$ 530.00   | \$ 1,580.12   | \$ (3.00)     | \$ 1,583.12   | 26.00%  | \$ -         |

# Unit Financial Statement

FY 2022

December 2021

|                                     | December 2021<br>Actuals | December 2021<br>Budgeted | Variance    | YTD<br>Actual | YTD<br>Budget | Variance      | Percent | Budget       |
|-------------------------------------|--------------------------|---------------------------|-------------|---------------|---------------|---------------|---------|--------------|
| <b>Revenues</b>                     |                          |                           |             |               |               |               |         |              |
| Allocated Revenue                   | \$ 2,029.00              | \$ 2,029.00               | \$ -        | \$ 8,116.00   | \$ 8,116.00   | \$ -          | 100.00% | \$ 24,350.00 |
| <b>Total Revenue</b>                | \$ 2,029.00              | \$ 2,029.00               | \$ -        | \$ 8,116.00   | \$ 8,116.00   | \$ -          | 100.00% | \$ 24,350.00 |
| <b>Expenses</b>                     |                          |                           |             |               |               |               |         |              |
| Insurance-Worker Compensation       | \$ -                     | \$ 13.00                  | \$ (13.00)  | \$ 6.88       | \$ 52.00      | \$ (45.12)    | 13.23%  | \$ 150.00    |
| Legal Fees                          | \$ 1,500.00              | \$ 1,500.00               | \$ -        | \$ 6,000.00   | \$ 6,000.00   | \$ -          | 100.00% | \$ 18,000.00 |
| Travel - Local                      | \$ -                     | \$ 42.00                  | \$ (42.00)  | \$ -          | \$ 168.00     | \$ (168.00)   | 0.00%   | \$ 500.00    |
| Travel - Non-local mileage          | \$ -                     | \$ 208.00                 | \$ (208.00) | \$ -          | \$ 832.00     | \$ (832.00)   | 0.00%   | \$ 2,500.00  |
| Travel - Non-local Hotel            | \$ -                     | \$ 167.00                 | \$ (167.00) | \$ -          | \$ 668.00     | \$ (668.00)   | 0.00%   | \$ 2,000.00  |
| Travel - Meals                      | \$ -                     | \$ 100.00                 | \$ (100.00) | \$ -          | \$ 400.00     | \$ (400.00)   | 0.00%   | \$ 1,200.00  |
| <b>Total Expenses</b>               | \$ 1,500.00              | \$ 2,030.00               | \$ (530.00) | \$ 6,006.88   | \$ 8,120.00   | \$ (2,113.12) | 73.98%  | \$ 24,350.00 |
| <b>Total Revenue minus Expenses</b> | \$ 529.00                | \$ (1.00)                 | \$ 530.00   | \$ 2,109.12   | \$ (4.00)     | \$ 2,113.12   | 26.02%  | \$ -         |

|   |   |
|---|---|
| <b>Agenda Item:</b> HUD 811 Update<br><br><b>Committee:</b> Business  | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Background Information:</b><br><br>As you are aware our HUD 811 housing projects are funded with the expectation that they remain viable for the next forty (40) years. Once this time period is met, HUD considers the program obligation met (i.e. loan paid in full). Each of the Housing Boards is appointed by the Board of Trustees and each organization is a component unit of Tri-County Behavioral Healthcare. As a Liaison to these projects, Tri-County has established a quarterly reporting mechanism to keep the Board of Trustees updated on the status of these projects. |   |
| <b>Supporting Documentation:</b><br><br>First Quarter FY 2022 HUD 811 Report  |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |

# 1st Quarter FY 2022 HUD 811 Report

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## **The Cleveland Supported Housing, Inc. Board (CSHI)**

The CSHI Board held a meeting on December 10, 2021 where they reviewed financial statements, project status reports and reviewed the engagement letter from the selected auditor for the upcoming year. The property is currently at 100% occupancy and there are three approved people on the waiting list.

Through the first quarter of the fiscal year, the majority of resident activities remained suspended due to COVID-19, however, the Property Manager continued to plan and engage residents through other means whenever feasible. On November 18, 2021, a Thanksgiving Meal was catered to the residents.

During the first Quarter there were a few minor maintenance issues that had to be addressed. Following a few storms in the Cleveland area, the front gate required maintenance to repair the sensors and a tree that had fallen over from the Post Office onto the property was removed without further incident by the landscaping company utilized by MDP Management.

As of September 30, 2021, the property was operating at a profit despite a few items that were overbudget during this period including repairs needed following the freeze, increases in annual insurance premiums, and expenses due to previous resident move outs (i.e. failure to pay and legal filing fees related to a non-renewal) mentioned in the previous report.

The CSHI Board currently has three members which is the minimum membership allowable per the bylaws. For this reason, we are actively seeking recommendations for additional membership as they become available. Please contact Tanya with any potential leads.

## **The Montgomery Supported Housing, Inc. Board (MSHI)**

The MSHI Board held a meeting on December 7, 2021 where the Board reviewed financial statements, project status reports, and reviewed the engagement letter from the selected auditor for the upcoming year. The property is currently at 100% occupancy with four people on the waiting list.

Through the first quarter of the fiscal year, the majority of resident activities remained suspended due to COVID-19, however, the Property Manager continued to plan and engage residents through other means whenever feasible. On November 18, 2021, a Thanksgiving Meal was catered to the residents.

The new maintenance technician is working out very well for the property, there have been no significant maintenance issues reported since the last update.

As of the end of September 30, 2021, the property was operating at a loss of (\$8,574.57) which included a significant amount of the overbudget expenses related to the restoration of the units flooded when a valve broke in one of the riser rooms and leaked into two units on June 14, 2021. Additionally, the property was over on office supplies related to the cost of software licenses and office equipment needed to continue functioning efficiently and as mentioned previously in the prior update, insurance rates continue to climb.

The MSHI Board currently has four members and is pursuing a few leads for potential new members.

### **The Independence Communities, Inc. Board (ICI)**

The ICI Board voted to cancel their meeting scheduled for December 7, 2021 and will reconvene for the annual meeting which is tentatively scheduled for March 29, 2022.

The property is currently at 100% occupancy with six people on the waiting list.

In late September, the property experienced a break in a water line that resulted in a significant increase in the property's water consumption. MDP Management has requested a credit through the City of Huntsville and is awaiting the results.

As mentioned in the previous report, the property's operating expense line is currently overbudget as they continue to recover from expenses incurred following Winter Storm Uri. As of nine months into the fiscal year the property's operating account has a balance of \$2,327.20. Year to date, the property is operating at a loss of (\$14,511.97) which is \$22,439.83 worse than budget. Reserve for Replacements as of September 30, 2021 are \$12,397.21 and they are currently working on replenishing this account. MDP Management submitted a budget-based rent increase and was approved on November 1, 2021.

The Board has had one resignation since the previous update. Mr. Macka Lee Murrah has resigned from the Board after serving since 2009. We are very grateful for his commitment to this housing project. Following Mr. Murrah's resignation, the Board currently has three members and is actively seeking recommendations for additional members at this time.

|   |   |
|---|---|
| <b>Agenda Item:</b> Tri-County's Consumer Foundation Update   | <b>Board Meeting Date</b><br><br>January 27, 2022 |
| <b>Committee:</b> Business<br><br><b>Background Information:</b><br><br><p>Tri-County's Consumer Foundation Board of Directors met on December 3, 2021 where they accepted financial statements through November 30, 2021 and set the spending amount for Q1 FY 2022. The Board reviewed the 2022 fundraisers, "Spring Fling" and "The Fall Harvest Festival." Board members agreed to contact associates to identify venues, donations, etc., and continue to monitor COVID issues for 2022 and its potential impact on these events.</p> <p>Four applications were approved during the quarter via email after being reviewed by the Board.</p> <p>In addition, the Foundation raised a total of \$14,416.98 in 2021; and approved \$12,142.04 in grants for the year, helping 15 families/individuals.</p> |   |
| <b>Supporting Documentation:</b><br><br><p>None</p>   |   |
| <b>Recommended Action:</b><br><br><b>For Information Only</b>   |   |

# UPCOMING MEETINGS

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## **February 24, 2022 – Board Meeting**

- Longevity Presentations
- Approve Minutes from January 27, 2022 Board Meeting
- Community Resources Report
- Consumer Services Report for January 2022
- Program Updates
- Personnel Report for January 2022
- Texas Council Risk Management Fund Claims Summary for January 2022
- Approve Financial Statements for January 2022
- Board of Trustees Unit Financial Statement as of January 2022

## **March 24, 2022 – Board Meeting**

- ISC Group Retirement Presentation
- Approve Minutes from February 24, 2022 Board Meeting
- Community Resources Report
- Consumer Services Report for February 2022
- Program Updates
- FY 2022 Goals and Objectives Progress Report
- 2<sup>nd</sup> Quarter FY 2022 Investment Report
- 2<sup>nd</sup> Quarter FY 2022 Corporate Compliance and Quality Management Report
- 3<sup>rd</sup> Quarter FY 2022 Corporate Compliance Training
- Personnel Report for February 2022
- Texas Council Risk Management Fund Claims Summary as of February 2022
- Approve Financial Statements for February 2022
- FY 22 Budget Revision
- 401(a) Retirement Plan Account Review
- Consumer Foundation Board Update
- Board of Trustees Unit Financial Statement as of February 2022



### Tri-County Behavioral Healthcare Acronyms

| Acronym  | Name  |
|----------|---|
| 1115     | Medicaid 1115 Transformation Waiver                                 |
| AAIDD    | American Association on Intellectual and Developmental Disabilities |
| AAS      | American Association of Suicidology                                 |
| ABA      | Applied Behavioral Analysis   |
| ACT      | Assertive Community Treatment                                       |
| ADA      | Americans with Disabilities Act                                     |
| ADD      | Attention Deficit Disorder  |
| ADHD     | Attention Deficit Hyperactivity Disorder                            |
| ADL      | Activities of Daily Living  |
| ADRC     | Aging and Disability Resource Center                                |
| AMH      | Adult Mental Health   |
| ANSA     | Adult Needs and Strengths Assessment                                |
| AOP      | Adult Outpatient  |
| APM      | Alternative Payment Model   |
| APRN     | Advanced Practice Registered Nurse                                  |
| APS      | Adult Protective Services   |
| ARDS     | Assignment Registration and Dismissal Services                      |
| ASH      | Austin State Hospital   |
| BCBA     | Board Certified Behavior Analyst                                    |
| BJA      | Bureau of Justice Administration                                    |
| BMI      | Body Mass Index   |
| C&Y      | Child & Youth Services  |
| CAM      | Cost Accounting Methodology   |
| CANS     | Child and Adolescent Needs and Strengths Assessment                 |
| CARE     | Client Assignment Registration & Enrollment                         |
| CBT      | Computer Based Training & Cognitive Based Therapy                   |
| CC       | Corporate Compliance  |
| CCBHC    | Certified Community Behavioral Health Clinic                        |
| CCP      | Crisis Counseling Program   |
| CDBG     | Community Development Block Grant                                   |
| CFC      | Community First Choice  |
| CFRT     | Child Fatality Review Team  |
| CHIP     | Children's Health Insurance Program                                 |
| CIRT     | Crisis Intervention Response Team                                   |
| CISM     | Critical Incident Stress Management                                 |
| CMH      | Child Mental Health   |
| CNA      | Comprehensive Nursing Assessment                                    |
| COC      | Continuity of Care  |
| COPSD    | Co-Occurring Psychiatric and Substance Use Disorders                |
| COVID-19 | Novel Corona Virus Disease - 2019                                   |
| CPS      | Child Protective Services   |
| CPT      | Cognitive Processing Therapy  |
| CRCG     | Community Resource Coordination Group                               |
| CSC      | Coordinated Specialty Care  |
| CSHI     | Cleveland Supported Housing, Inc.                                   |
| CSU      | Crisis Stabilization Unit   |
| DADS     | Department of Aging and Disability Services                         |
| DARS     | Department of Assistive & Rehabilitation Services                   |
| DCP      | Direct Care Provider  |
| DEA      | Drug Enforcement Agency   |
| DFPS     | Department of Family and Protective Services                        |
| DO       | Doctor of Osteopathic Medicine                                      |
| DOB      | Date of Birth   |
| DPP-BHS  | Directed Payment Program - Behavioral Health Services               |
| DRC      | Disaster Recovery Center  |

|          |   |
|----------|---|
| DRPS     | Department of Protective and Regulatory Services                                |
| DSHS     | Department of State Health Services   |
| DSM      | Diagnostic and Statistical Manual of Mental Disorders                           |
| DSRIP    | Delivery System Reform Incentive Payments                                       |
| DUA      | Data Use Agreement  |
| Dx       | Diagnosis   |
| EBP      | Evidence Based Practice   |
| ECI      | Early Childhood Intervention  |
| EHR      | Electronic Health Record  |
| EOU      | Extended Observation Unit   |
| ETBHN    | East Texas Behavioral Healthcare Network  |
| EVV      | Electronic Visit Verification   |
| FDA      | Federal Drug Enforcement Agency   |
| FEMA     | Federal Emergency Management Assistance   |
| FEP      | First Episode Psychosis   |
| FLSA     | Fair Labor Standards Act  |
| FMLA     | Family Medical Leave Act  |
| FTH      | From the Heart  |
| FY       | Fiscal Year   |
| HCBS-AMH | Home and Community Based Services - Adult Mental Health                         |
| HCS      | Home and Community-based Services   |
| HHSC     | Health & Human Services Commission  |
| HIPAA    | Health Insurance Portability & Accountability Act                               |
| HR       | Human Resources   |
| HUD      | Housing and Urban Development   |
| ICAP     | Inventory for Client and Agency Planning  |
| ICF-IID  | Intermediate Care Facility - for Individuals w/Intellectual Disabilities        |
| ICI      | Independence Communities, Inc.  |
| ICM      | Intensive Case Management   |
| IDD      | Intellectual and Developmental Disabilities                                     |
| IDD PNAC | Intellectual and Developmental Disabilities Planning Network Advisory Committee |
| IHP      | Individual Habilitation Plan  |
| IMR      | Illness Management and Recovery   |
| IP       | Implementation Plan   |
| IPE      | Initial Psychiatric Evaluation  |
| IPP      | Individual Program Plan   |
| ITP      | Individual Transition Planning (schools)  |
| JDC      | Juvenile Detention Center   |
| JUM      | Junior Utilization Management Committee   |
| LAR      | Legally Authorized Representative   |
| LBHA     | Local Behavioral Health Authority   |
| LCDC     | Licensed Chemical Dependency Counselor  |
| LCSW     | Licensed Clinical Social Worker   |
| LIDDA    | Local Intellectual & Developmental Disabilities Authority                       |
| LMC      | Leadership Montgomery County  |
| LMHA     | Local Mental Health Authority   |
| LMSW     | Licensed Master Social Worker   |
| LMFT     | Licensed Marriage and Family Therapist  |
| LOC      | Level of Care (MH)  |
| LOC-TAY  | Level of Care - Transition Age Youth  |
| LON      | Level Of Need (IDD)   |
| LOSS     | Local Outreach for Suicide Survivors  |
| LPHA     | Licensed Practitioner of the Healing Arts                                       |
| LPC      | Licensed Professional Counselor   |
| LPC-S    | Licensed Professional Counselor-Supervisor                                      |
| LPND     | Local Planning and Network Development  |
| LSFHC    | Lone Star Family Health Center  |
| LTD      | Long Term Disability  |

|         |  |
|---------|--|
| LVN     | Licensed Vocational Nurse  |
| MAC     | Medicaid Administrative Claiming                                       |
| MAT     | Medication Assisted Treatment  |
| MCHC    | Montgomery County Homeless Coalition                                   |
| MCHD    | Montgomery County Hospital District                                    |
| MCO     | Managed Care Organizations   |
| MCOT    | Mobile Crisis Outreach Team  |
| MD      | Medical Director/Doctor  |
| MDCD    | Medicaid   |
| MDD     | Major Depressive Disorder  |
| MHFA    | Mental Health First Aid  |
| MIS     | Management Information Services  |
| MOU     | Memorandum of Understanding  |
| MSHI    | Montgomery Supported Housing, Inc.                                     |
| MTP     | Master Treatment Plan  |
| MVPN    | Military Veteran Peer Network  |
| NAMI    | National Alliance on Mental Illness                                    |
| NASW    | National Association of Social Workers                                 |
| NEO     | New Employee Orientation   |
| NGM     | New Generation Medication  |
| NGRI    | Not Guilty by Reason of Insanity                                       |
| NP      | Nurse Practitioner   |
| OCR     | Outpatient Competency Restoration                                      |
| OIG     | Office of the Inspector General  |
| OSAR    | Outreach, Screening, Assessment and Referral (Substance Use Disorders) |
| PA      | Physician's Assistant  |
| PAP     | Patient Assistance Program   |
| PASRR   | Pre-Admission Screening and Resident Review                            |
| PATH    | Projects for Assistance in Transition from Homelessness (PATH)         |
| PCIT    | Parent Child Interaction Therapy                                       |
| PCP     | Primary Care Physician   |
| PCRP    | Person Centered Recovery Plan  |
| PDP     | Person Directed Plan   |
| PETC    | Psychiatric Emergency Treatment Center                                 |
| PFA     | Psychological First Aid  |
| PHI     | Protected Health Information   |
| PHP-CCP | Public Health Providers - Charity Care Pool                            |
| PNAC    | Planning Network Advisory Committee                                    |
| PPB     | Private Psychiatric Bed  |
| PRS     | Psychosocial Rehab Specialist  |
| QIDP    | Qualified Intellectual Disabilities Professional                       |
| QM      | Quality Management   |
| QMHP    | Qualified Mental Health Professional                                   |
| RAC     | Routine Assessment and Counseling                                      |
| RCF     | Residential Care Facility  |
| RCM     | Routine Case Management  |
| RFP     | Request for Proposal   |
| RN      | Registered Nurse   |
| ROC     | Regional Oversight Committee - ETBHN Board                             |
| RPNAC   | Regional Planning & Network Advisory Committee                         |
| RSH     | Rusk State Hospital  |
| RTC     | Residential Treatment Center   |
| SAMA    | Satori Alternatives to Managing Aggression                             |
| SAMHSA  | Substance Abuse and Mental Health Services Administration              |
| SASH    | San Antonio State Hospital   |
| SH      | Supported Housing  |
| SHAC    | School Health Advisory Committee                                       |
| SOAR    | SSI Outreach, Access and Recovery                                      |

|           |  |
|-----------|--|
| SSA       | Social Security Administration   |
| SSDI      | Social Security Disability Income                                      |
| SSI       | Supplemental Security Income   |
| SSLC      | State Supported Living Center  |
| STAR Kids | State of Texas Reform-Kids (Managed Medicaid)                          |
| SUD       | Substance Use Disorder   |
| SUMP      | Substance Use and Misuse Prevention                                    |
| TAC       | Texas Administrative Code  |
| TANF      | Temporary Assistance for Needy Families                                |
| TAY       | Transition Aged Youth  |
| TCBHC     | Tri-County Behavioral Healthcare                                       |
| TF-CBT    | Trauma Focused CBT - Cognitive Behavioral Therapy                      |
| TCCF      | Tri-County Consumer Foundation   |
| TCOOMMI   | Texas Correction Office on Offenders with Medical & Mental Impairments |
| TCRMF     | Texas Council Risk Management Fund                                     |
| TDCJ      | Texas Department of Criminal Justice                                   |
| TEA       | Texas Education Agency   |
| TIC/TOC   | Trauma Informed Care-Time for Organizational Change                    |
| TP        | Treatment Plan   |
| TRA       | Treatment Adult Services (Substance Abuse)                             |
| TRR       | Texas Resilience and Recovery  |
| TxHmL     | Texas Home Living  |
| TRY       | Treatment Youth Services (Substance Abuse)                             |
| TVC       | Texas Veterans Commission  |
| TWC       | Texas Workforce Commission   |
| UM        | Utilization Management   |
| UW        | United Way of Greater Houston  |
| WCHD      | Walker County Hospital District  |
| WSC       | Waiver Survey & Certification  |
| YES       | Youth Empowerment Services   |
| YMHFA     | Youth Mental Health First Aid  |
| YPS       | Youth Prevention Services  |
| YPU       | Youth Prevention Selective   |

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